

Primordial Gravitational Waves & the CMB Physics

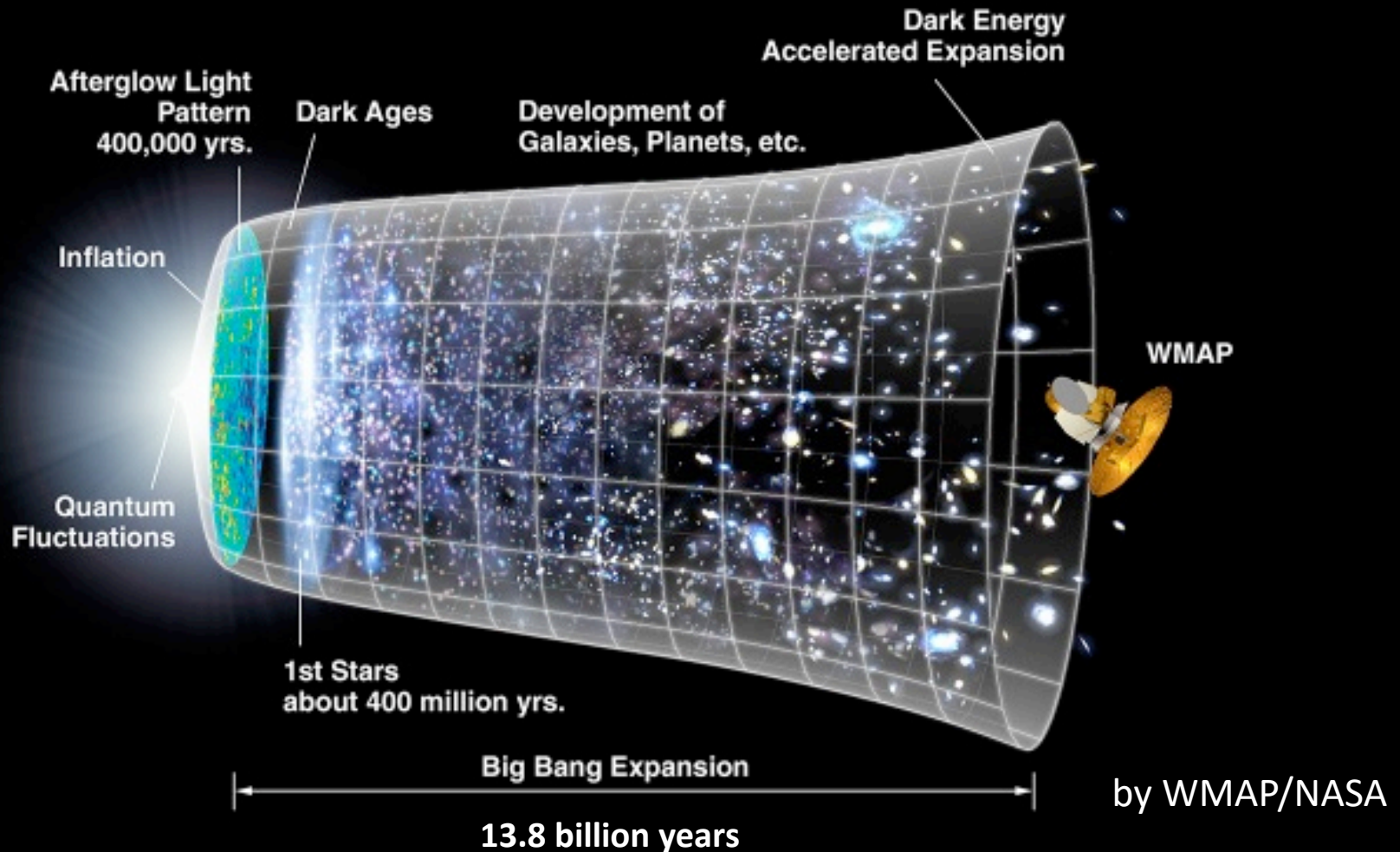
蔡一夫 Yi-Fu Cai

Hefei, March 11th 2018

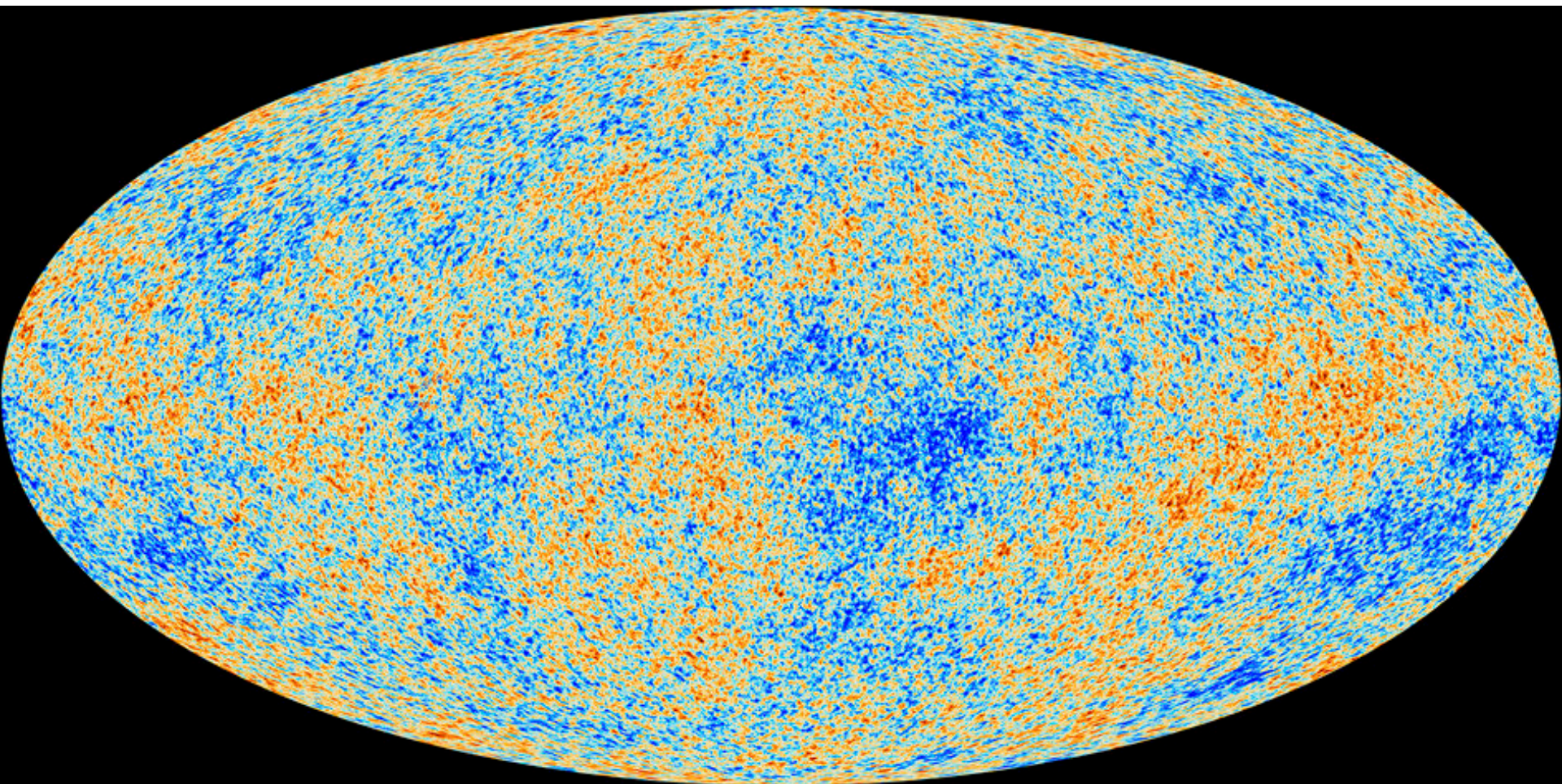
中国科学技术大学天文学系

Department of Astronomy, University of Science and Technology of China

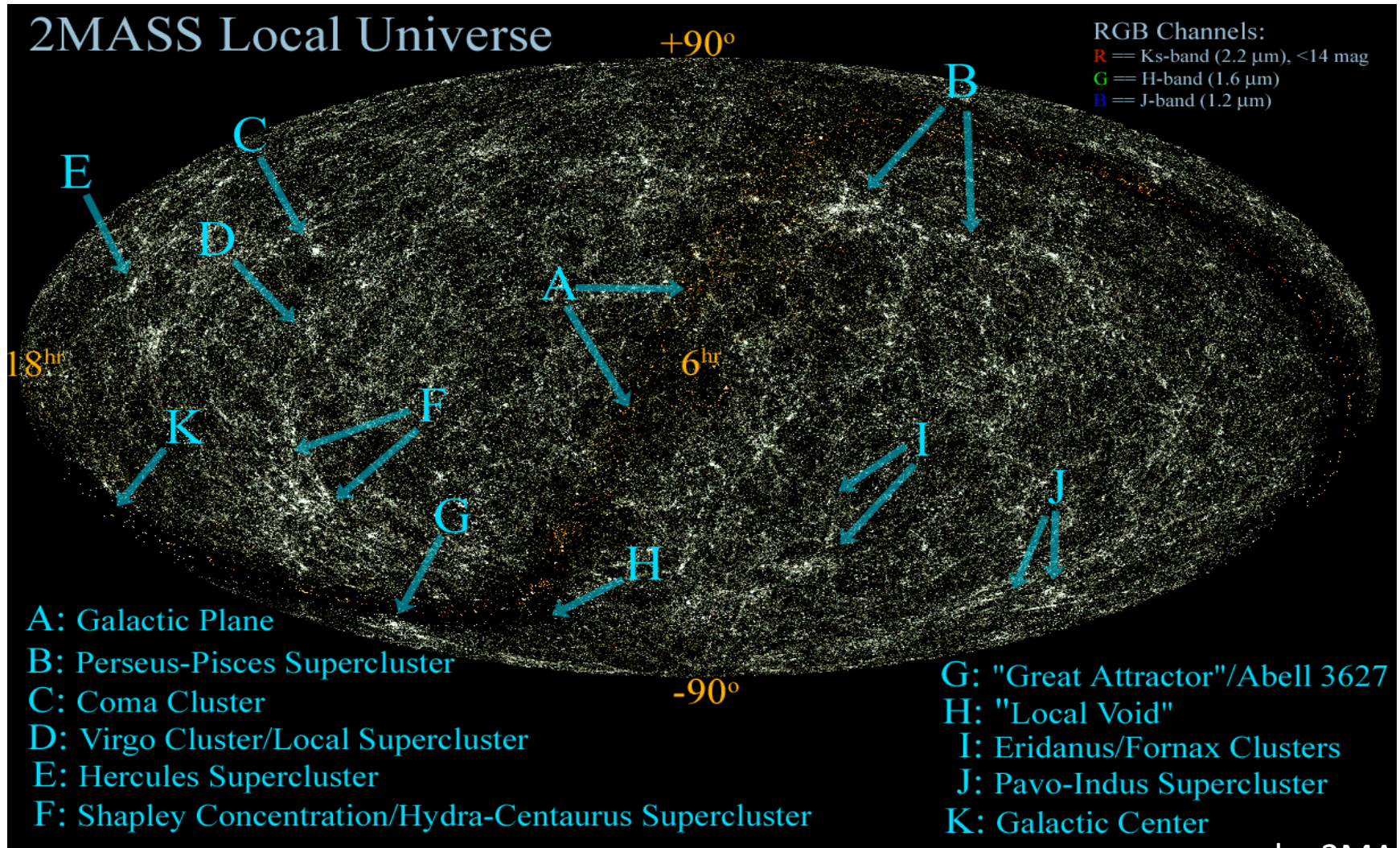
hot Big Bang & Inflation(Guth, Starobinsky, Sato, Fang, 1980s)



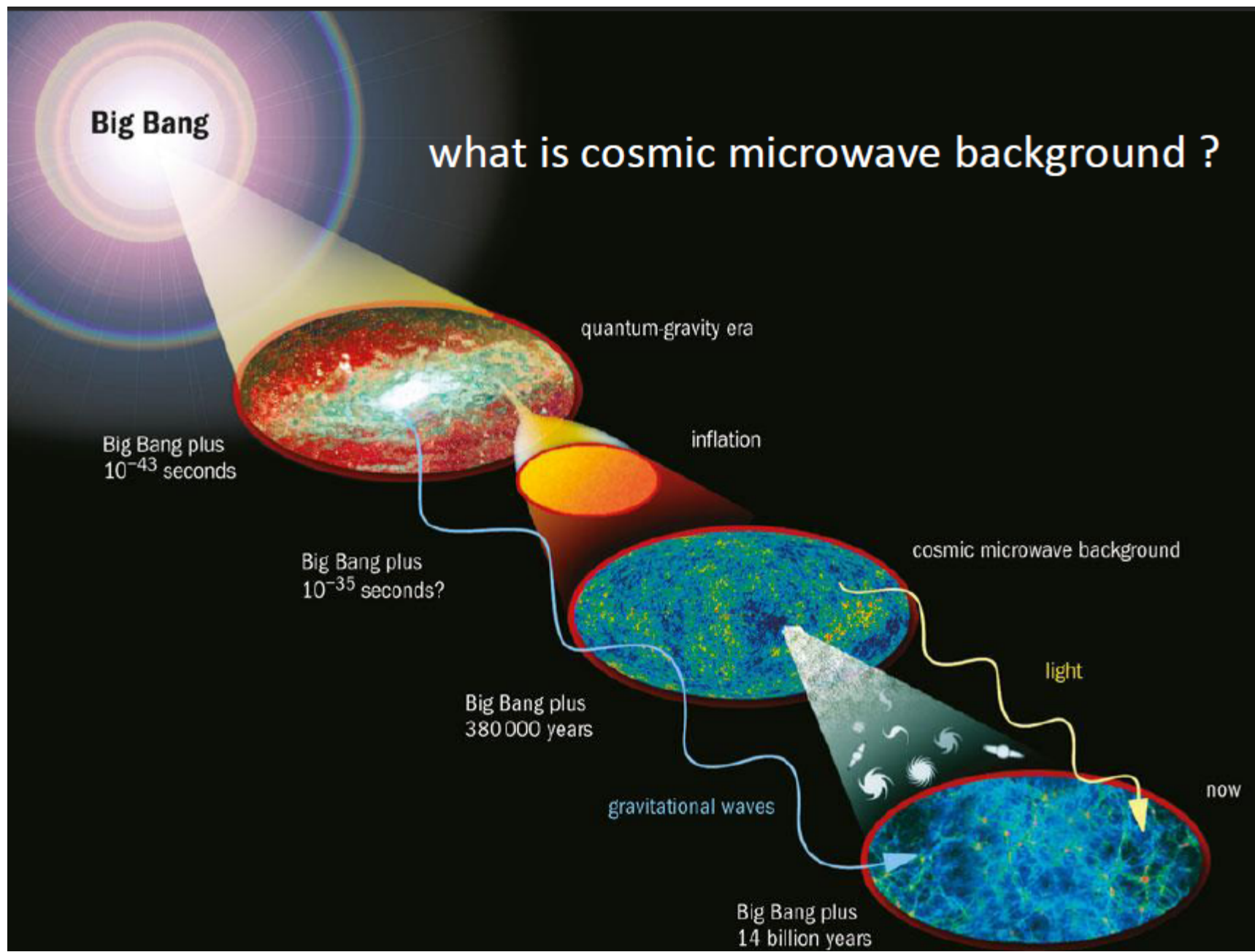
Cosmic Microwave Background (CMB) Anisotropies



Large Scale Structure (LSS) Survey

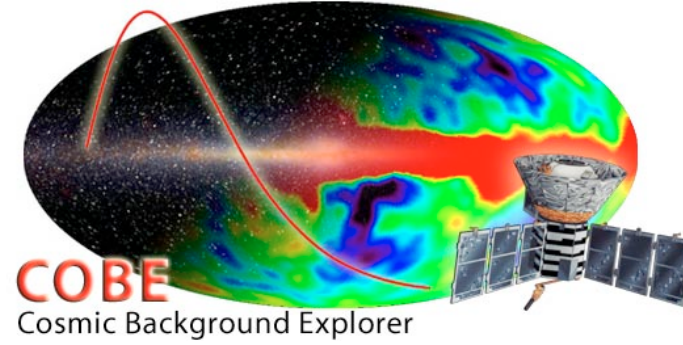


what is cosmic microwave background ?





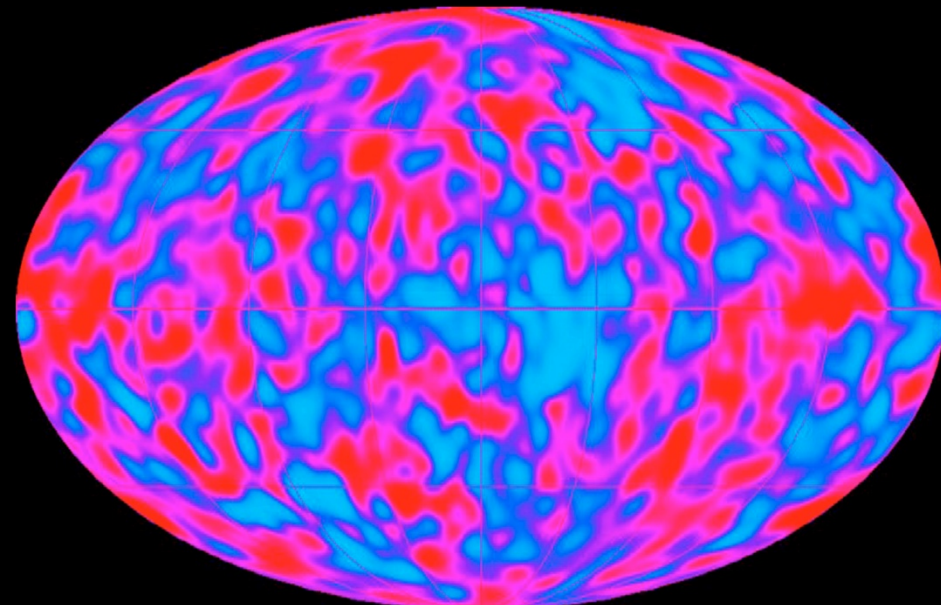
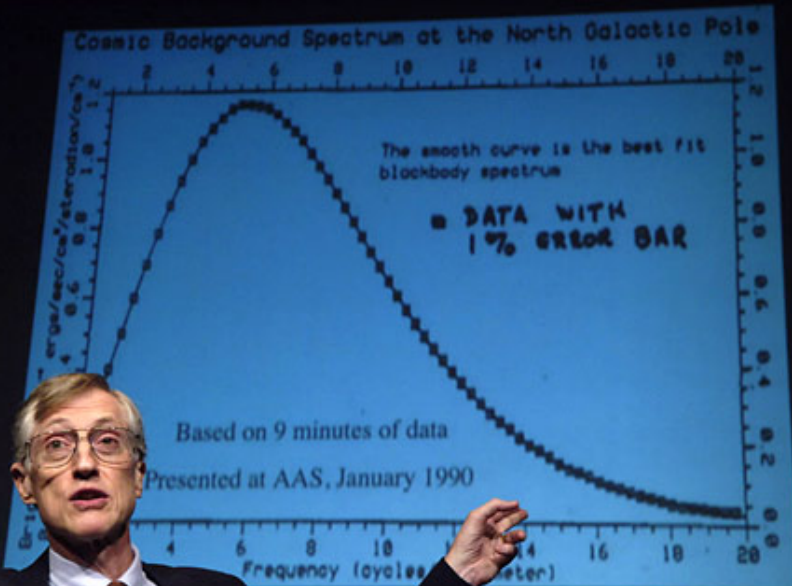
The Nobel Prize in Physics 2006

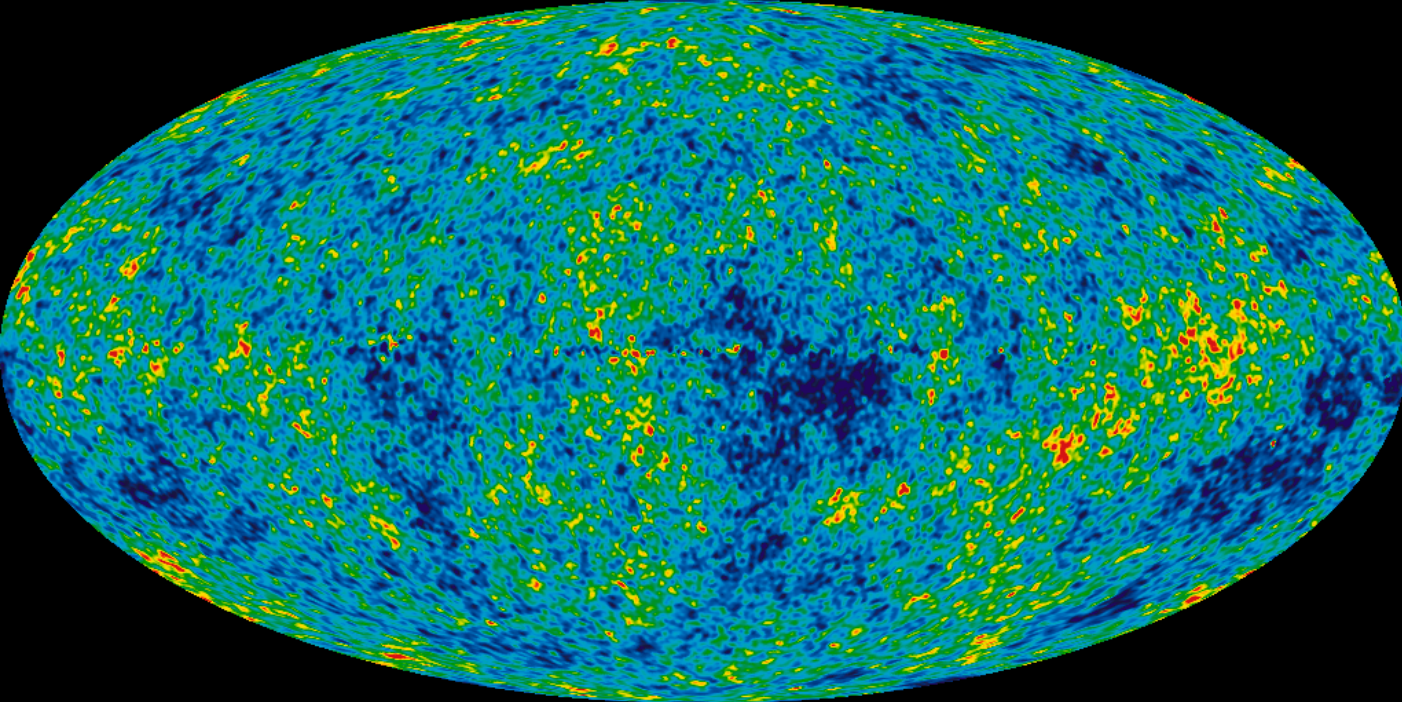


John C. Mather

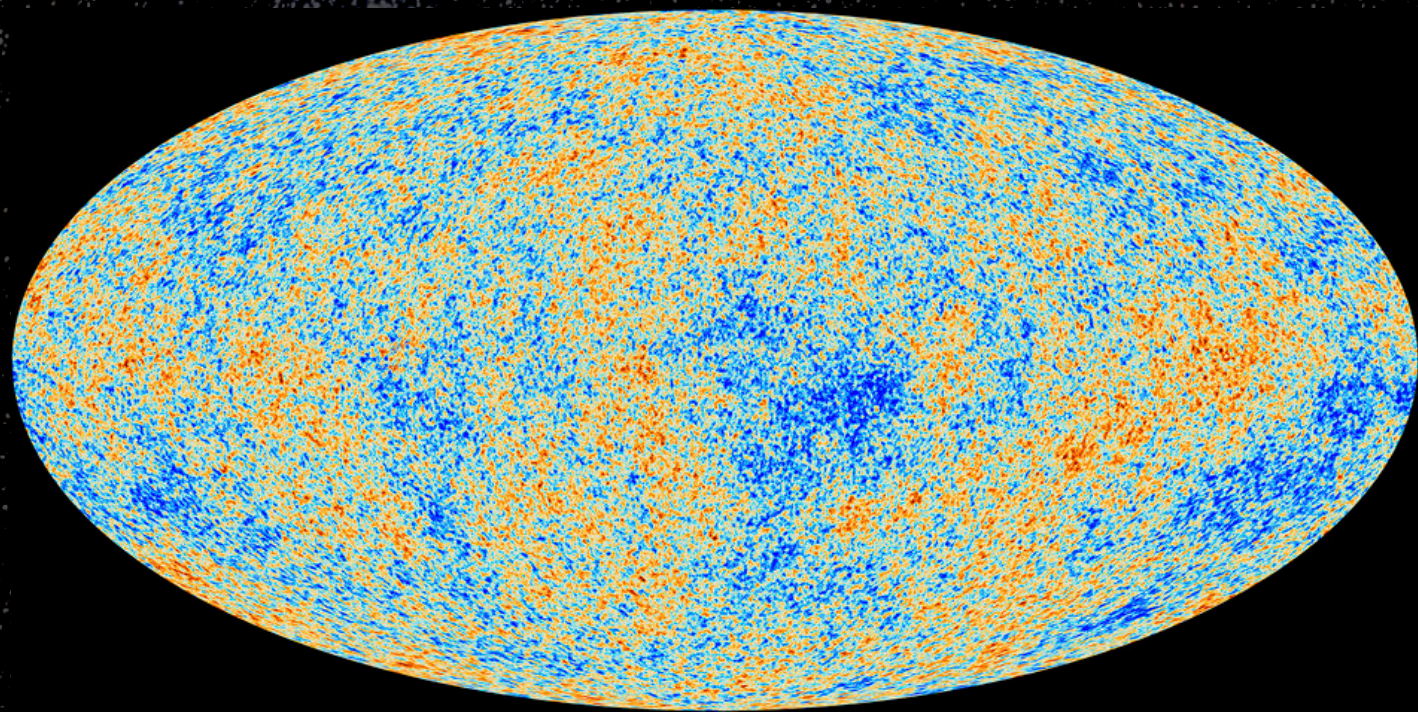
George F. Smoot

"for their discovery of the *blackbody form* and *anisotropy* of the cosmic microwave background radiation"

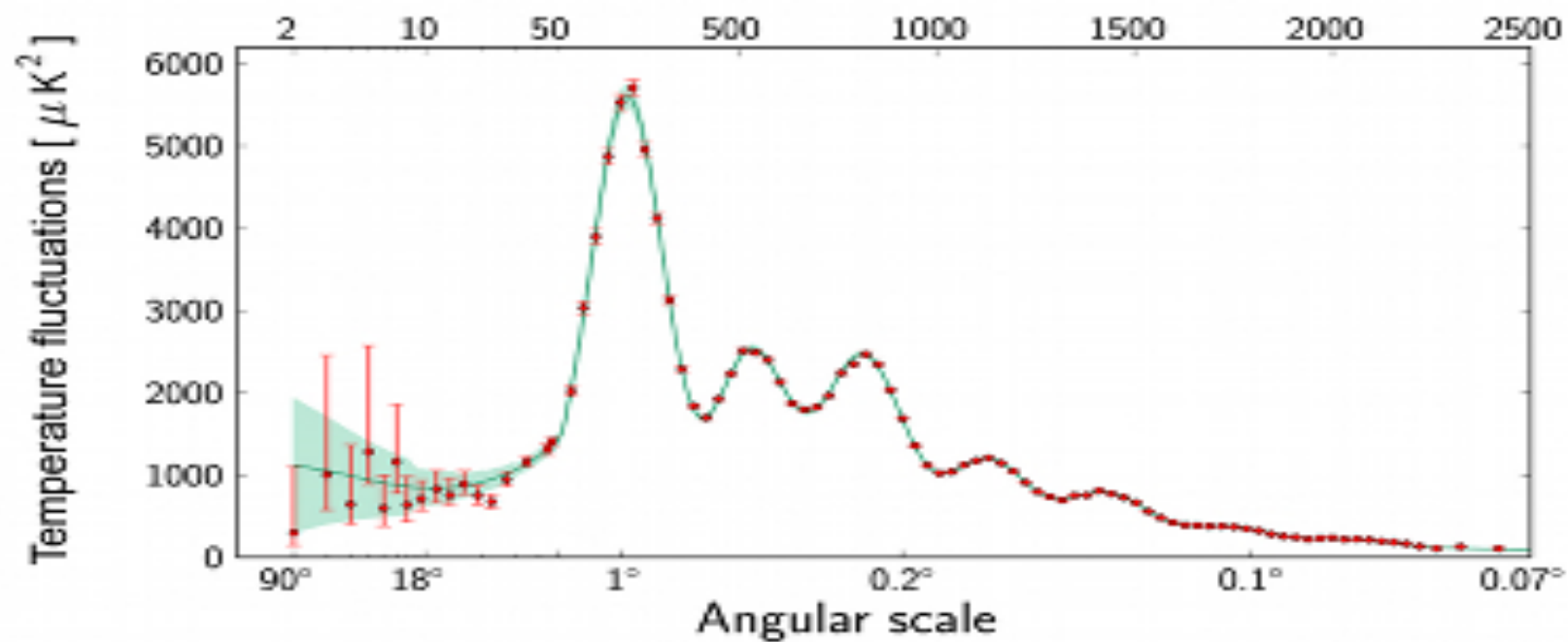
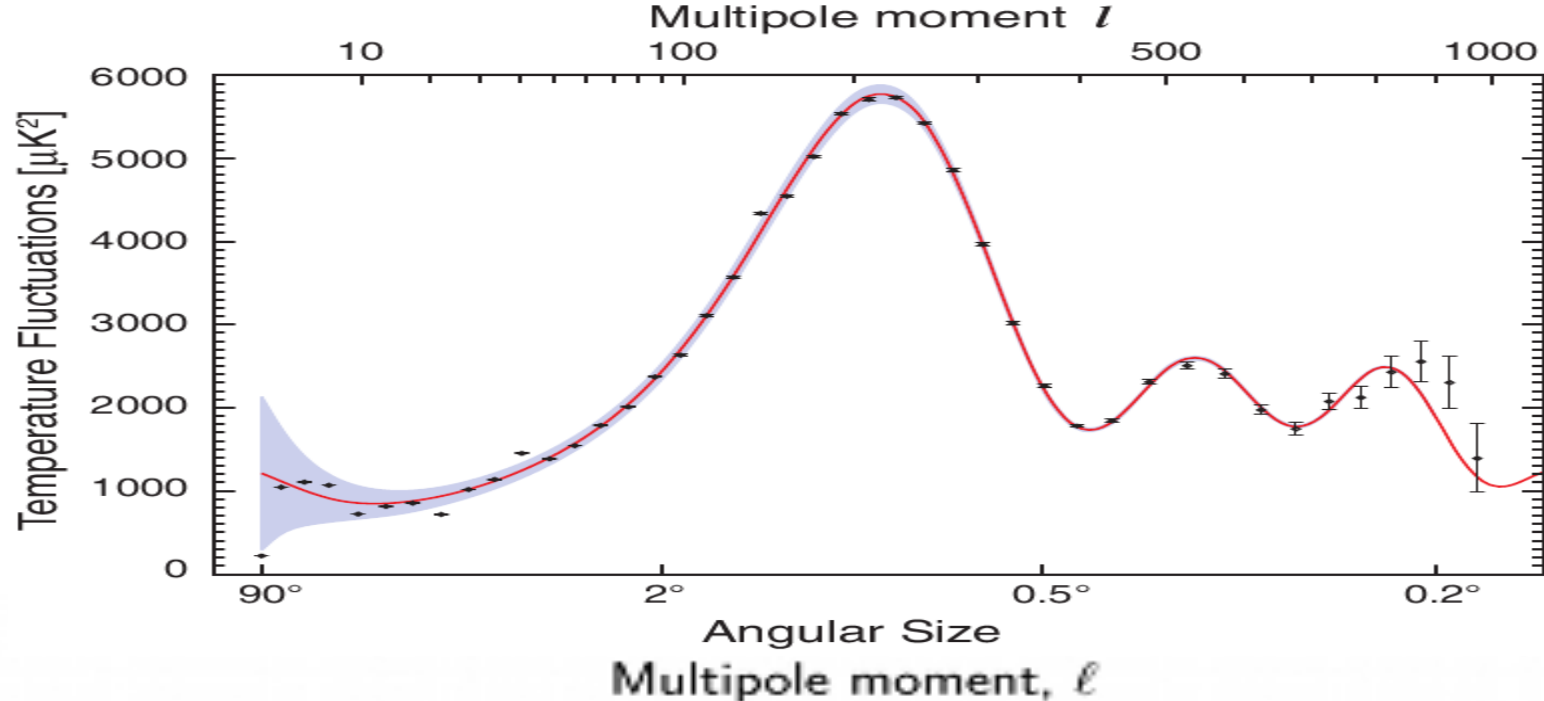




WMAP/ESA

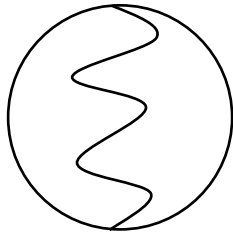


Planck/ESA



Primordial origin of temperature fluctuations

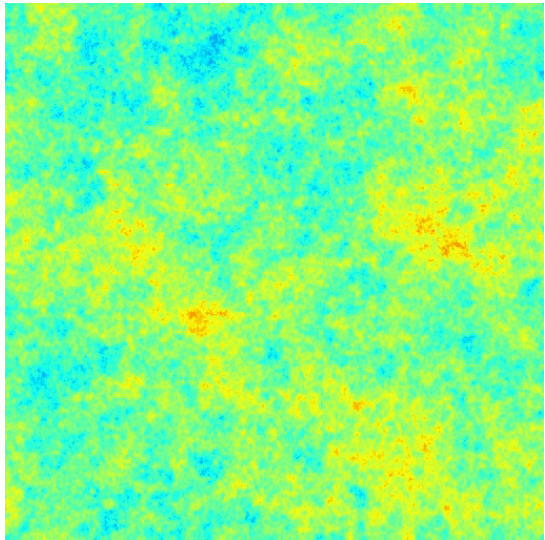
A first glance at perturbation theory in inflation



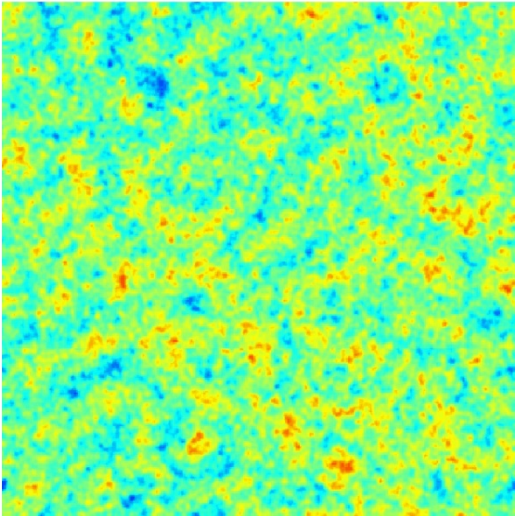
Quantum fluctuations
“waves in a pocket universe”

Inflation
 $>10^{30}$ times bigger

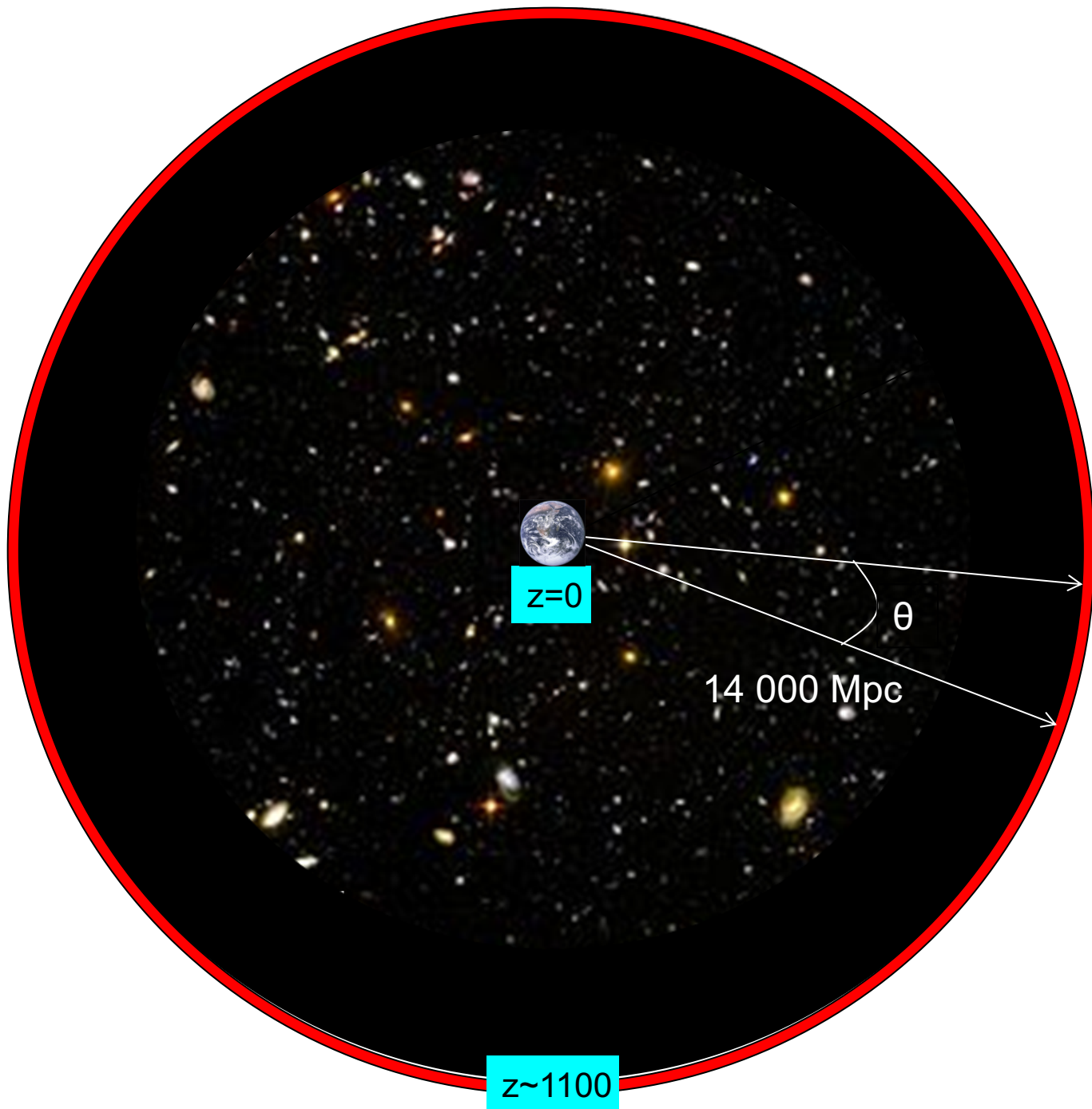
End of inflation
Huge size, amplitude $\sim 10^{-5}$



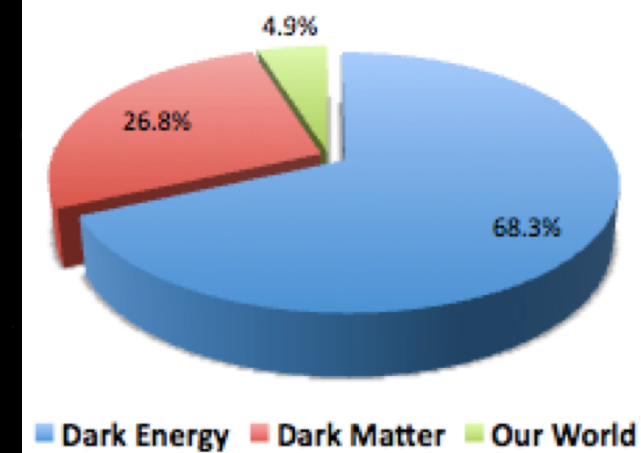
+ gravity
+ pressure
+ diffusion



Last scattering surface



Observational Facts:

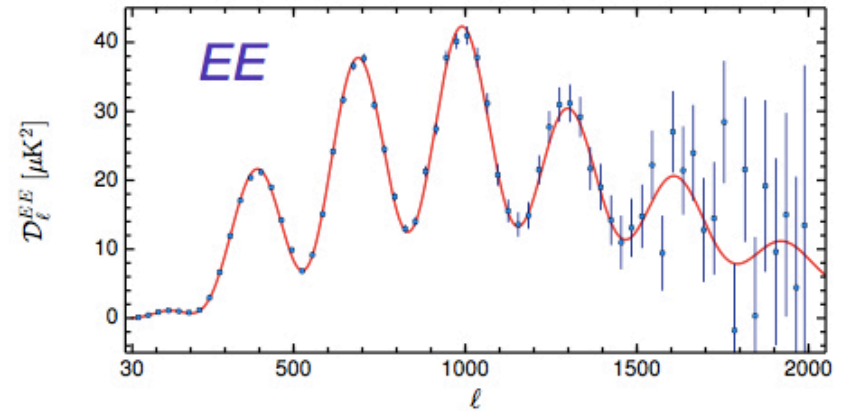
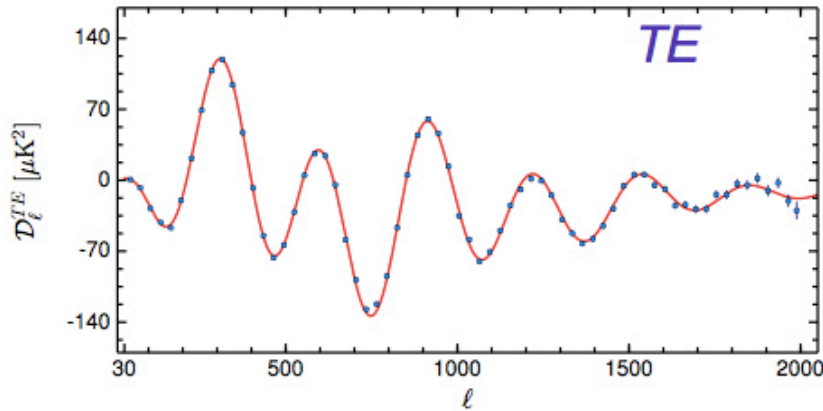
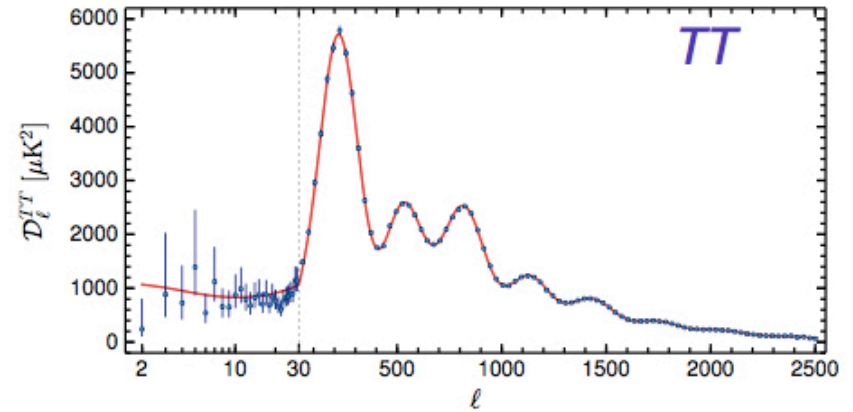
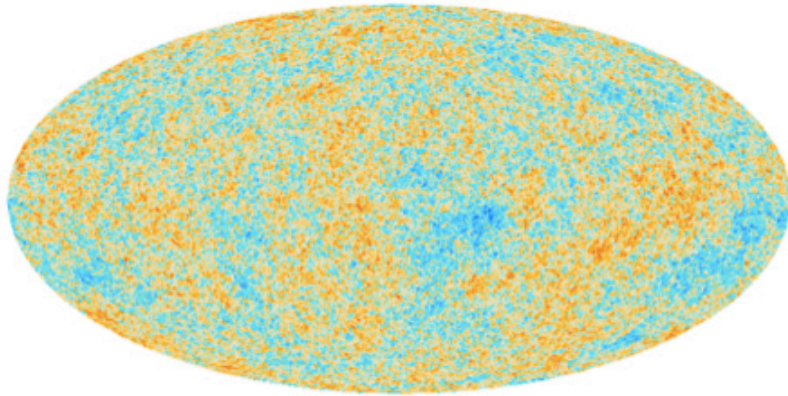


- **Our universe has a thermal expanding history with 13.8 billion years**
- **The background looks the same at anywhere on sufficiently large scales**
- **Galaxies and clusters are basic blocks to form the LSS**

**CMB is super important to the
study of cosmology**

A novel lesson from Planck

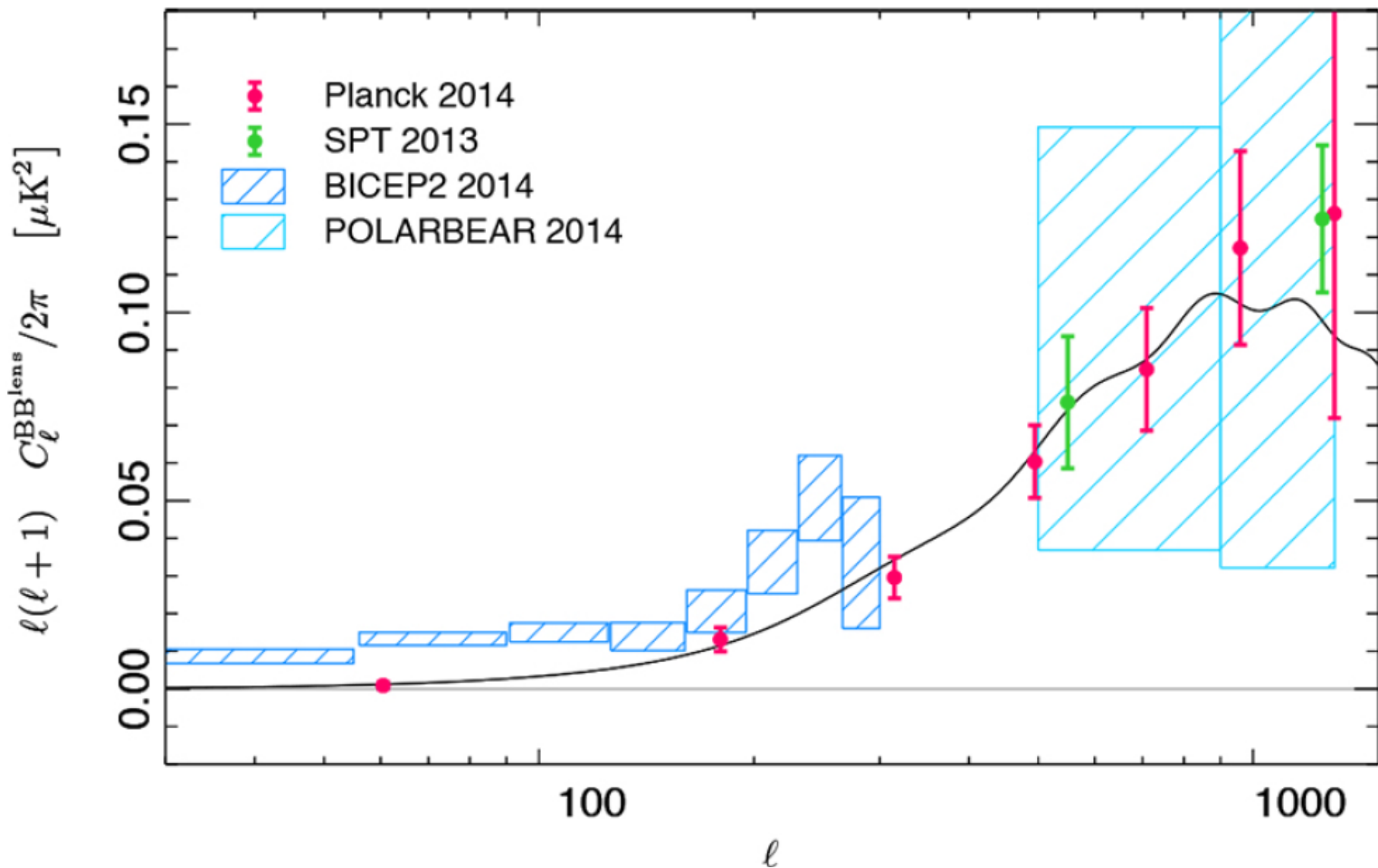
CMB maps from Planck 2015



1502.01589

What can we learn from Planck 2015

Lensing induced B mode power spectrum:

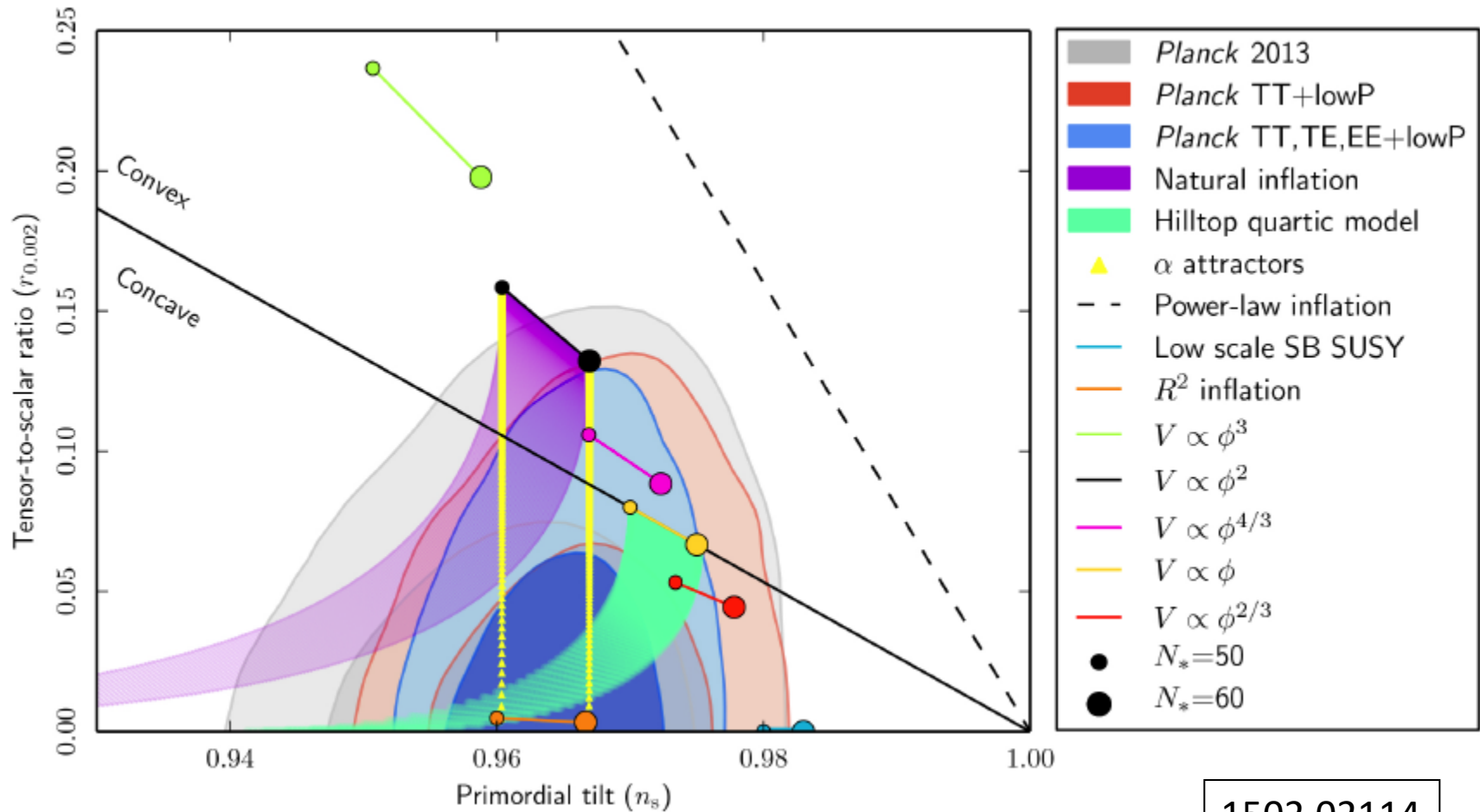


Concordance model: inflationary LCDM

$$\{H_0, \Omega_b, \Omega_c, A_s, n_s, \tau\}$$

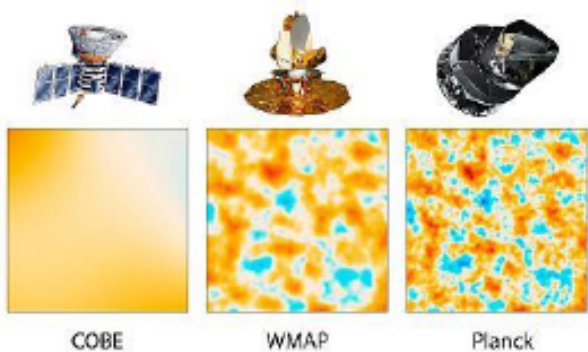
- 7 peaks in 2013, 19 peaks in 2015;
- **LCDM** is perfect in explaining three CMB maps from $l=30$ until $l=2000$;
- A nearly scale-invariant, adiabatic, Gaussian power spectrum of primordial fluctuations as predicted by **inflation** seems highly consistent with data.

Concordance model: inflationary LCDM



Planck 2015 data severely constrains the parameter space of inflationary cosmology.

CMB leads to the precision cosmology

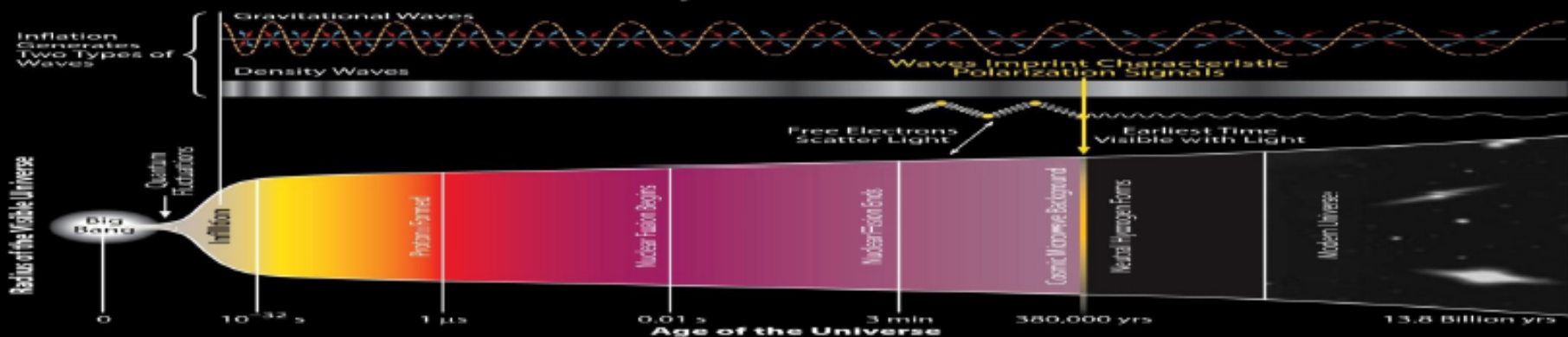


- 1998, cosmic acceleration: top ten breakthrough of 《science》
- 2003, CMB Involved in top ten breakthrough
- 1978 and 2006, Nobel prize
- 2011, cosmic acceleration win Nobel
- 2010 and 2012, WMAP win Shao's prize and Gruber prize

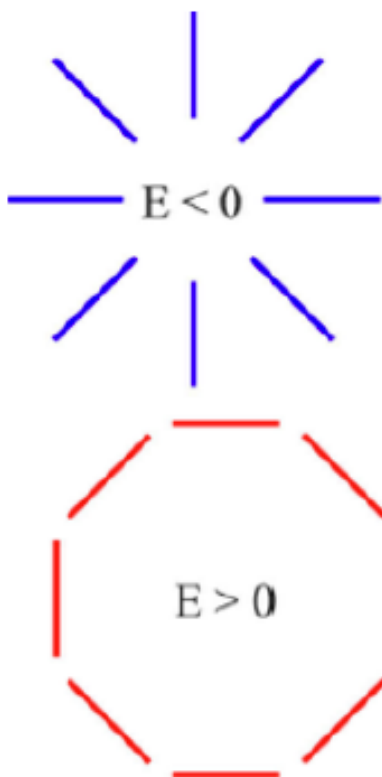


**CMB polarization is significant in
next generation measurements**

History of the Universe



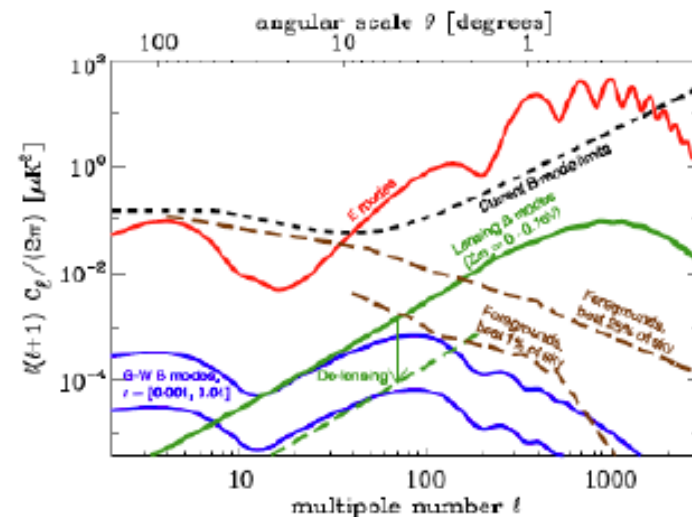
E mode



B mode



B mode survey can discriminate early universe models, namely, inflation; as well as testing the fundamental symmetries, such as CPT.



Shocking (but old) news !



The Nobel Prize in Physics 2017
Rainer Weiss, Barry C. Barish, Kip S. Thorne

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The Nobel Prize in Physics 2017



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Rainer Weiss
Prize share: 1/2

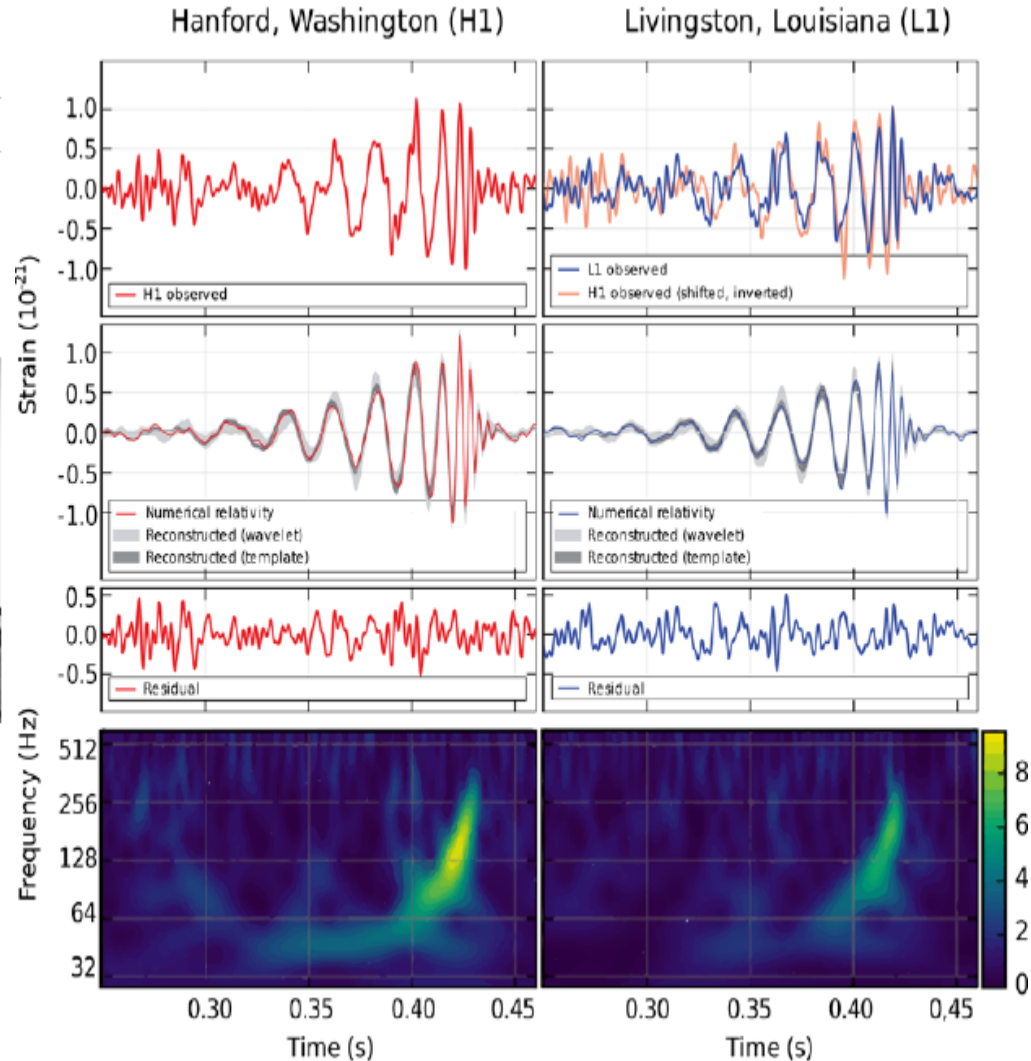


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Barry C. Barish
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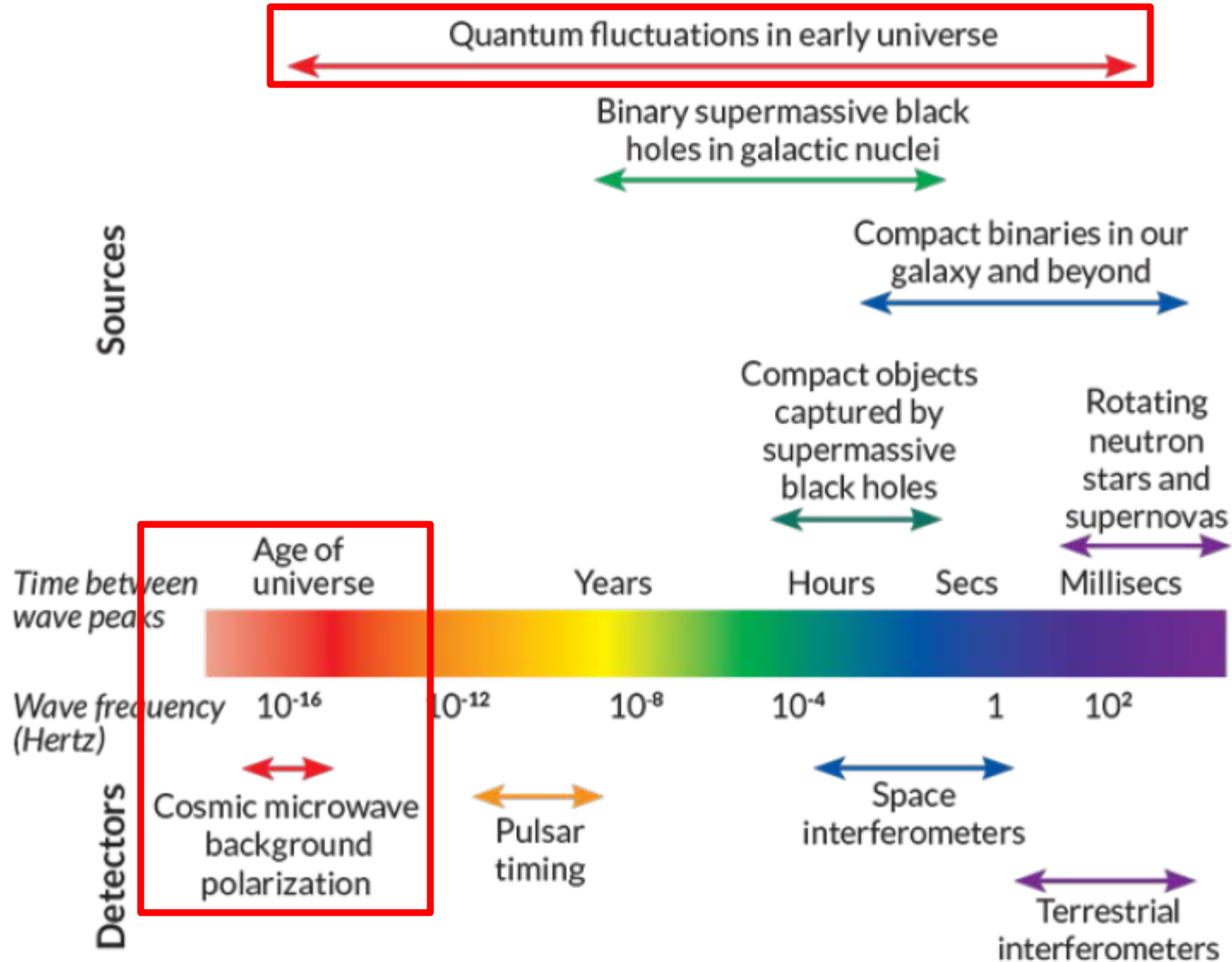


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Kip S. Thorne
Prize share: 1/4

The Nobel Prize in Physics 2017 was divided, one half awarded to Rainer Weiss, the other half jointly to Barry C. Barish and Kip S. Thorne "for decisive contributions to the LIGO detector and the observation of **gravitational waves**".



The next story: Primordial GWs



The Theory of Primordial Gravitational Waves

Classical Tensor Perturbations

- Consider a spatially **flat Friedmann-Robertson-Walker** background:

- In cosmic time

$$ds^2 = -dt^2 + a^2(t)\delta_{ij}dx^i dx^j$$

- In conformal time

$$ds^2 = a^2(\tau)(-d\tau^2 + \delta_{ij}dx^i dx^j)$$

- Tensor perturbations are **traceless** & **transverse** :

$$\delta_{ij} \rightarrow \delta_{ij} + h_{ij} \quad h_{ij} = h_{ji} ; h_{ii} = 0 ; h_{ij,j} = 0$$

- Linearized Einstein equations (synchronous gauge) Anisotropic stress

$$h''_{ij} + 2\frac{a'}{a}h'_{ij} - \nabla^2 h_{ij} = 16\pi G a^2 (T_j^i - P\delta_{ij})$$

- Classical evolution is **similar to the massless scalar field case**, despite of the spacetime index.

Classical Tensor Perturbations

- Fourier expansion:

$$h_{ij}(x) = \sqrt{16\pi G} \sum_r \int \frac{d^3 k}{(2\pi)^3} \epsilon_{ij}^r(\mathbf{k}) h_{\mathbf{k}}^r(\tau) e^{i\mathbf{k}\cdot\mathbf{x}}$$

where the symmetric polarization tensor is traceless & transverse, and is normalized as

$$\sum_{ij} \epsilon_{ij}^r(\mathbf{k}) \epsilon_{ij}^s(\mathbf{k})^* = 2\delta^{rs}$$

- Equation of motion in Fourier space (no source term):

$$h_{\mathbf{k}}^{r''} + 2\frac{a'}{a} h_{\mathbf{k}}^{r'} + k^2 h_{\mathbf{k}}^r = 0$$

- Assuming a [power law expansion](#):

$$a \propto \tau^n \quad n = \frac{2}{1+3w} \quad w = P/\rho$$

namely, $w=1/3$ for radiation; $w=0$ for dust matter; $w\sim-1$ for inflation

Classical Tensor Perturbations

- Equation of motion with a constant background equation of state

$$h_{\mathbf{k}}^{r''} + 2\frac{n}{\tau}h_{\mathbf{k}}^{r'} + k^2h_{\mathbf{k}}^r = 0$$

- General solutions in terms of **Bessel functions**:

$$h_{\mathbf{k}}(\tau) = \tau^{1-n} (A j_{\nu-1/2}(k\tau) + B y_{\nu-1/2}(k\tau))$$

$$\nu^2 = n(n-1) + \frac{1}{4}$$

- A little mathematical properties:

For large z :

$$J_{\alpha}(z) = \sqrt{\frac{2}{\pi z}} \left(\cos\left(z - \frac{\alpha\pi}{2} - \frac{\pi}{4}\right) + e^{|\operatorname{Im}(z)|} O(|z|^{-1}) \right)$$

$$Y_{\alpha}(z) = \sqrt{\frac{2}{\pi z}} \left(\sin\left(z - \frac{\alpha\pi}{2} - \frac{\pi}{4}\right) + e^{|\operatorname{Im}(z)|} O(|z|^{-1}) \right)$$

For small & negative z :

$$J_{\alpha}(z) \sim \frac{(-1)^{\alpha}}{(-\alpha)!} \left(\frac{2}{z}\right)^{\alpha}$$

$$Y_{\alpha}(z) \sim -\frac{(-1)^{\alpha}\Gamma(-\alpha)}{\pi} \left(\frac{z}{2}\right)^{\alpha}$$

- Thus, general solutions have two asymptotical forms:

- When $|k\tau| \gg 1$, **oscillatory** behaviors

- When $|k\tau| \ll 1$, **constant** and **time-varying** modes

Quantization and Power Spectrum

- In the linear theory, one can take **the analogy with the massless scalar field case** to build the quantum theory associated with the tensor modes in a curved spacetime.

$$h_{ij}(\mathbf{x}, \tau) = \sum_r \sqrt{16\pi G} \int \frac{d^3k}{(2\pi)^3} \left[\epsilon_{ij}^r(\mathbf{k}) h_k(\tau) a_{\mathbf{k}}^r e^{i\mathbf{k}\cdot\mathbf{x}} + \epsilon_{ij}^r(\mathbf{k})^* h_k(\tau)^* a_{\mathbf{k}}^{r\dagger} e^{-i\mathbf{k}\cdot\mathbf{x}} \right]$$

physical time-dependent operator

- The conjugate momenta are expressed as

$$\pi_{ij} = \frac{\delta^{(2)} S}{\delta h'_{ij}} = \frac{1}{2} a^2 \dot{h}'_{ij}$$

- Quantized Poisson brackets Wronskian normalization condition

$$[h_{ij}(\tau, \mathbf{k}), h_{kl}(\tau, \mathbf{k}')] = 0 ,$$

$$[p_{ij}(\tau, \mathbf{k}), p_{kl}(\tau, \mathbf{k}')] = 0 ,$$

$$[h_{ij}(\tau, \mathbf{k}), p_{kl}(\tau, \mathbf{k}')] = \frac{1}{2} (\delta_{ik} \delta_{jl} + \delta_{il} \delta_{jk})$$

$$\times \delta^{(3)}(\mathbf{k} - \mathbf{k}') .$$



$$h_k \dot{h}_k^* - h_k^* \dot{h}_k = \frac{i}{a^2}$$

Quantization and Power Spectrum

- **Power spectrum** is defined by

$$\langle 0|h_{ij}(\mathbf{x}, \tau)h_{ij}(\mathbf{y}, \tau)|0\rangle \equiv \int \frac{d^3k}{(2\pi)^3} \frac{2\pi^2}{k^3} \Delta_T^2(k, \tau) e^{i\mathbf{k}\cdot(\mathbf{x}-\mathbf{y})}$$

- Or, it is simply expressed as

$$\Delta_T^2(k, \tau) = 64\pi G \frac{k^3}{2\pi^2} |h_k(\tau)|^2$$

- **Energy spectrum** is associated with the energy density via:

$$T_{GW}^{\mu\nu} = -\frac{2}{\sqrt{\bar{g}}} \frac{\delta S_{GW}}{\delta \bar{g}_{\mu\nu}} ; \quad \rho_{GW} = T_{GW}^0{}_0 = \bar{g}_{00} T_{GW}^{00}$$



$$\Omega_{GW}(k, \tau) \equiv \frac{1}{\rho_c(\tau)} \frac{d\langle 0|\rho_{GW}|0\rangle}{d(\ln k)} = \frac{8\pi G}{3H(\tau)^2} \frac{k^3}{2\pi^2 a^2(\tau)} (|\dot{h}_k(\tau)|^2 + k^2 |h_k|^2)$$

Quantization and Power Spectrum

- Comments:
 - Primordial GWs could be originated from quantum fluctuations of the spacetime of the baby universe such that they can be accommodated with the quantum theory;
 - These quantum mechanically generated spacetime ripples need to be squeezed into classical perturbations so that the power and energy spectra can be probed observationally;
 - The above two theoretical expectations happen to be in agreement with two asymptotical solutions for primordial GWs in forms of the Bessel functions
- **Question:** Is there any causal mechanism that can connect two asymptotical solutions of primordial GWs dynamically?

Inflationary Cosmology

Consider a Lagrangian of a free massive scalar field:

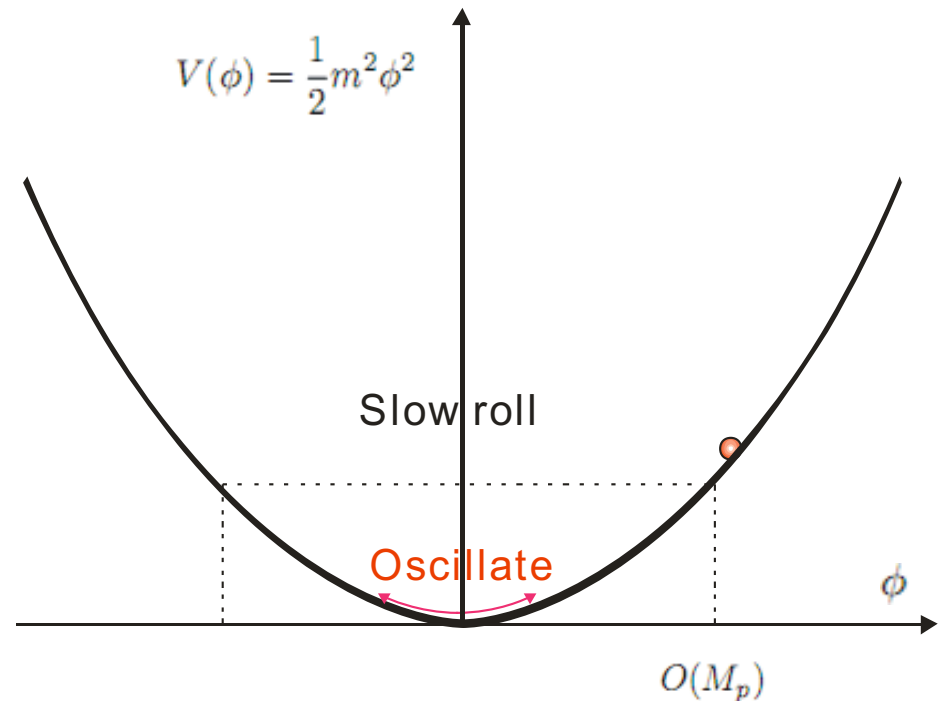
$$\mathcal{L} = \frac{1}{2} \partial_\mu \phi \partial^\mu \phi - \frac{1}{2} m^2 \phi^2$$

Its cosmological evolution follows the Friedmann equation and the Klein-Gordon equation:

$$H^2 = \frac{8\pi G}{3} \left(\frac{1}{2} \dot{\phi}^2 + \frac{1}{2} m^2 \phi^2 \right)$$
$$\ddot{\phi} + 3H\dot{\phi} + m^2\phi = 0$$

This model yields an accelerating phase at high energy scale, when the amplitude of the scalar is larger than the Planck mass.

Inflation



Guth '81; Linde '82;
Albrecht & Steinhardt, '82;
Starobinsky '80; Sato '81; Fang '81; ...

Inflationary Cosmology

- The horizon, flatness, monopole problems can be solved
- Primordial fluctuations can lead to the formation of LSS
- Nearly scale-invariant power spectrum of primordial density fluctuations
- Small amount of primordial non-Gaussianities
- Sizable tensor modes
- Trans-Planckian perturbations?
- Initial big bang singularity?

PGWs of Inflationary Cosmology

- Slow roll inflation yields a phase of **nearly exponential expansion** ($\tau < 0$):

$$a \propto e^{Ht} \sim -\frac{1}{H\tau} \quad \Rightarrow \quad \frac{a''}{a} = \frac{2}{\tau}$$

- **Slow roll parameters** are introduced by

$$\epsilon \equiv -\frac{\dot{H}}{H^2} \simeq \frac{M_p^2}{2} \left(\frac{V_{,\phi}}{V} \right)^2 \quad \eta \equiv M_p^2 \frac{V_{,\phi\phi}}{V}$$

and are much less than unity.

- **Mukhanov-Sasaki variable** and the EoM:

$$v_k \equiv h_{\mathbf{k}}/a \quad v_k'' + \left(k^2 - \frac{a''}{a} \right) v_k = 0$$

- Applying the **vacuum initial condition**, the solution for PGWs during inflation is given by

$$h_k(\tau) = -\frac{H}{\sqrt{2k}} \tau \left(1 - \frac{i}{k\tau} \right) e^{-ik\tau} = H \sqrt{\frac{k}{2}} \tau^2 h_1^{(2)}(k\tau)$$

PGWs of Inflationary Cosmology

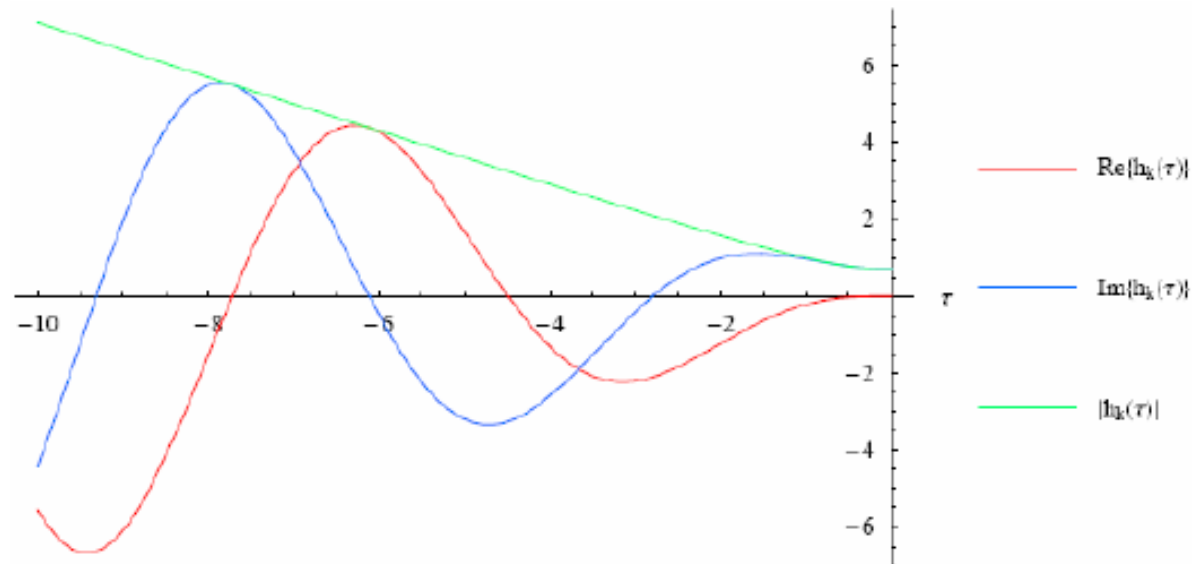
- When $|k\tau| \gg 1$, the sub-Hubble solution connects with the **vacuum fluctuations**

$$h_{\mathbf{k}}^{\text{UV}} \rightarrow -\frac{H\tau}{\sqrt{2k}} e^{-ik\tau}$$

- When $|k\tau| \ll 1$, the super-Hubble solution get frozen to become **constant mode**

$$h_{\mathbf{k}}^{\text{IR}} \rightarrow \frac{iH}{\sqrt{2k^3}}$$

- Hubble crossing condition: $k = aH$ or $|k\tau| = 1$
- For a $k=1$ mode, the solution is numerically given in the following



PGWs of Inflationary Cosmology

- At the end of inflation ($\epsilon=1$), for the tensor modes outside the Hubble radius:

- Power spectrum:
$$P_T \equiv \Delta_T^2(k, \tau_e) = \frac{2H_*^2}{\pi^2 M_p^2}$$

- Spectral index:
$$n_T \equiv \frac{d \ln P_T}{d \ln k} = -2\epsilon_*$$

- Tensor-to-Scalar ratio:
$$r \equiv \frac{P_T}{P_\zeta} = 16\epsilon_* \quad \Rightarrow \quad r = -8n_T$$

Consistency relation for the model of single field slow roll inflation

- Energy spectrum:
$$\Omega_{GW}(k, \tau_e) = \frac{H^2}{6\pi^2 M_p^2} = \frac{1}{12} \Delta_T^2(k, \tau_e)$$

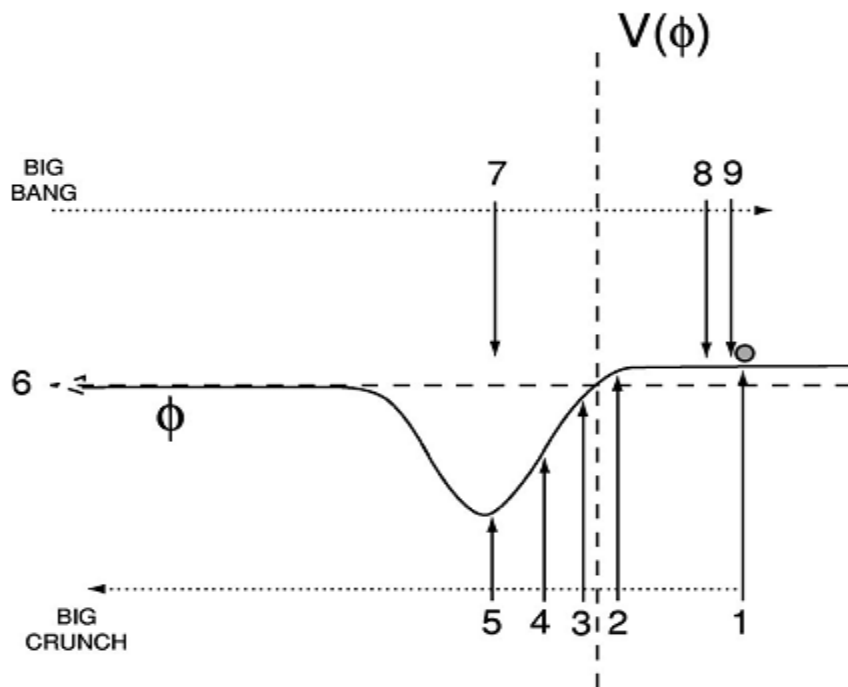
$$\Omega_{GW}(k, \tau_{\text{today}}) = T(k; \tau_{\text{today}}, \tau_e) \times \Omega_{GW}(k, \tau_e)$$

- Today's energy spectrum can be related to the primordial energy spectrum via the transfer function.

Ekpyrotic Bounce

The collision of two M branes in 5D gives rise to a nonsingular cyclic universe, and the description of EFT in 4D is

$$S = \int d^4x \sqrt{-g} \left(\frac{1}{16\pi G} \mathcal{R} + \frac{1}{2} (\partial\phi)^2 - V(\phi) + \beta^4(\phi)(\rho_M + \rho_R) \right)$$



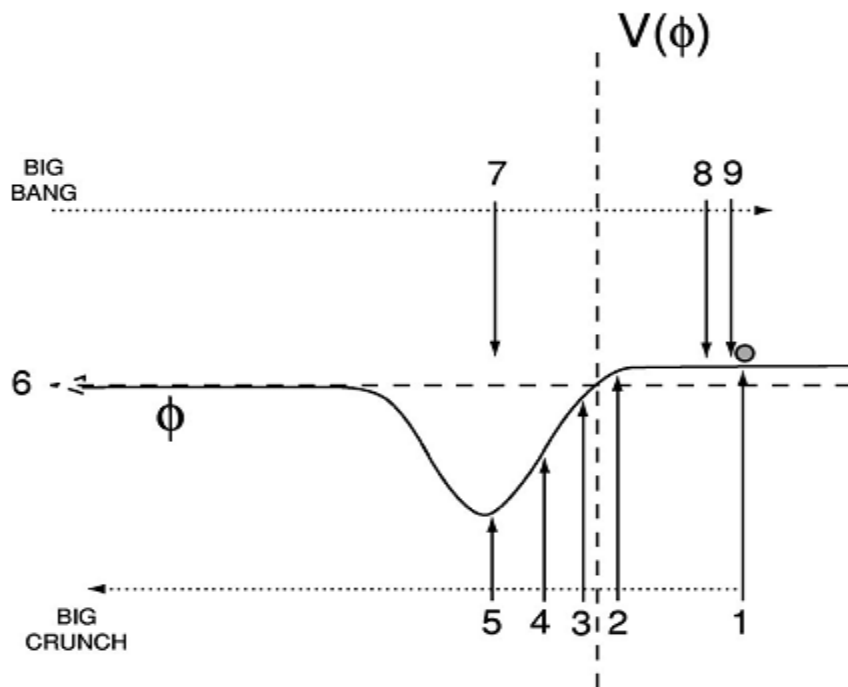
- 1 DE domination
- 2 decelerated expansion
- 3 turnaround
- 4 ekpyrotic contracting phase
- 5 before big crunch
- 6 a singular bounce in 4D
- 7 after big bang
- 8 radiation domination
- 9 matter domination

Khoury, Ovrut, Steinhardt & Turok, PRD, '01

Ekpyrotic Bounce

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- 1 DE domination
- 2 decelerated expansion
- 3 turnaround
- 4 ekpyrotic contracting phase
- 5 before big crunch
- 6 a **singular** bounce in 4D
- 7 after big bang
- 8 radiation domination
- 9 matter domination

Failure of effective field theory description, uncertainty involved in perturbations.

Ekpyrotic Bounce

Predictions by Ekpyrotic model:

- Nearly scale-invariant power spectrum
- Large primordial non-Gaussianities
- Almost no tensor modes

Nonsingular Bounce

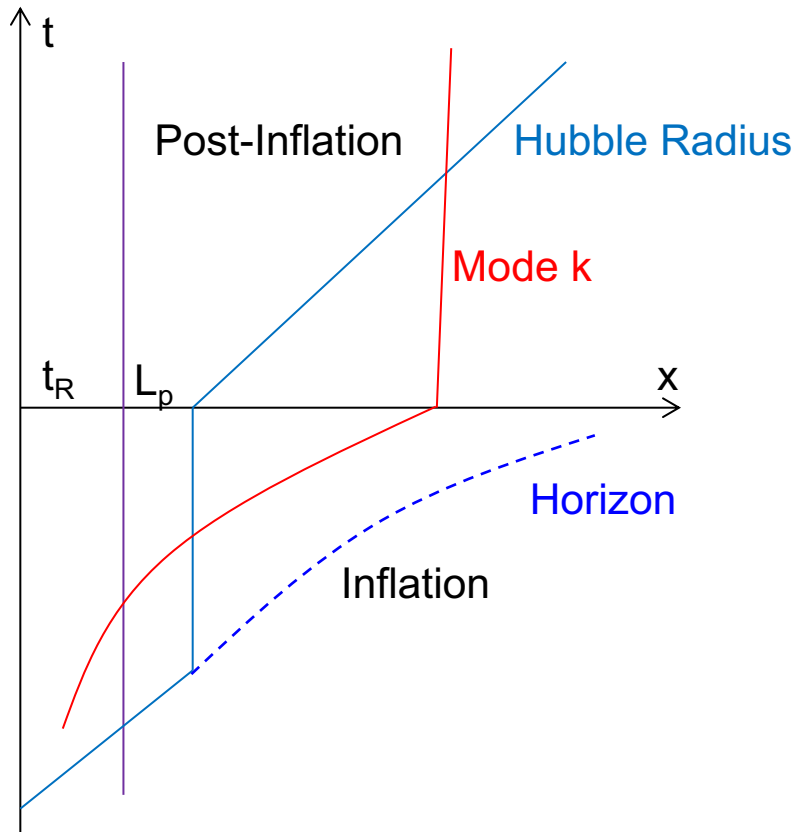
- Pre-Big-Bang
(non-perturbative effects) Gasperini & Veneziano '92
- String Gas Cosmology
(thermal non-local system) Brandenberger & Vafa '89
- *Matter Bounce* Cosmology
(NEC violating matter. Wands '98; Finelli & Brandenberger '01)
- Loop Quantum Cosmology
(quantum structure of spacetime. Ashtekar 0812.0177)
- Mirage Cosmology
(nonconventional braneworld. Kehagias & Kiritsis '99)
- Lee-Wick Bounce
(high-order derivatives. CYF, Qiu, Brandenberger & Zhang '08)
- New Ekpyrotic Cosmology
(ghost condensate. Buchbinder, Khoury & Ovrut '07; Creminelli, Luty, Nicolis & Senatore '06)
- Galilean Genesis
(galilean field. Creminelli, Nicolis & Trincherini '10)
- ...

Sketch Plot

Crucial facts:

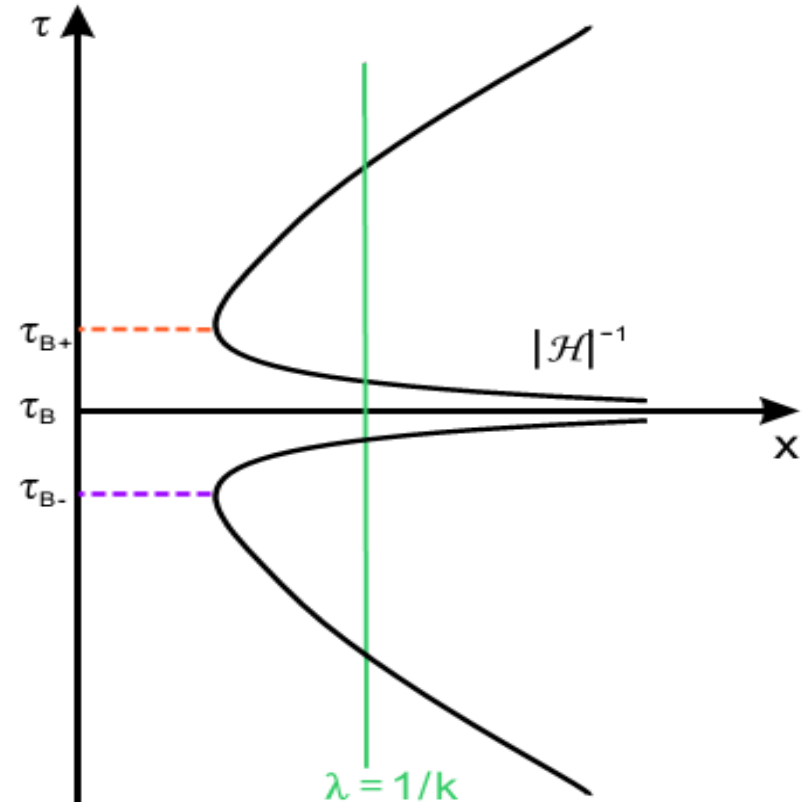
- Fluctuations originate on **sub-Hubble** scales
- Fluctuations propagate for a long time on **super-Hubble** scales
- Trans-Planckian problem: **Inflation**; Bounce

Brandenberger, hep-ph/9910410



Inflationary prediction:
a scale-invariant spectrum

CYF, Qiu, Brandenberger & Zhang, 0810.4677



Can we obtain a scale-invariant spectrum as in inflation?

PGWs of Matter Bounce Cosmology

- Matter bounce requires a phase of **matter-dominated contraction** ($w=0, \tau < 0$):

$$a \sim \tau^2 \quad \Rightarrow \quad \frac{a''}{a} = \frac{2}{\tau}$$

- Similar to the slow roll, there is

$$\epsilon \equiv -\frac{\dot{H}}{H^2} = \frac{3}{2}(1+w)$$

- Inserting to the EoM of Mukhanov-Sasaki variable

$$v_k \equiv h_{\mathbf{k}}/a \quad v_k'' + \left(k^2 - \frac{a''}{a}\right)v_k = 0$$

- Using the **vacuum initial condition**, the solution for PGWs in matter contraction takes

$$v = (\eta - \tilde{\eta}_{B-})^{1/2} \{ A_k^T J_{-(3/2)}[k(\eta - \tilde{\eta}_{B-})] \\ + B_k^T J_{3/2}[k(\eta - \tilde{\eta}_{B-})] \},$$

$$A_k^T = i \frac{\sqrt{\pi}}{2} \quad \text{and} \quad B_k^T = -\frac{\sqrt{\pi}}{2}$$

PGWs of Matter Bounce Cosmology

- Therefore, the asymptotic form of the solution in matter contracting phase is

$$v(k, \eta) = \begin{cases} -\frac{i}{\sqrt{2}} k^{-(3/2)} (\eta - \tilde{\eta}_{B-})^{-1}, & \text{outside Hubble radius} \\ \frac{1}{\sqrt{2}k} e^{-ik(\eta - \tilde{\eta}_{B-})}, & \text{inside Hubble radius} \end{cases}$$

- After evolving PGWs through the bouncing phase to connect with thermal expansion,

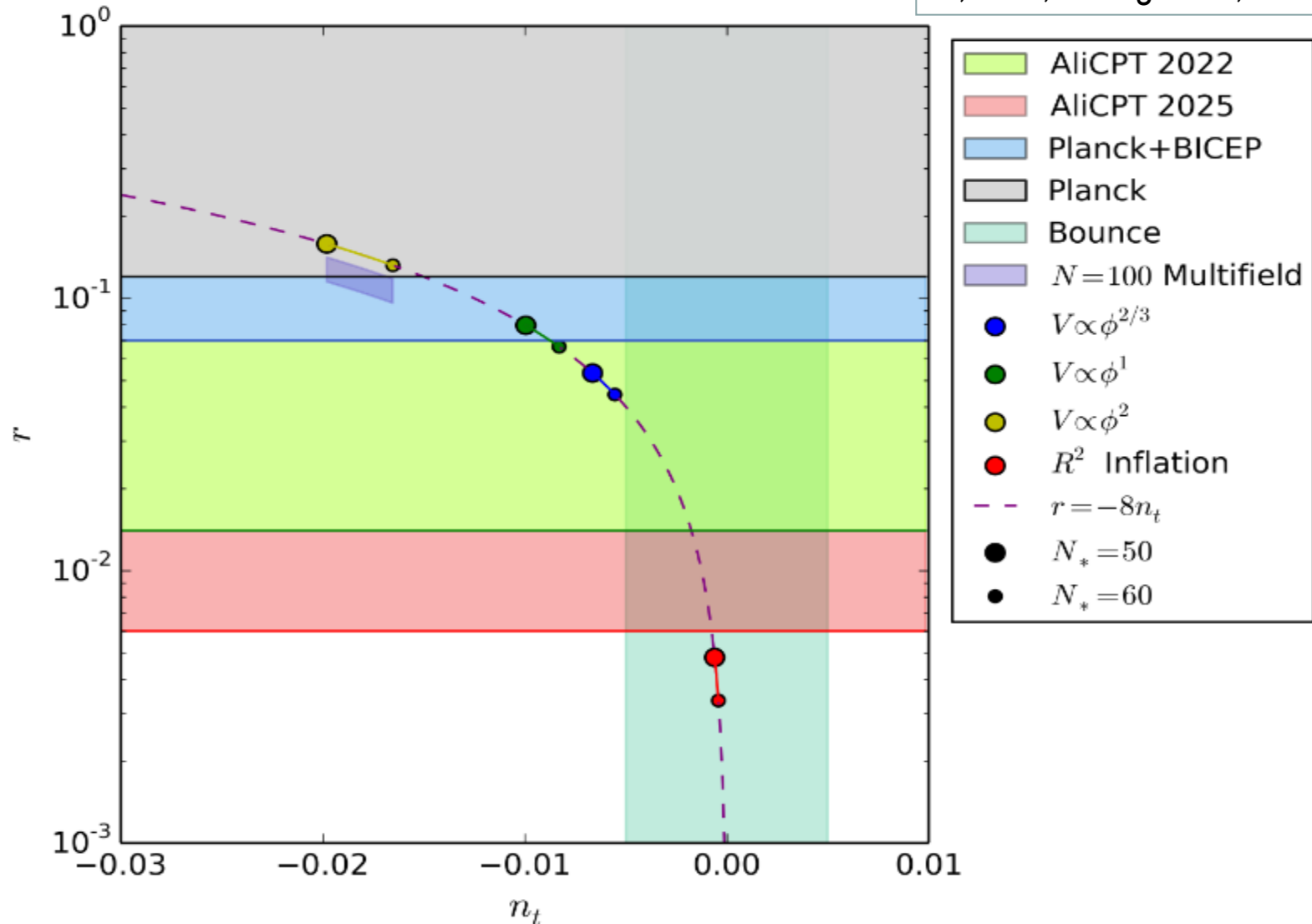
- Power spectrum:
$$P_T(k) = G \frac{32k^3}{\pi} \left| \frac{v^f}{a} \right|^2 = \frac{2\rho_{B+}}{27\pi^2 M_p^4}$$

- Spectral index:
$$n_T \equiv \frac{d \ln P_T}{d \ln k} = 0 \pm (\text{bg error})$$

- Tensor-to-Scalar ratio:
$$r \equiv \frac{P_T}{P_\zeta} \lesssim O(1)$$
 Consistency relation is broken for matter bounce

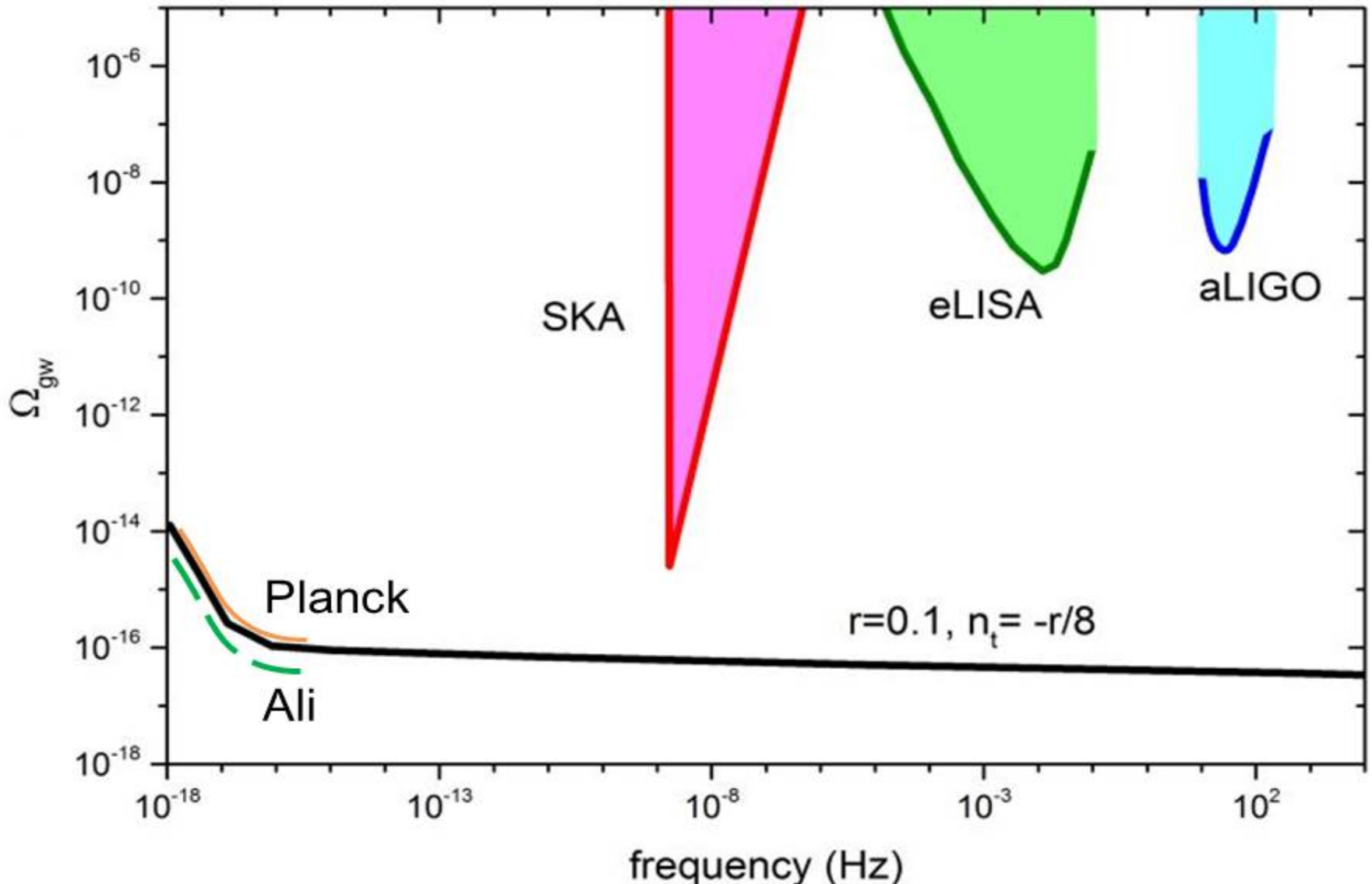
Consistency relation of inflationary PGWs and comparison with matter bounce and experiments

CYF & Zhang, 1605.01840;
Li, CYF, Zhang et.al., 1710.03047



Energy spectrum of inflationary PGWs and comparison with experimental sensitivities

CYF & Zhang, 1605.01840



Evolutions after primordial era

- Based on the big bang theory, assuming a CDM model:
Radiation + Matter + instantaneous transition

$$a(\tau) = \begin{cases} H_0 \sqrt{\Omega_{r0}} \tau, & 0 \leq \tau \leq \tau_{eq} \\ a_{eq} \left(\frac{\tau}{\tau_{eq}} \right)^2, & \tau_{eq} < \tau \leq \tau_0 \end{cases}$$

- Solutions:

- Radiation expansion

$$h_k(\tau) = A j_0(k\tau) + B y_0(k\tau) \longrightarrow A = h_k(0), B = 0$$

- Matter expansion

$$h_k(\tau) = A_k \left(\frac{3j_1(k\tau)}{k\tau} \right) + B_k \left(\frac{3y_1(k\tau)}{k\tau} \right)$$

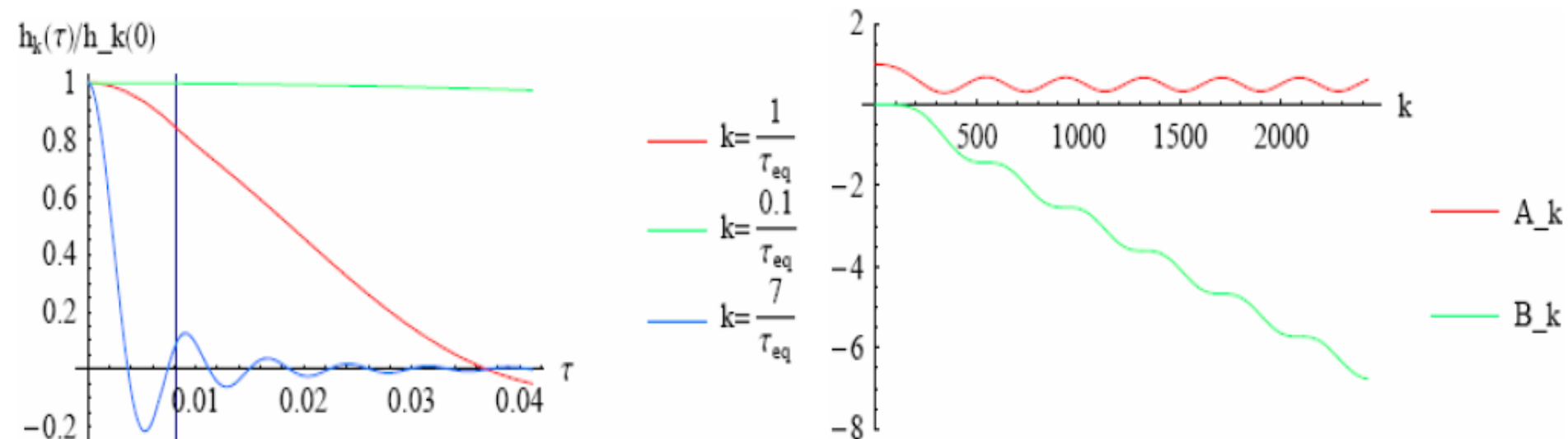
Evolutions after primordial era

- Continuity of the solution yields the coefficients:

$$A_k = h_k(0) \frac{3k\tau_{eq} - k\tau_{eq} \cos(2k\tau_{eq}) + 2 \sin(2k\tau_{eq})}{6k\tau_{eq}}$$

$$B_k = h_k(0) \frac{2 - 2k^2\tau_{eq}^2 - 2 \cos(2k\tau_{eq}) - k\tau_{eq} \sin(2k\tau_{eq})}{6k\tau_{eq}}$$

- Modes reenter the Hubble radius during radiation and matter phases:



- The transfer coefficients would be more complicated if more elements are taken into account, namely, the dark energy era, smooth transitions, anisotropic stress (cosmic neutrinos), etc.

Evolutions after primordial era

- Comments:
 - The generation of PGWs are associated with the **environment of the primordial phase**;
 - Evolutions after the primordial era, i.e. transfer functions, are associated with **phases** of radiation, matter, dark energy, and **phase transitions** that the universe has experienced, as well as the relativistic d.o.f., such as **free-streaming neutrinos**;
 - The study of the cosmic background of PGWs can reveal important information about the **evolution of the universe throughout the whole history**.
- **Question: How can we probe them in cosmological observations?**

From PGWs to the CMB

CMB Blackbody background

- CMB is a (nearly) perfect blackbody characterized by a phase space distribution function

$$f = \frac{1}{e^{E/T} - 1}$$

where the temperature $T(\mathbf{x}, \hat{\mathbf{n}}, t)$ is observed at our position $\mathbf{x}=\mathbf{0}$ and time t_0 to be nearly isotropic with a mean temperature of 2.725K

- Our observable is the temperature anisotropy

$$\Theta(\hat{\mathbf{n}}) \equiv \frac{T(0, \hat{\mathbf{n}}, t_0) - \bar{T}}{\bar{T}}$$

- Given that physical processes essentially put a band limit on this function it is useful to decompose it into a complete set of harmonic coefficients

PGWs induce temperature fluctuations & polarization in CMB

- Consider that the universe is filled with CMB photons that do not scatter. Thus, the **photon energies are affected only by the metric**.
- As a toy model, consider a single monochromatic plane-wave GW, which appears as a tensor perturbation to the FRW metric:

$$ds^2 = a^2(\eta) [d\eta^2 - dx^2(1 + h_+) + dy^2(1 - h_+) + dz^2]$$

$$h_+(\mathbf{x}, \eta) \simeq h(\eta)e^{ik\eta}e^{-ikz}$$

which describes a linearly-polarized plane wave along the z axis.

- Photons that propagate freely through this spacetime experience a **frequency shift** $d\nu$ in an expansion interval determined by the geodesic equation, which takes the form:

$$\frac{1}{\nu} \frac{d\nu}{d\eta} = -\frac{1}{2}(1 - \mu^2) \cos 2\phi e^{-ikz} \frac{d}{d\eta} (h e^{ik\eta})$$

where μ is the cosine of the angle that photon trajectory makes with z axis, ϕ is the azimuthal angle of the photon's trajectory.

PGWs induce temperature fluctuations & polarization in CMB

- The above effect is polarization-independent, but polarization is induced by Thomson scattering of this anisotropic radiation field. To account for the polarization, we must follow the time evolution of four distribution functions:

$$f_s(\mathbf{x}, \mathbf{q}; \eta)$$

\mathbf{q} is photon momentum; $s=(I,Q,U,V)$ are four Stokes parameters

- At unperturbed background:

$$\bar{f}_I(\mathbf{q}, \mathbf{x}; \eta) = \left[e^{h\nu/k_B T(\eta)} - 1 \right]^{-1} \quad \bar{f}_Q = \bar{f}_U = \bar{f}_V = 0$$

- Then we introduce the perturbations

$$\Delta_s e^{i\mathbf{k}\cdot\mathbf{x}} = 4\delta f_s / (\partial \bar{f} / \partial \ln T)$$

$$\Delta_I = \tilde{\Delta}_I (1 - \mu)^2 \cos 2\phi, \quad \Delta_Q = \tilde{\Delta}_Q (1 + \mu)^2 \cos 2\phi, \quad \Delta_U = \tilde{\Delta}_U 2\mu \sin 2\phi,$$

which are variables as functions only of μ and time.

Harmonic Analysis for CMB Polarizations

- Comments:

- Thomson scattering induces no circular polarization, so $\Delta_V = 0$ at all times.

- For GWs induced perturbations, there is: $\tilde{\Delta}_Q = -\tilde{\Delta}_U$ since the orientation of the photon polarization is fixed by the direction of the photon w.r.t. the GW polarization.

- Consequently, there are only two Boltzmann equations:

$$\dot{\tilde{\Delta}}_I + ik\mu\tilde{\Delta}_T = -\dot{h} - \dot{\kappa} [\tilde{\Delta}T - \Psi], \quad \dot{\tilde{\Delta}}_Q + ik\mu\tilde{\Delta}_Q = -\dot{\kappa} [\Delta_P + \Psi]$$

where the dot w.r.t. conformal time and Legendre moments:

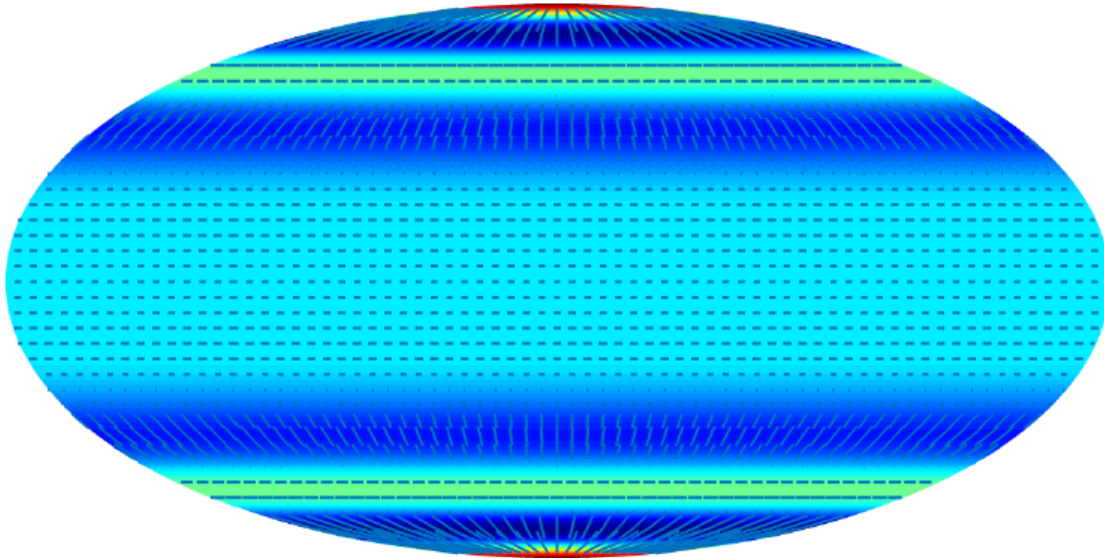
$$\tilde{\Delta}_{I\ell}(\eta) = (1/2) \int_{-1}^1 d\mu \tilde{\Delta}_I(\mu; \eta) P_\ell(\mu)$$

- The r.h.s. account for Thomson scattering.

Harmonic Analysis for CMB Polarizations

1510.06042

CMB temperature-polarization pattern induced by one Fourier mode of scalar perturbation (top) and one GW mode propagating in z direction (bottom):

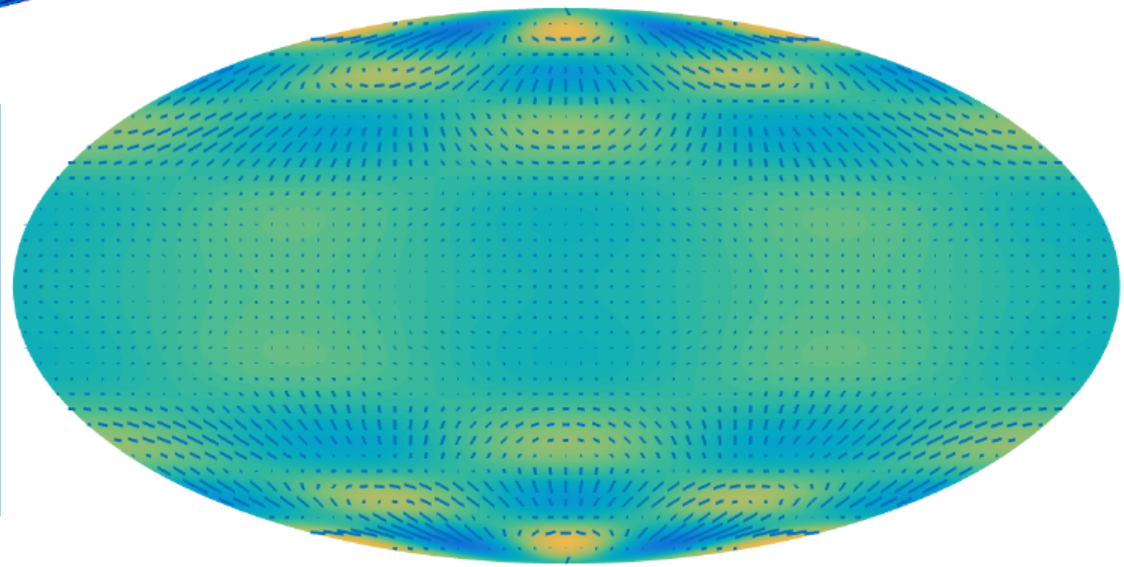


Scalar mode

Tensor mode

There are variations in U, the component of the polarization $\pi/4$ with respect to constant-longitude lines.

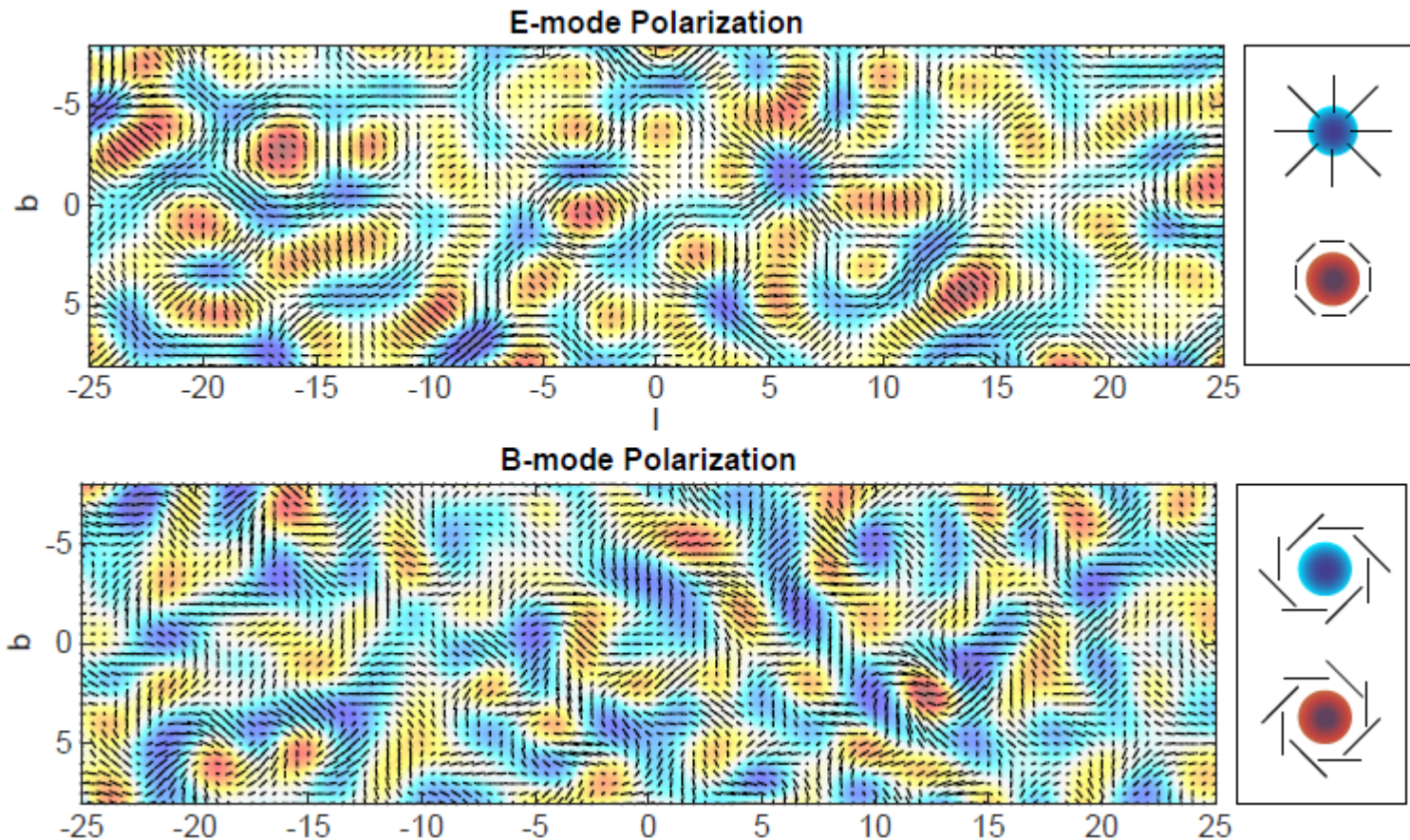
This is a signature of B mode induced by GW!



Harmonic Analysis for CMB Polarizations

- Note that the polarization is spin-2 field:

$$\begin{pmatrix} Q & U \\ U & -Q \end{pmatrix} \Rightarrow \begin{pmatrix} \cos \alpha & \sin \alpha \\ -\sin \alpha & \cos \alpha \end{pmatrix} \begin{pmatrix} Q & U \\ U & -Q \end{pmatrix} \begin{pmatrix} \cos \alpha & -\sin \alpha \\ \sin \alpha & \cos \alpha \end{pmatrix}$$



E and B modes from PGWs

- PGWs of k wave-number can induce the polarization tensor:

$$\mathcal{P}_{k,+}^{ab}(\theta, \phi) = \frac{T_0}{4\sqrt{2}} \sum_{\ell} (2\ell+1) P_{\ell}(\cos \theta) \tilde{\Delta}_{Q\ell} \begin{pmatrix} (1 + \cos^2 \theta) \cos 2\phi & 2 \cot \theta \sin 2\phi \\ 2 \cot \theta \sin 2\phi & -(1 + \cos^2 \theta) \csc^2 \theta \cos 2\phi \end{pmatrix}$$

- It yields the E & B coefficients:

$$a_{\ell m}^{E k,+} = \frac{\sqrt{\pi(2\ell+1)}}{4(\delta_{m,2} + \delta_{m,-2})^{-1}} \left[\frac{(\ell+2)(\ell+1)\tilde{\Delta}_{Q,\ell-2}}{(2\ell-1)(2\ell+1)} + \frac{6\ell(\ell+1)\tilde{\Delta}_{Q\ell}}{(2\ell+3)(2\ell-1)} + \frac{\ell(\ell-1)\tilde{\Delta}_{Q,\ell+2}}{(2\ell+3)(2\ell+1)} \right]$$

$$a_{\ell m}^{B k,+} = \frac{-i}{2\sqrt{2}} \sqrt{\frac{2\pi}{(2\ell+1)}} (\delta_{m,2} - \delta_{m,-2}) \left[(\ell+2)\tilde{\Delta}_{Q,\ell-1} + (\ell-1)\tilde{\Delta}_{Q,\ell+1} \right]$$

- The angular power of B mode for fixing k takes:

$$C_{\ell}^{\text{BB}, k,+} = \frac{1}{2\ell+1} \sum_m |a_{\ell m}^B|^2 = \frac{\pi}{2} \left[\frac{\ell+2}{2\ell+1} \tilde{\Delta}_{Q,\ell-1} + \frac{\ell-1}{2\ell+1} \tilde{\Delta}_{Q,\ell+1} \right]^2$$

- Integrating out k , one gets the BB angular power spectrum

$$C_{\ell}^{\text{BB}} = \frac{1}{2\pi} \int k^2 dk \left[\frac{\ell+2}{2\ell+1} \tilde{\Delta}_{Q,\ell-1}(k) + \frac{\ell-1}{2\ell+1} \tilde{\Delta}_{Q,\ell+1}(k) \right]^2$$

E and B modes from PGWs

- Comments:
 - Similar process applies for C_1^{EE}
 - The E-B cross correlation vanishes for the standard model
 - Taking the same analysis, it is easy to see that scalar perturbation only produces T and E, simply due to the fact that density perturbations do not produce a curl at linear level
 - But, B modes may still arise from density perturbations at nonlinear order
 - Question: How large are these foreground contaminations?

Lensing induced B modes

- The most relevant nonlinear effect is **weak gravitational lensing** induced by **(scalar type) density perturbations** between us and the CMB surface of last scatter.

astro-ph/9803150

- The Stokes parameters displace along a given direction:

$$\begin{pmatrix} T \\ Q \\ U \end{pmatrix}_{\text{obs.}}(\boldsymbol{\theta}) = \begin{pmatrix} T \\ Q \\ U \end{pmatrix}_{\text{ls}}(\boldsymbol{\theta} + \delta\boldsymbol{\theta}) \simeq \begin{pmatrix} T \\ Q \\ U \end{pmatrix}_{\text{ls}}(\boldsymbol{\theta}) + \delta\boldsymbol{\theta} \cdot \nabla \begin{pmatrix} T \\ Q \\ U \end{pmatrix}_{\text{ls}}(\boldsymbol{\theta})$$

where $\delta\boldsymbol{\theta} = \nabla\Phi$ is the lensing deflection along gravitational potential.

- If no PGWs, there is only E mode at LSS with:

$$\tilde{Q}(\ell) = 2\tilde{E}(\ell) \cos 2\varphi_\ell \quad U(\ell) = -2E(\ell) \sin 2\varphi_\ell$$

Lensing induced B modes

- Gravitational deflection leads to

$$B(\ell) = \frac{1}{2}[\sin 2\varphi_\ell \delta Q(\ell) - \cos 2\varphi_\ell \delta U(\ell)] = \int \frac{d^2 l_1}{(2\pi)^2} [\ell_1 \cdot (\ell - \ell_1)] E(\ell_1) \Phi(\ell - \ell_1) \sin 2\varphi_{\ell_1}$$

- The angular power spectrum of lensing B modes takes

$$C_\ell^{\text{BB}} = \int \frac{d^2 l_1}{(2\pi)^2} [\ell_1 \cdot (\ell - \ell_1)]^2 \sin^2 2\varphi_{\ell_1} C_{|\ell - \ell_1|}^{\Phi\Phi} C_{\ell_1}^{\text{EE}}$$

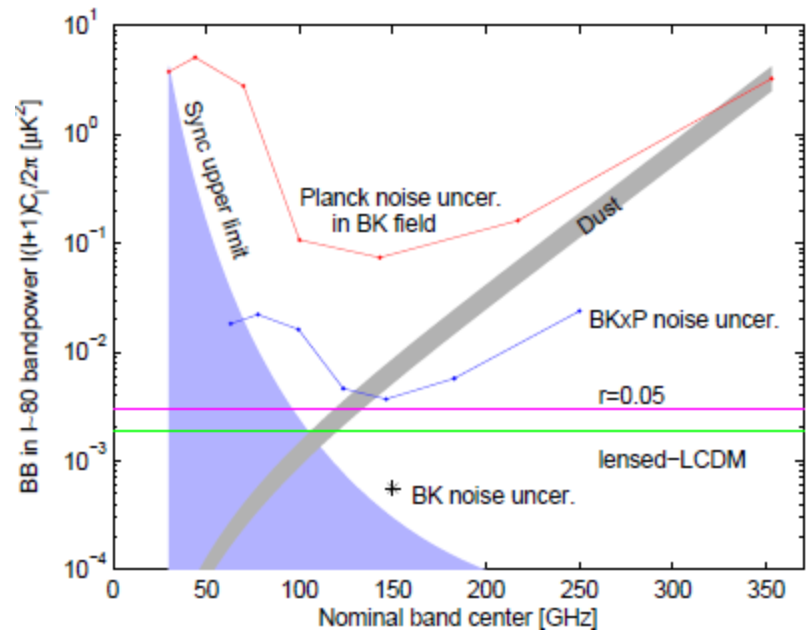
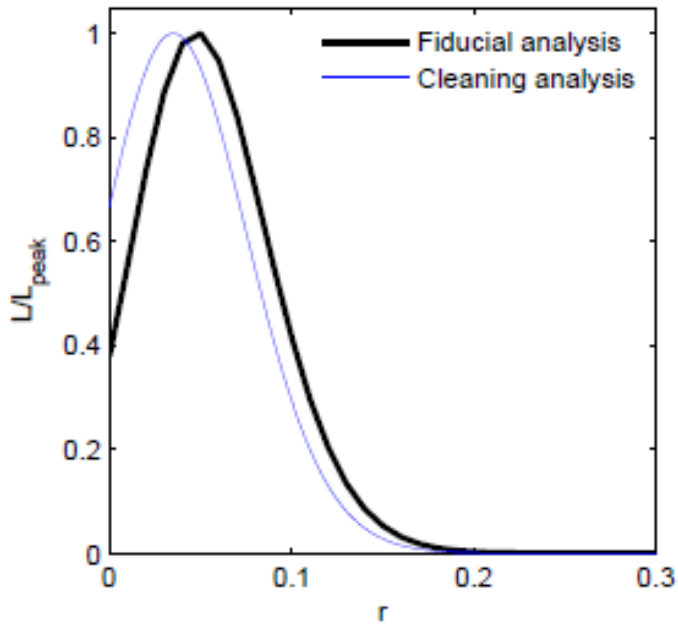
- It was for the first time [detected by the SPT](#) in 2013.

Foreground contributions to B modes

- Galactic foregrounds:
 - Synchrotron: Galactic synchrotron emission is dominant at frequencies **below 100 GHz**, and both WMAP and Planck have observed its polarization signature at frequencies from 30 to 90 GHz;
 - Dust: **Above 100 GHz**, thermal emission from asymmetric dust in the interstellar medium, which align themselves with the Galactic magnetic field, induces a strong polarization signal;
 - ...
- One must use **techniques of de-lensing and non-Gaussian diagnosis** to eliminate foreground contaminations to extract signals of PGWs.

So far where we are ...

1502.00612



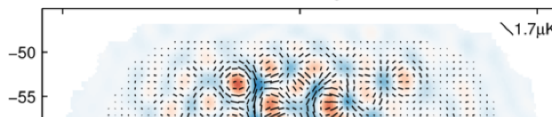
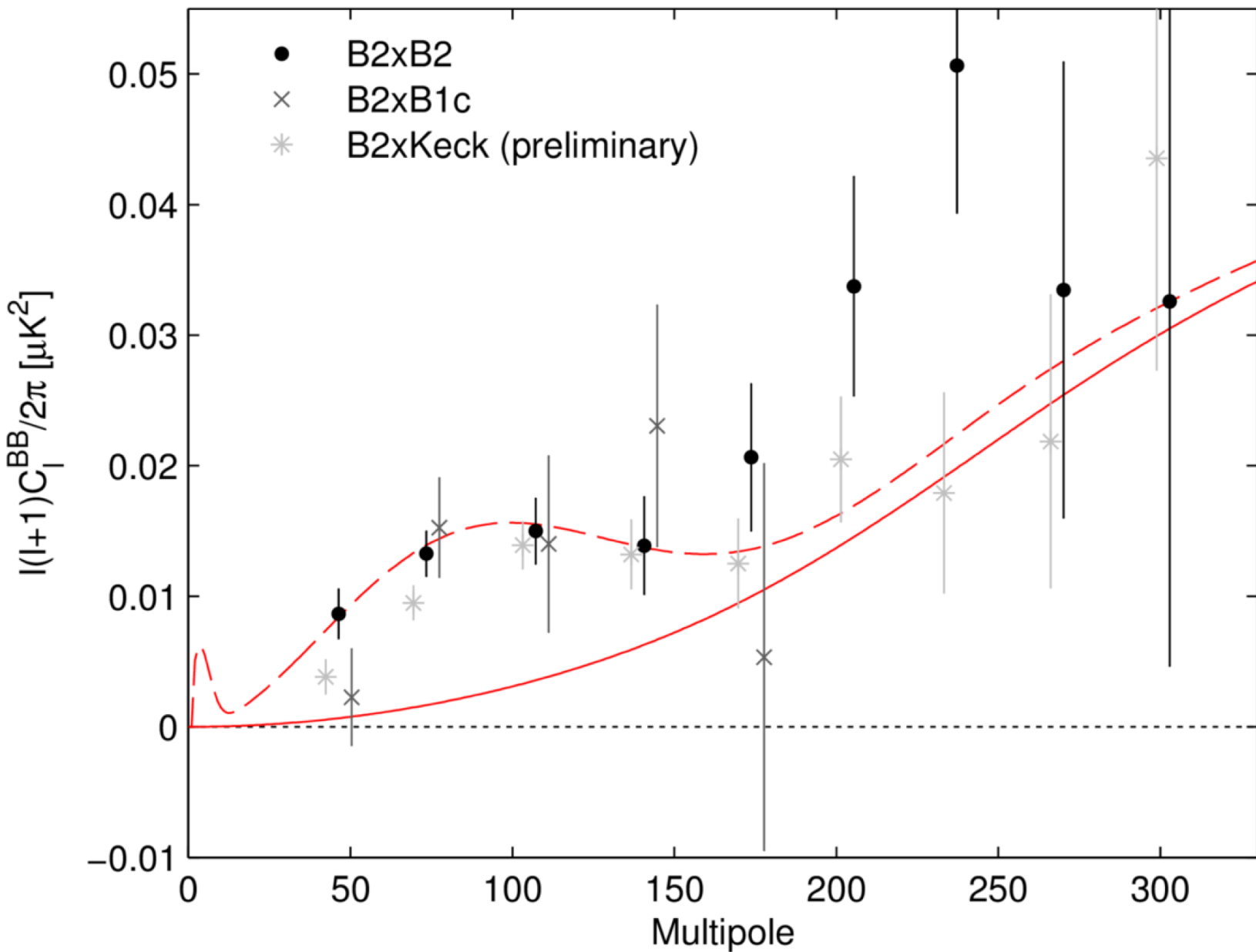
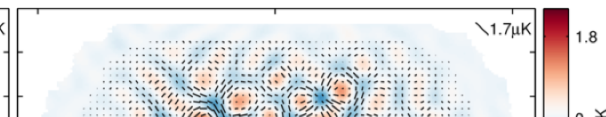
- No signal of PGWs: $r < 0.07$ at 2σ under a joint analysis of data from BICEP/Keck Array & Planck 2015.

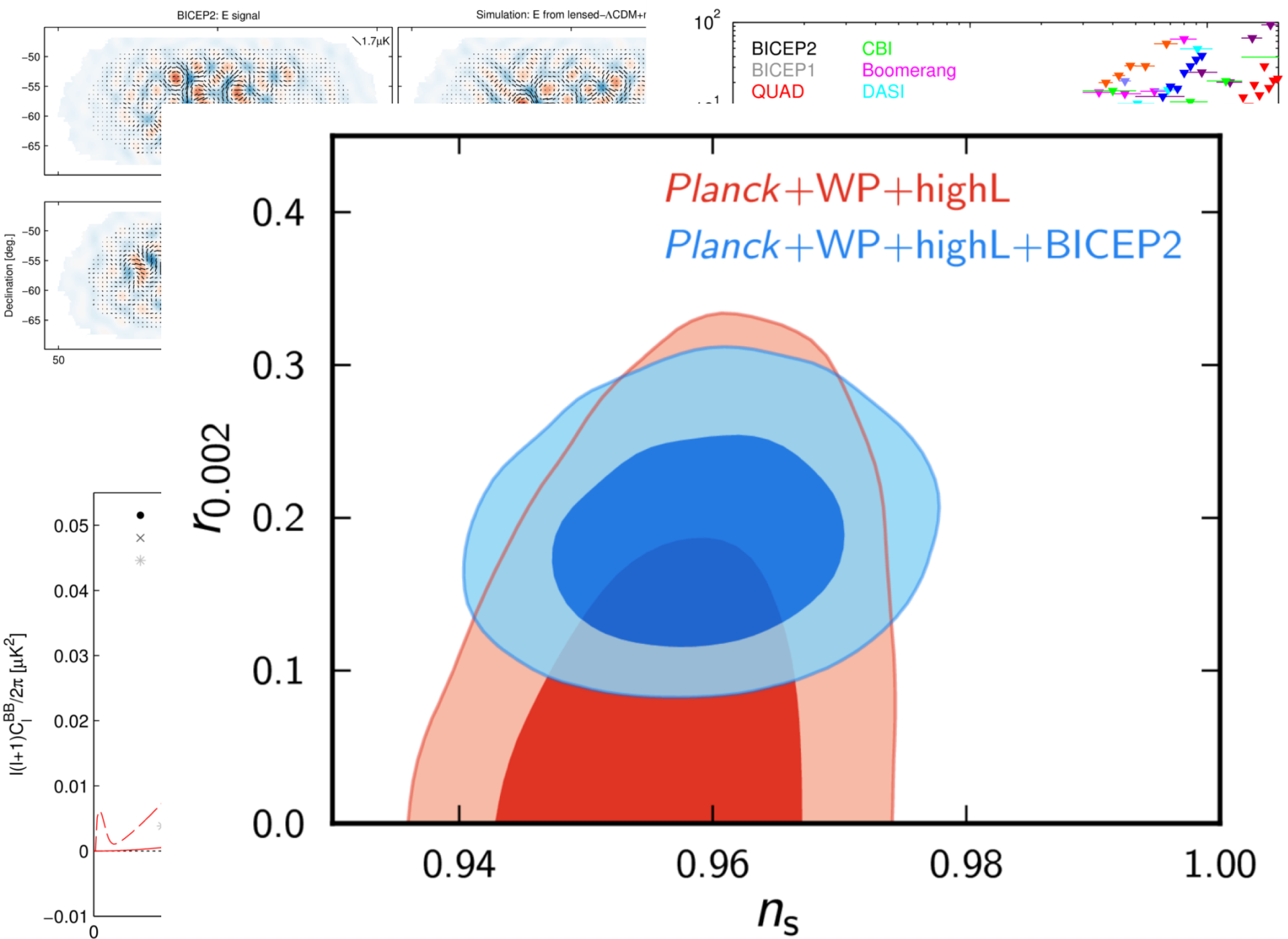
A good but not perfect lesson from BICEP2

BICEP instrument at the South Pole



BICEP2: E signal

Simulation: E from lensed- Λ CDM+noise



BICEP2 gives:

$$r \equiv \frac{P_T}{P_S} = 0.20^{+0.07}_{-0.05} \quad (68\% \text{ C.L.})$$

Note: dust foreground contamination was not considered!

Planck13 yields:

$$\begin{aligned} \ln(10^{10} A_s) &= 3.089^{+0.024}_{-0.027} \\ n_s &= 0.9603 \pm 0.0073 \quad (68\% \text{ C.L.}) \\ r &< 0.11 \quad (95\% \text{ C.L.}) \end{aligned}$$

There exists a severe tension between BICEP2 and Planck !

Implications on inflation models from string cosmology

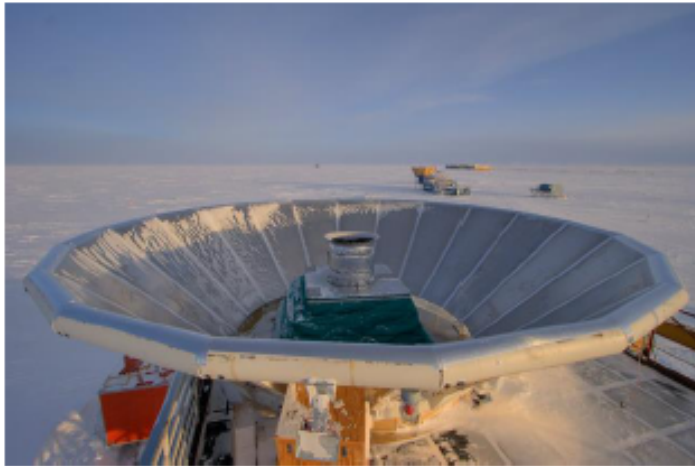
Burgess, Cicoli, Quevedo, 1306.3512

String Scenario	n_s	r
$D3/\overline{D3}$ Inflation	$0.966 \leq n_s \leq 0.972$	$r \leq 10^{-5}$
Inflection Point Inflation	$0.92 \leq n_s \leq 0.93$	$r \leq 10^{-6}$
DBI Inflation	$0.93 \leq n_s \leq 0.93$	$r \leq 10^{-7}$
Wilson Line Inflation	$0.96 \leq n_s \leq 0.97$	$r \leq 10^{-10}$
D3/D7 Inflation	$0.95 \leq n_s \leq 0.97$	$10^{-12} \leq r \leq 10^{-5}$
Racetrack Inflation	$0.95 \leq n_s \leq 0.96$	$r \leq 10^{-8}$
N-flation	$0.93 \leq n_s \leq 0.95$	$r \leq 10^{-3}$
Axion Monodromy	$0.97 \leq n_s \leq 0.98$	$0.04 \leq r \leq 0.07$
Kahler Moduli Inflation	$0.96 \leq n_s \leq 0.967$	$r \leq 10^{-10}$
Fibre Inflation	$0.965 \leq n_s \leq 0.97$	$0.0057 \leq r \leq 0.007$
Poly-instanton Inflation	$0.95 \leq n_s \leq 0.97$	$r \leq 10^{-5}$

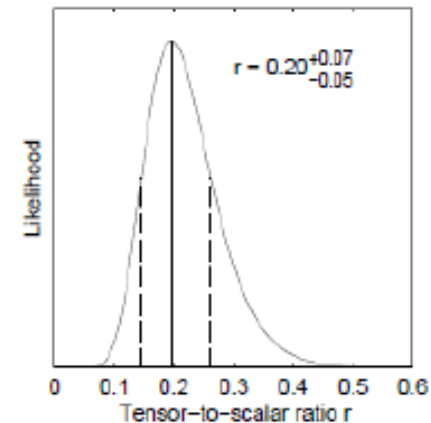
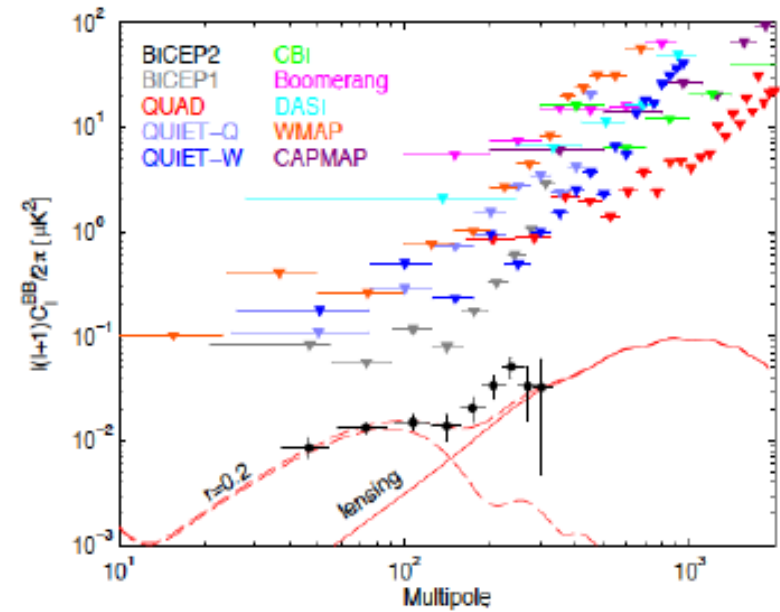
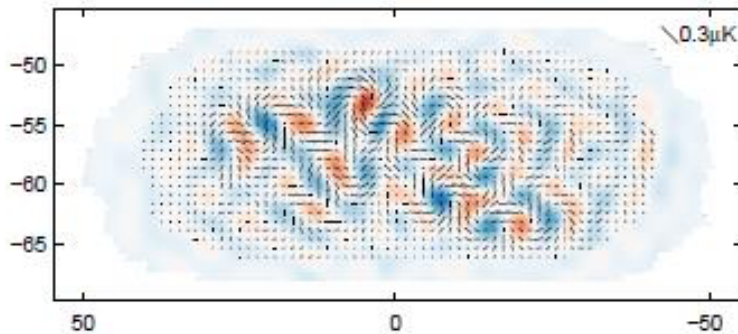
Table 1. Predictions for the cosmological observables n_s and r for different string inflationary models.

If BICEP2 were correct, all above models would have been ruled out!

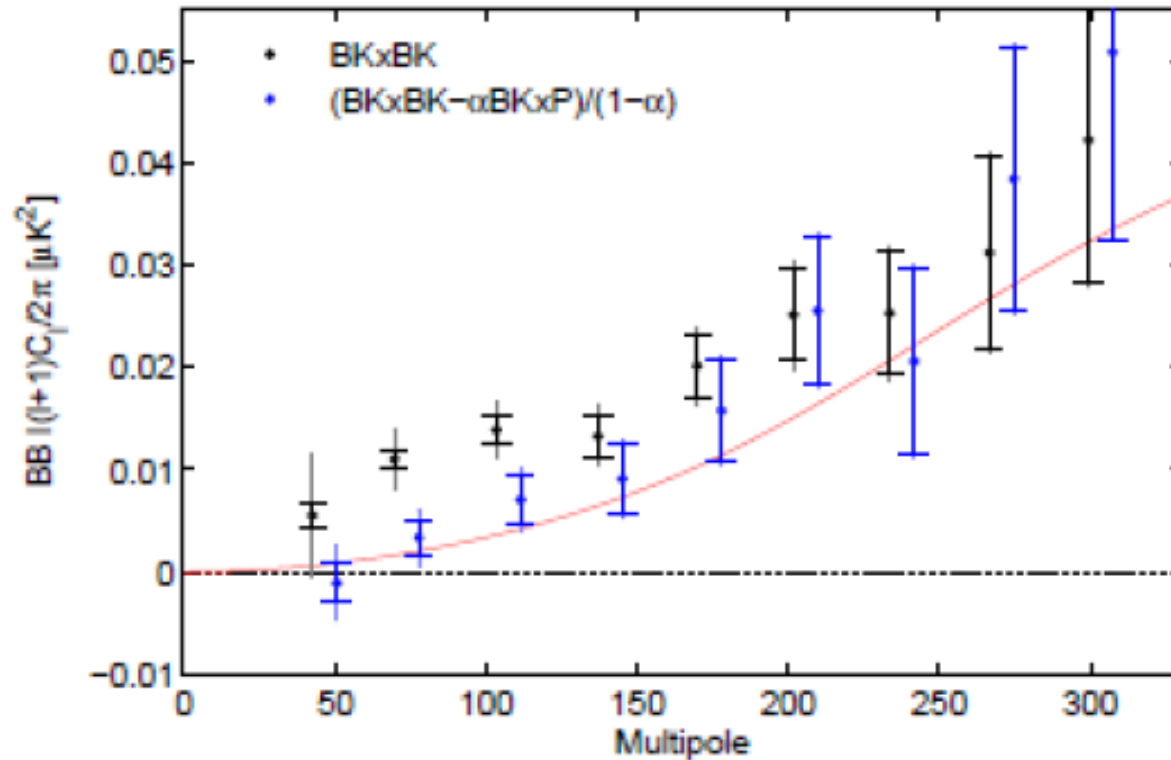
BICEP2 claimed the detection of primordial GWs for the first time, but...



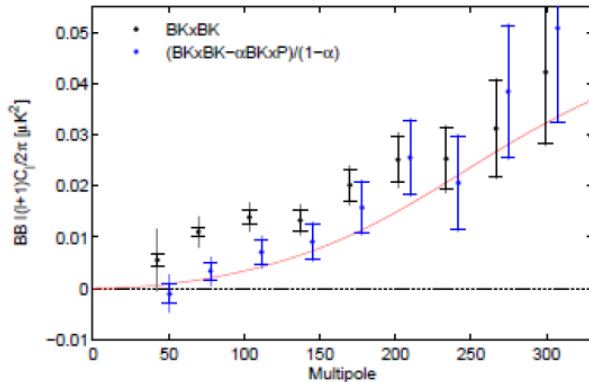
BICEP2: B signal



BICEP2 signals are very likely from the foreground dust contamination



BICEP2 signals are very likely from the foreground dust contamination

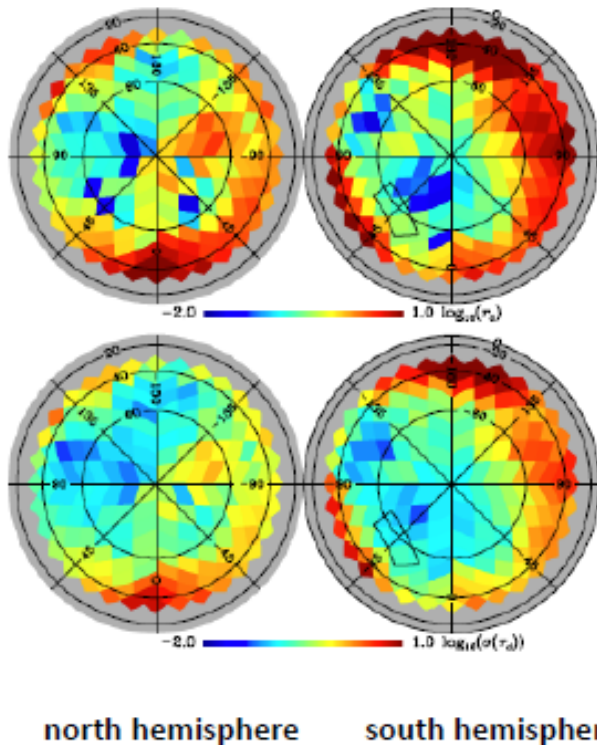


No winner yet! Who first hit to 21 points?

Searching for primordial GWs becomes important in the community.

Polarization foreground from galaxy

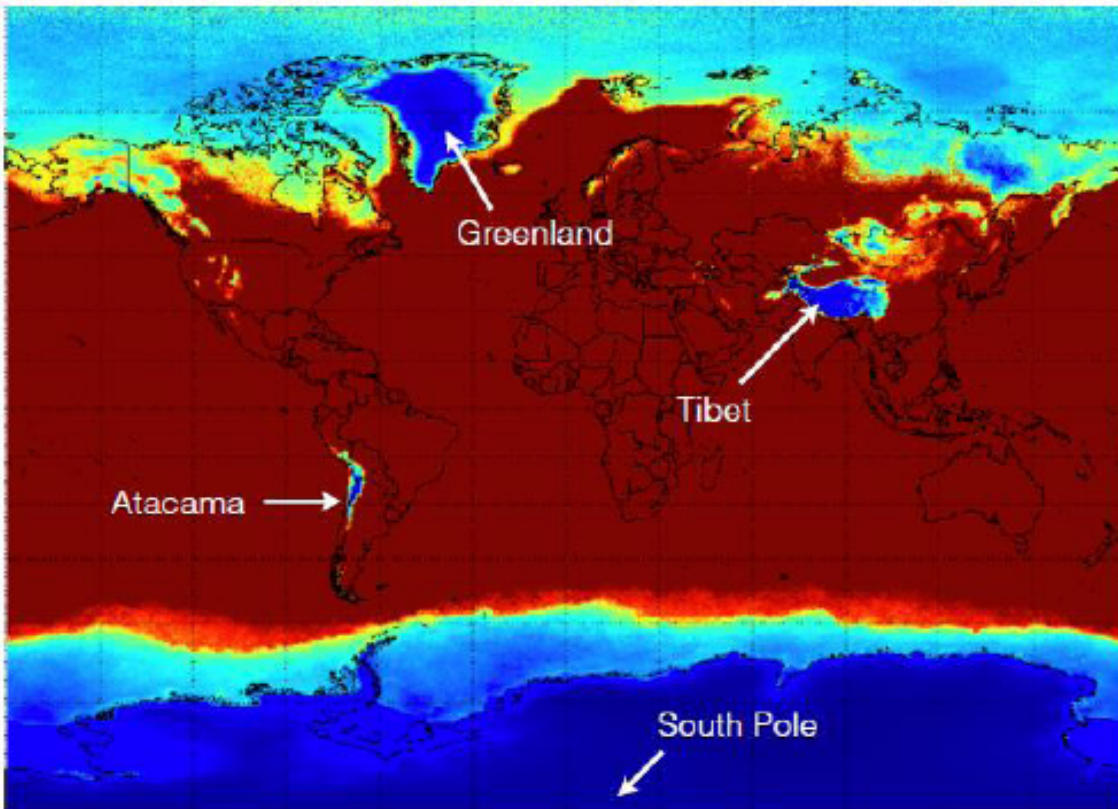
full sky covered is required !



- Planck can provide us the full sky coverage, but the S/N is very limited;
- After Planck, there is so far no further space-based projects;
- The ground-based CMB polarization projects will be the key developments in the next decade.

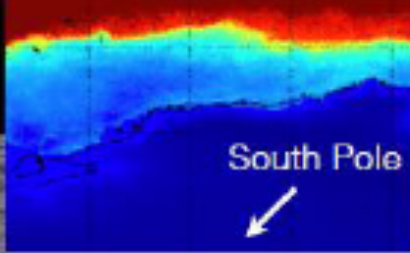
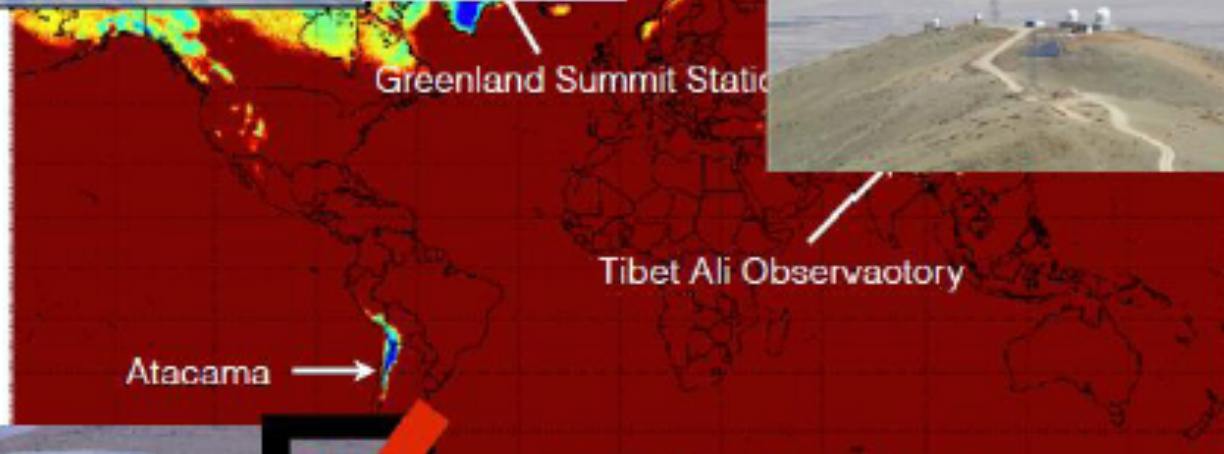
A full sky coverage is needed!

How many places suitable for CMB?

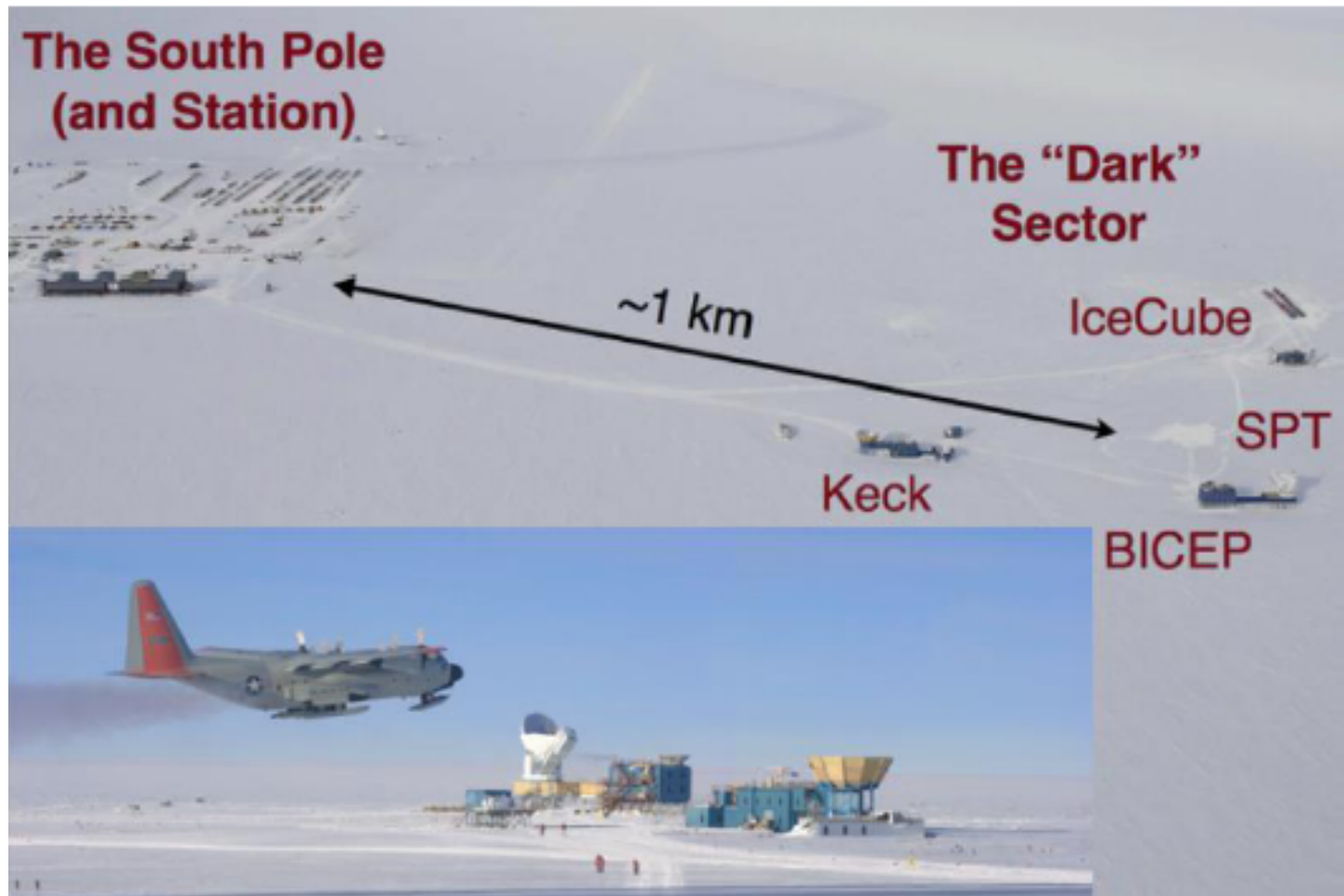


- Blue areas indicate high atmospheric transmission rate, which are suitable for CMB observations!
- Four best places on Earth: **Greenland, Tibet, Atacama desert, Antarctica**

Ground-based CMB experiments



CMB at South Pole



CMB at Atacama



**Full-sky coverage expects the
CMB experiments in the north
part of the earth**

Ali (Ngari) Vs Greenland

So far there is no CMB experiment in the north earth.

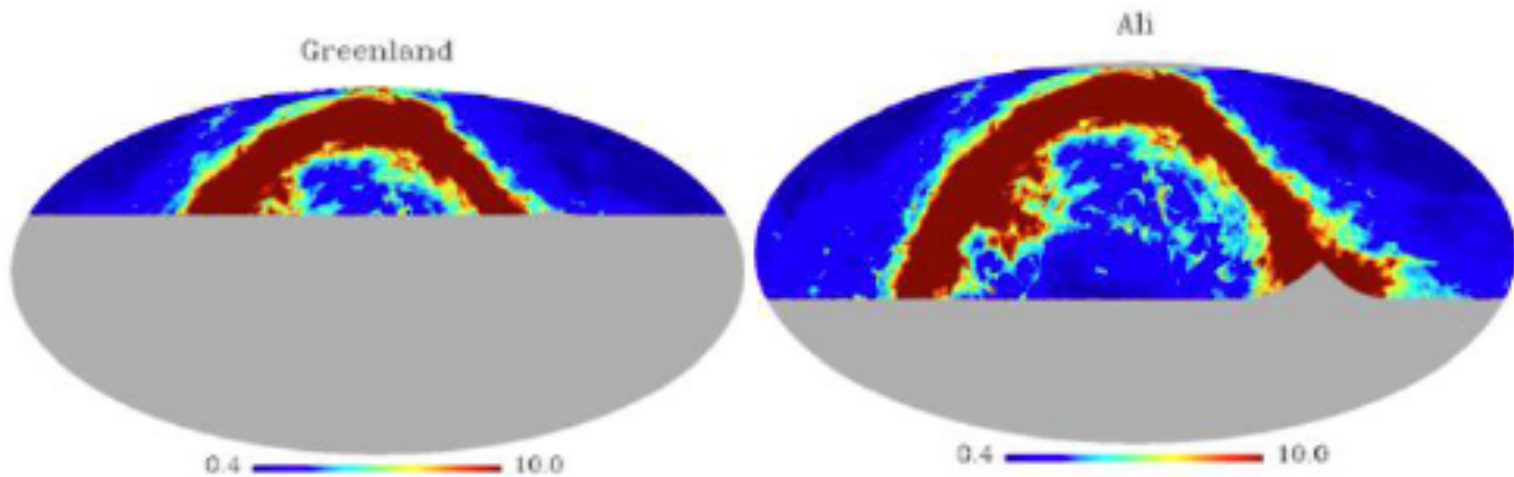
Facilities:

- Ali site has well established facilities including internet, electricity, public transportation, ...
- Site at Greenland is now only supplied by the US army, ...



Ali (Ngari) Vs Greenland

The sky coverage of Ali is double of that of Greenland.



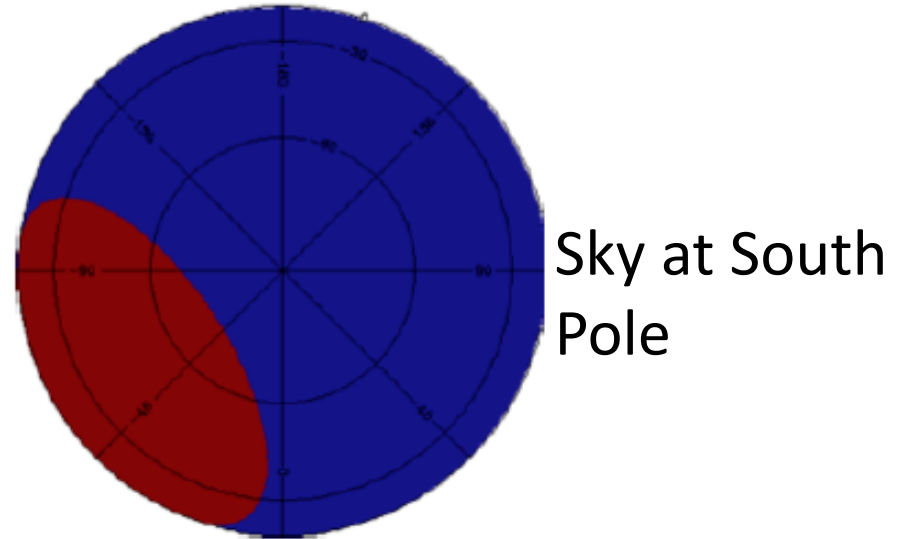
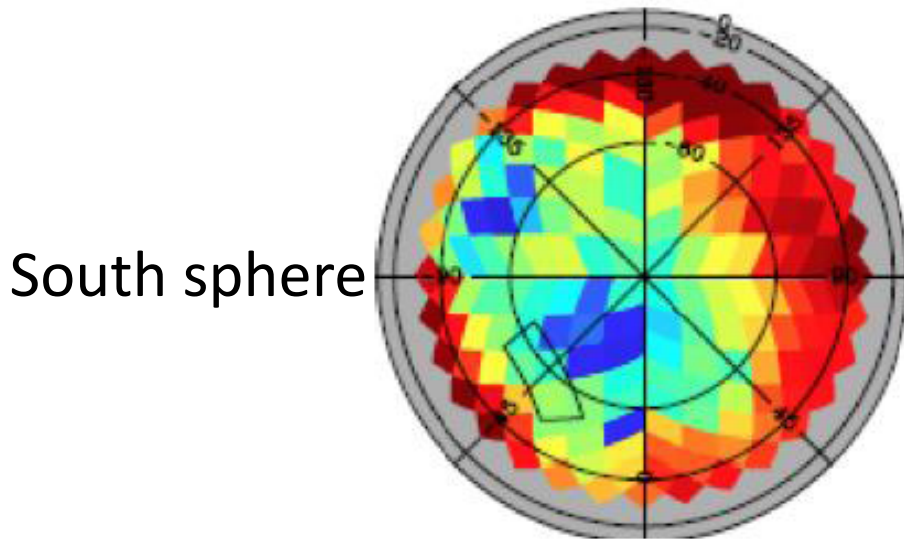
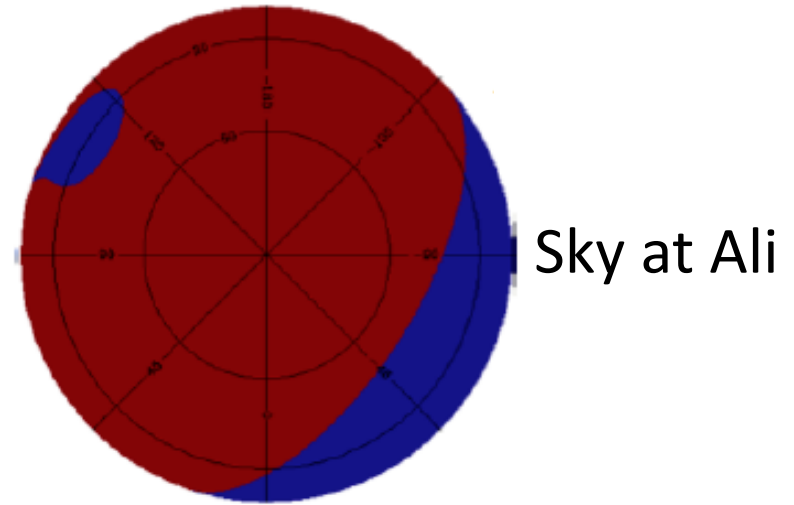
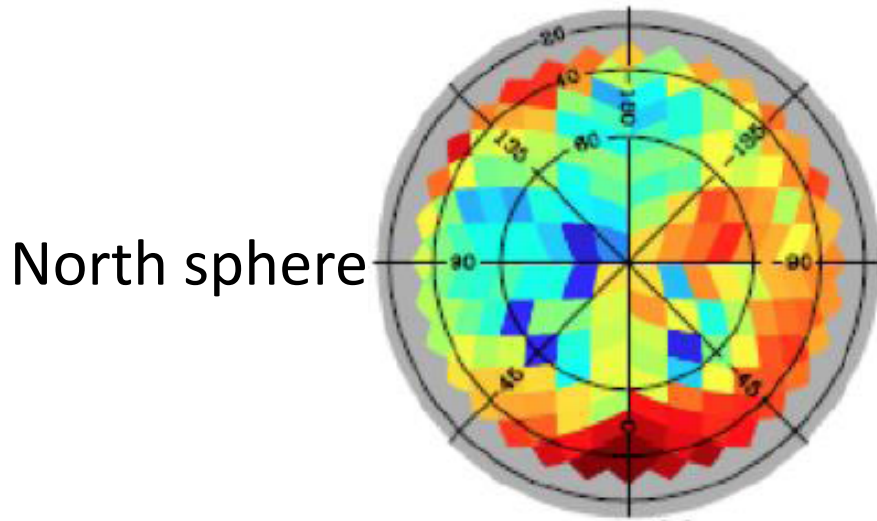
sky coverage: 30%

sky coverage: 65%

KO!



Planck results indicate clean areas in the north earth



32°19'N 80°1'E



Ali Observatory

Numerical simulations of CMB forecast for Ali Cosmic Polarization Telescopes

Microwave Sky

Time ordered data

Multi-frequency maps

Sky map

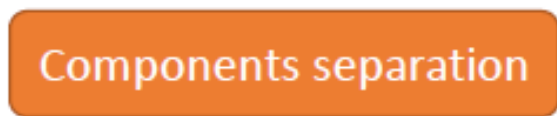
Power spectra

Measurement

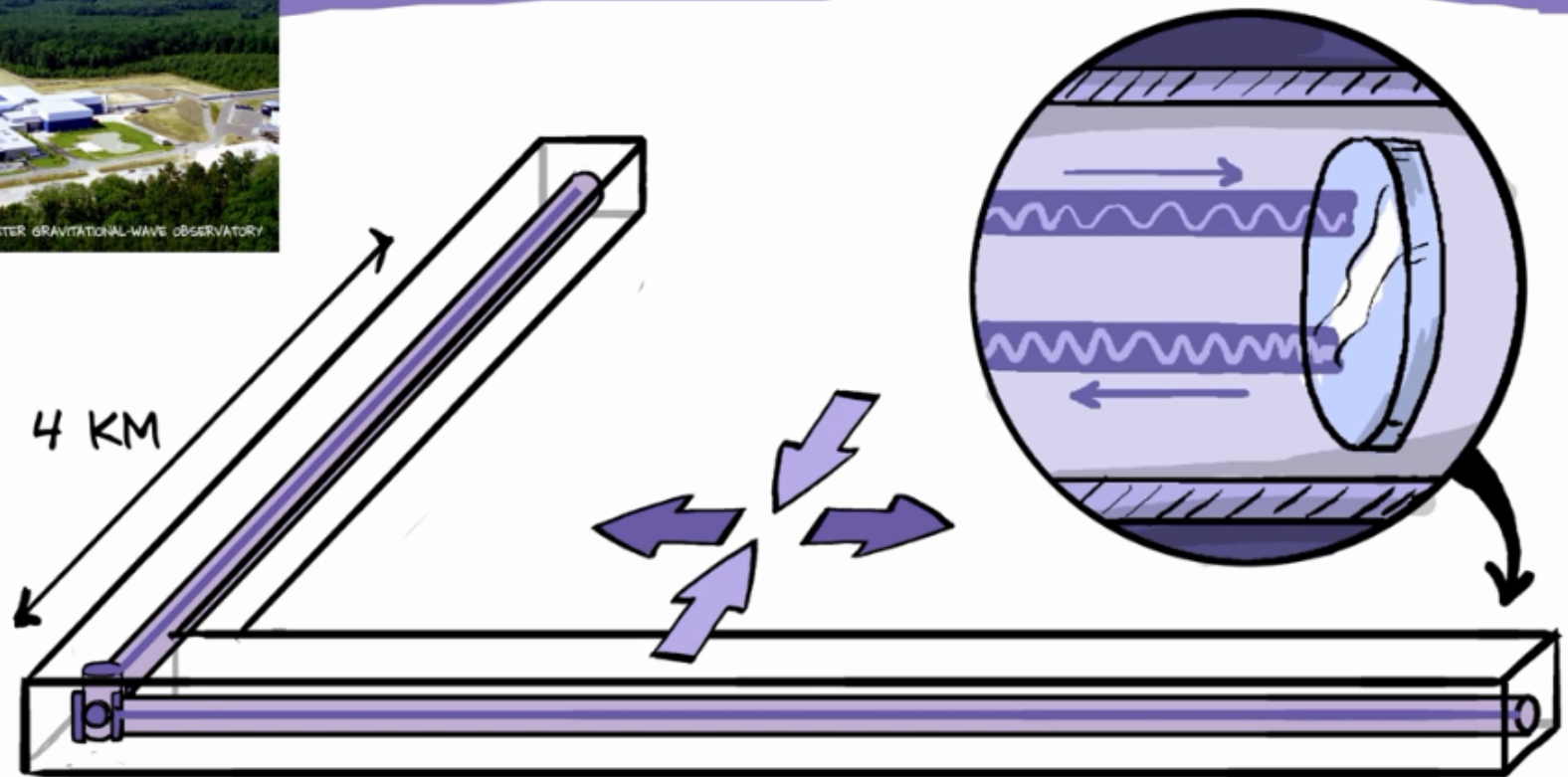
Map-making

Components separation

Estimation

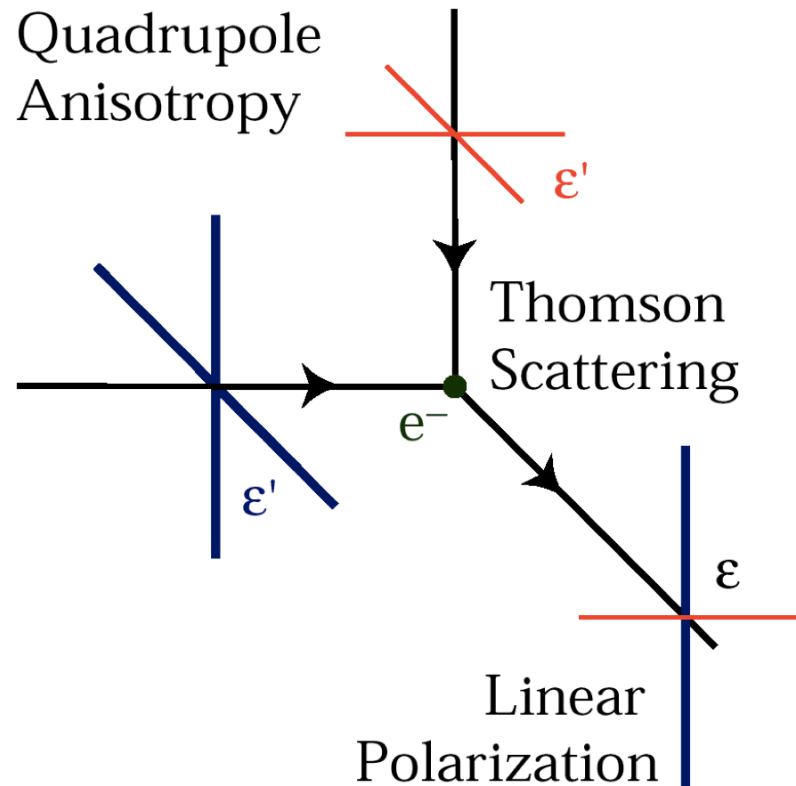
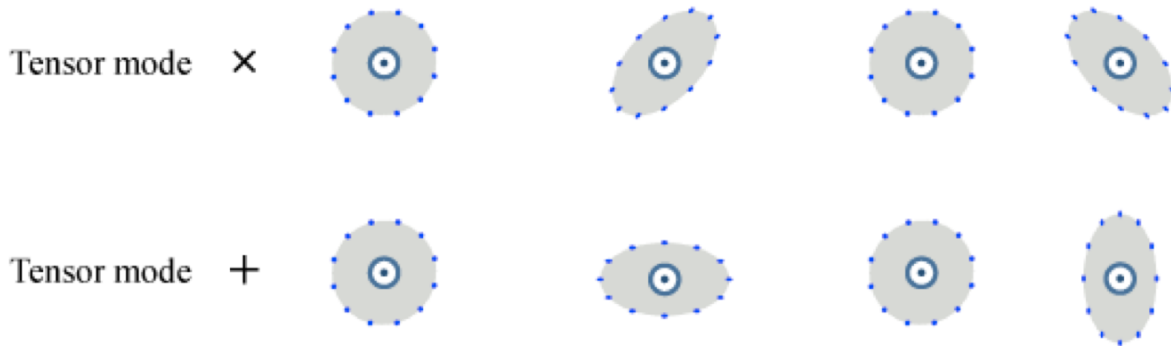


Comparison between LIGO & Ali



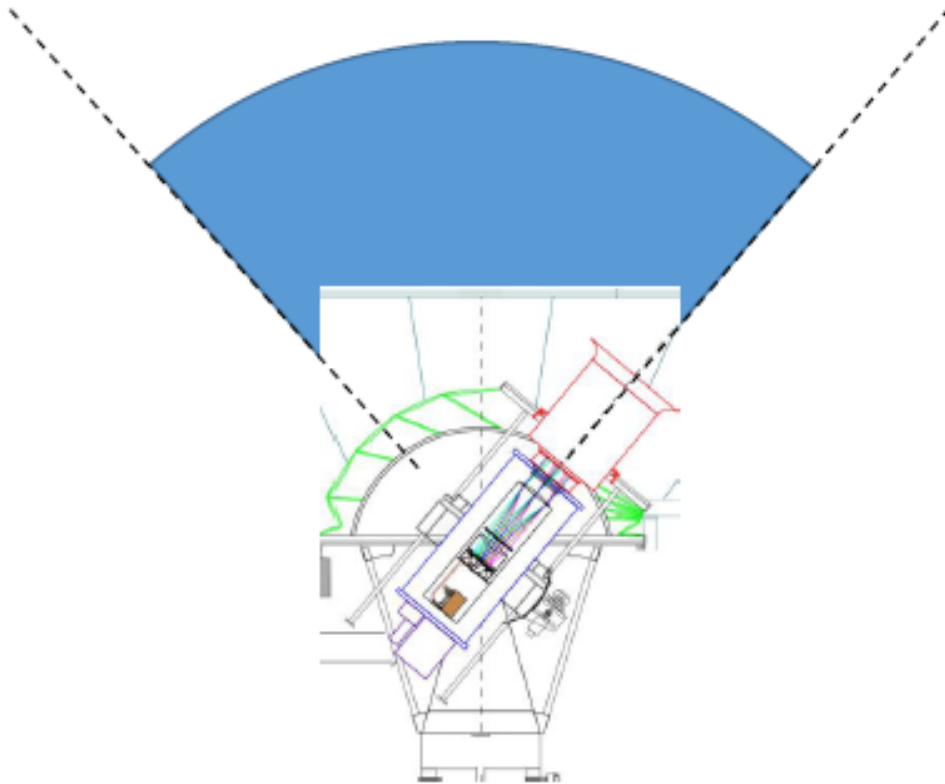
Comparison between LIGO & Ali

Polarizations present in GR: Fully transverse to the line of propagation



Scan strategy

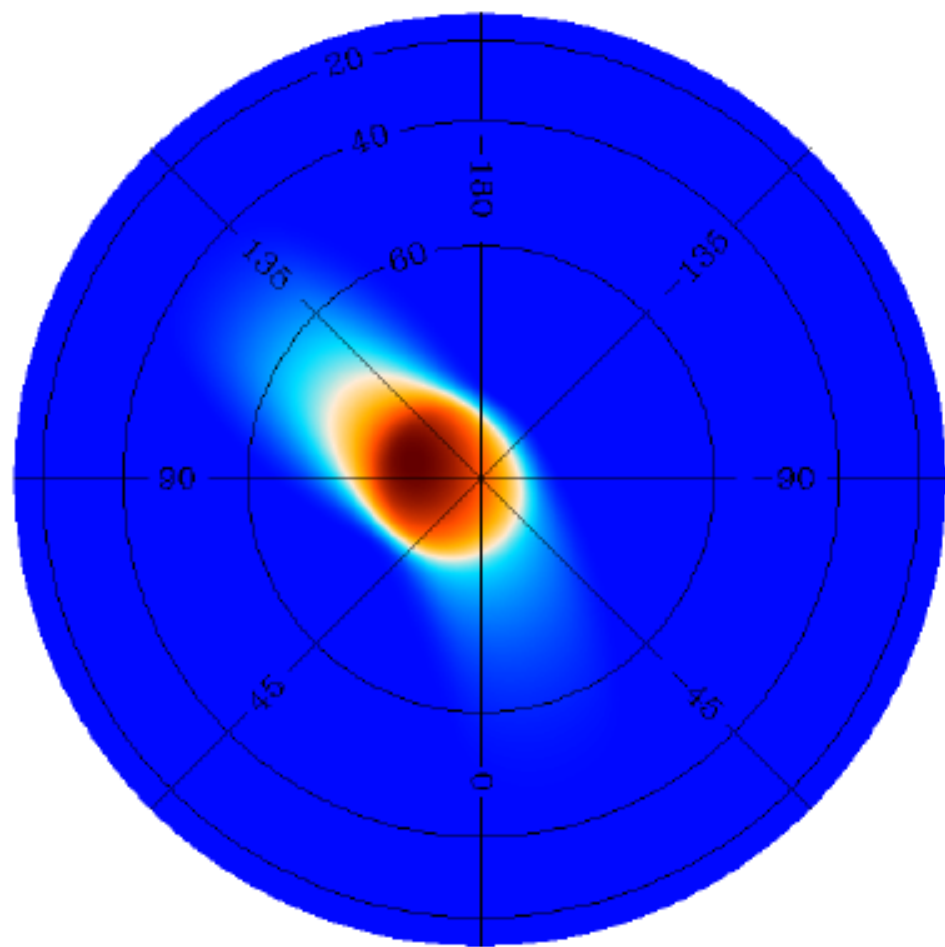
Elevation angle: 45~90 deg



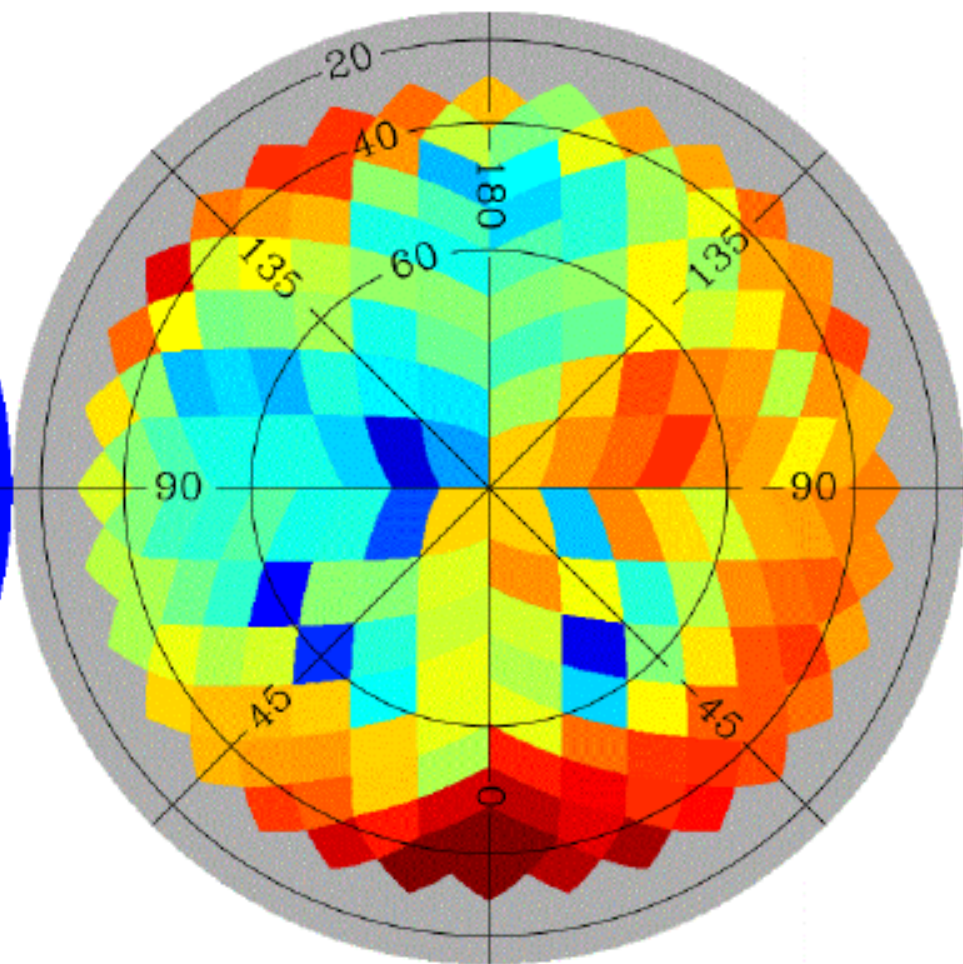
From Nov 25th 2017 to Mar 21st 2018

Observation time: 11 p.m. ~ 5 a.m.

Field of view: 20 deg

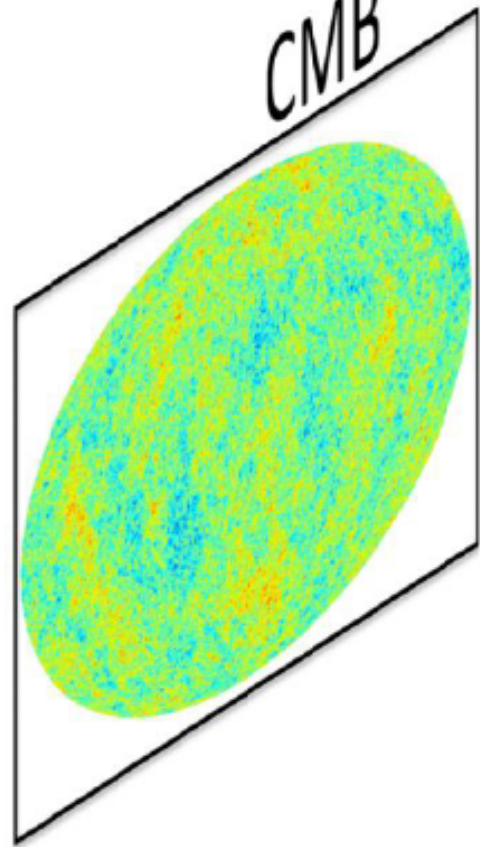


Simulated hit-map

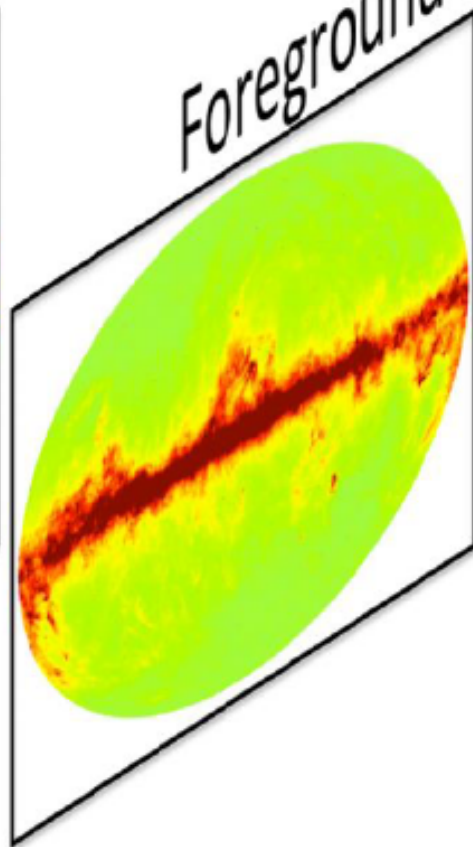


r_{dust} of Planck 353GHz map

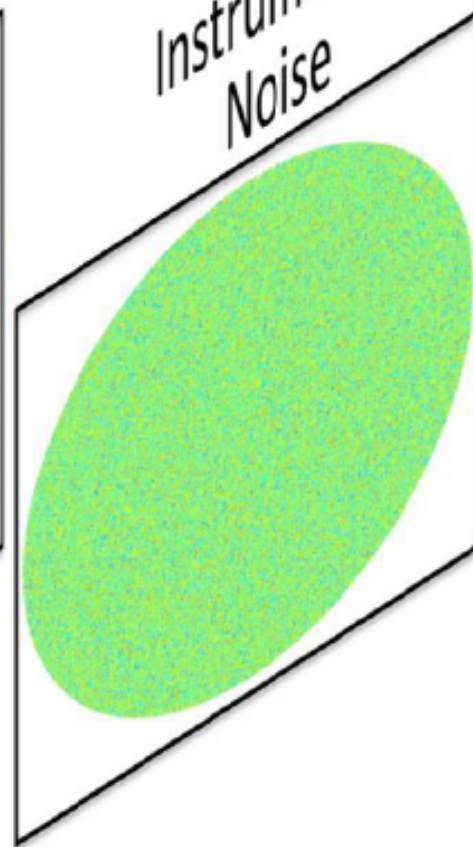
CMB



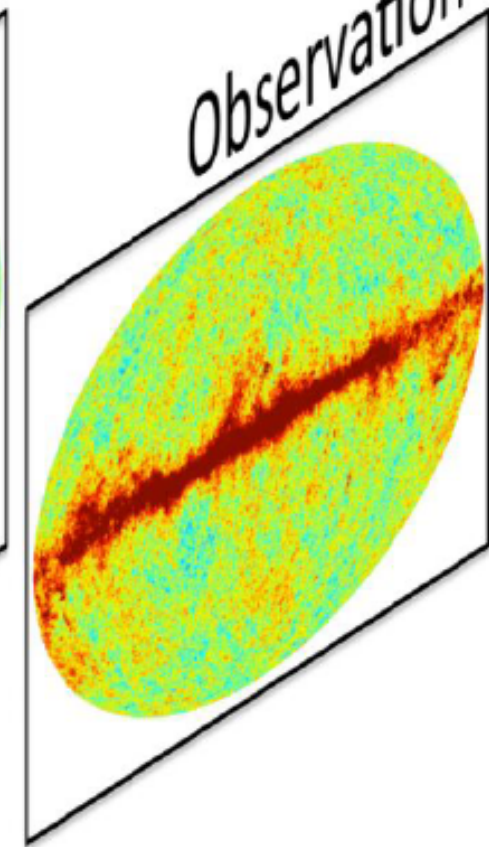
Foreground



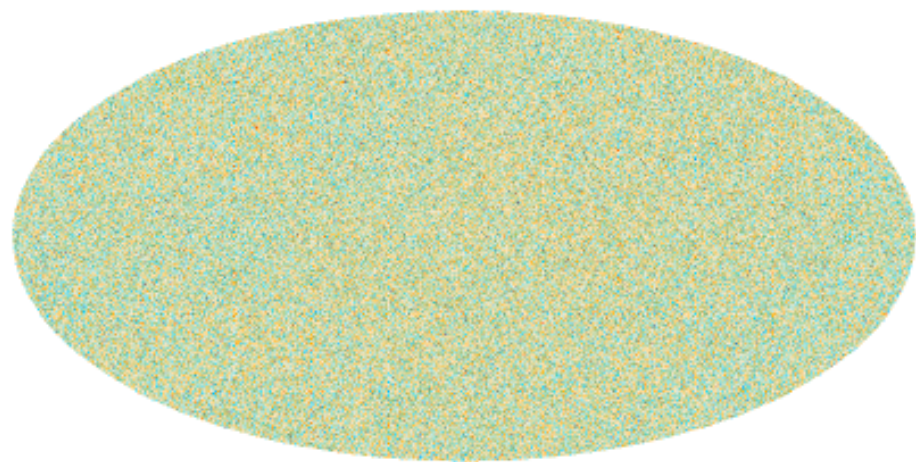
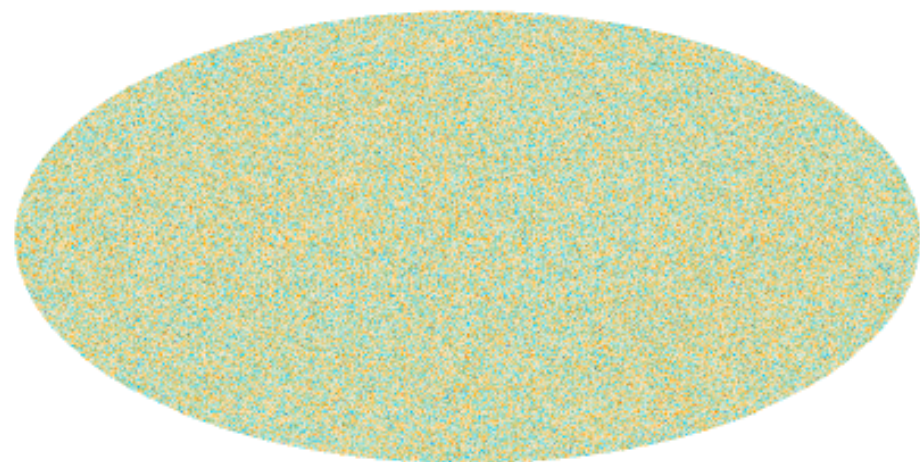
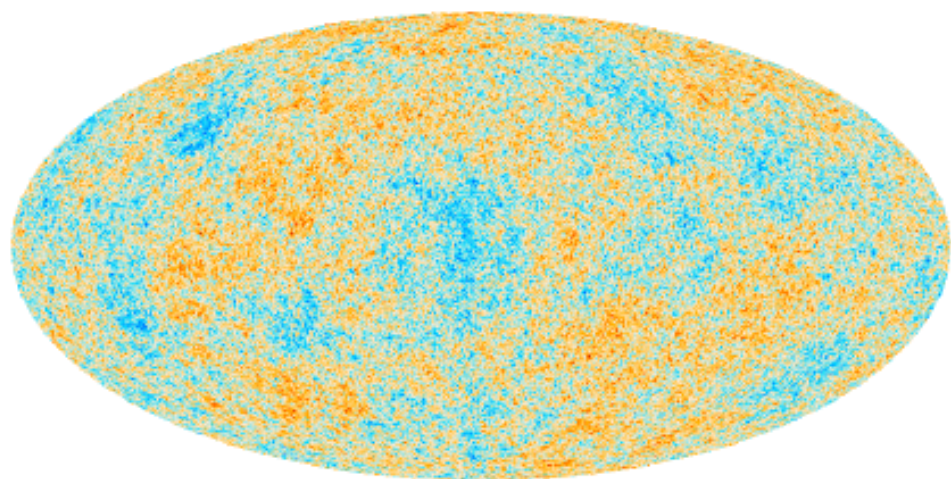
Instrumental
Noise



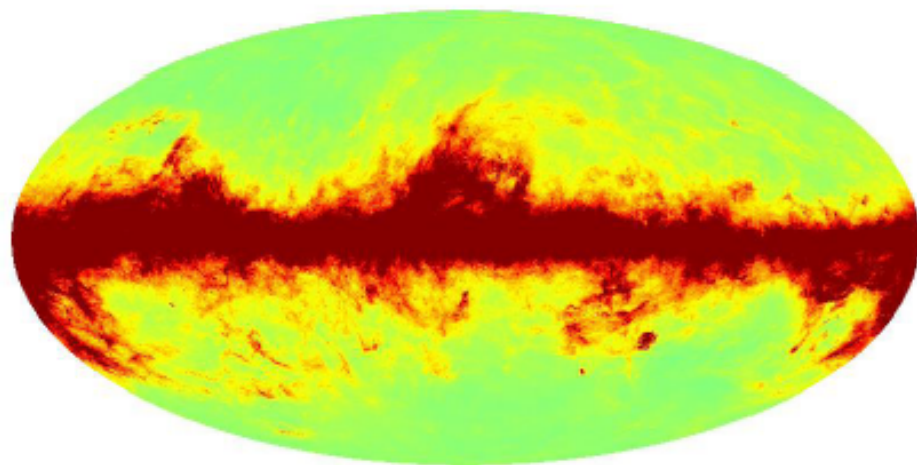
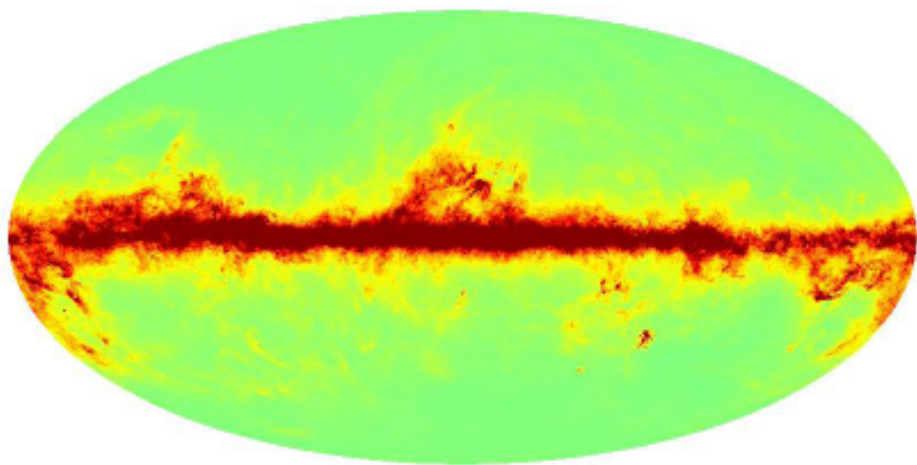
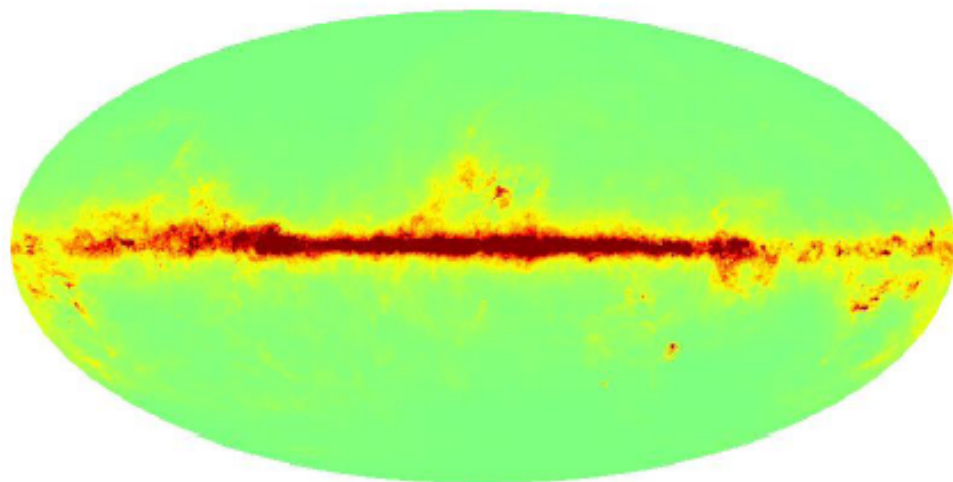
Observation



CMB signal maps T Q U



Foreground contamination maps (T)
90Ghz, 150Ghz, 220Ghz





A **future** lesson from AliCPT

CMB observations of AliCPT @ Tibet

Scientific goals:

- 1, further depressing the parameter space of very early universe models through the CMB T- & E-mode;
- 2, attempting to detect primordial gravitational waves through the CMB B-mode;
- 3, falsifying very early universe models, so that shedding light on the exploration of fundamental physics.

Overview: predictions of very early universe models

Models	Predictions			
Inflation	$r = 16 \epsilon$	$n_t = -2 \epsilon$	$\alpha_t < 0$	$f_{nl} = 5(1-n_s)/12$
Bounce	$r \leq O(1)$	$ n_t < O(1)$	$\alpha_t > 0$	$f_{nl} \approx -5/2$
Ekpyrosis	$r \ll O(1)$	$n_t = 2$	$\alpha_t < 0$	$f_{nl} > 1$
String gas	$r \leq O(1)$	$n_t \approx 1-n_s$	$\alpha_t > 0$	$f_{nl} \ll O(1)$

Any bonus from AliCPT?

- To measure the spectral and running indices of primordial gravitational waves;
- To test CMB anomalies noticed by WMAP & Planck:
 - low l suppression
 - CMB power asymmetry
 - $l=20$ power deficit
 - cold spot, ...
- To improve the constraint of the nonlinearity parameter;
- To measure the E-mode in the north sky;
- ...

AliCPT at USTC

Theoretical analyses of primordial gravitational waves

Constraining the very early universe models

Statistics of all possible B-mode components

CMB large scale anomalies

CMB B mode testing CPT symmetry

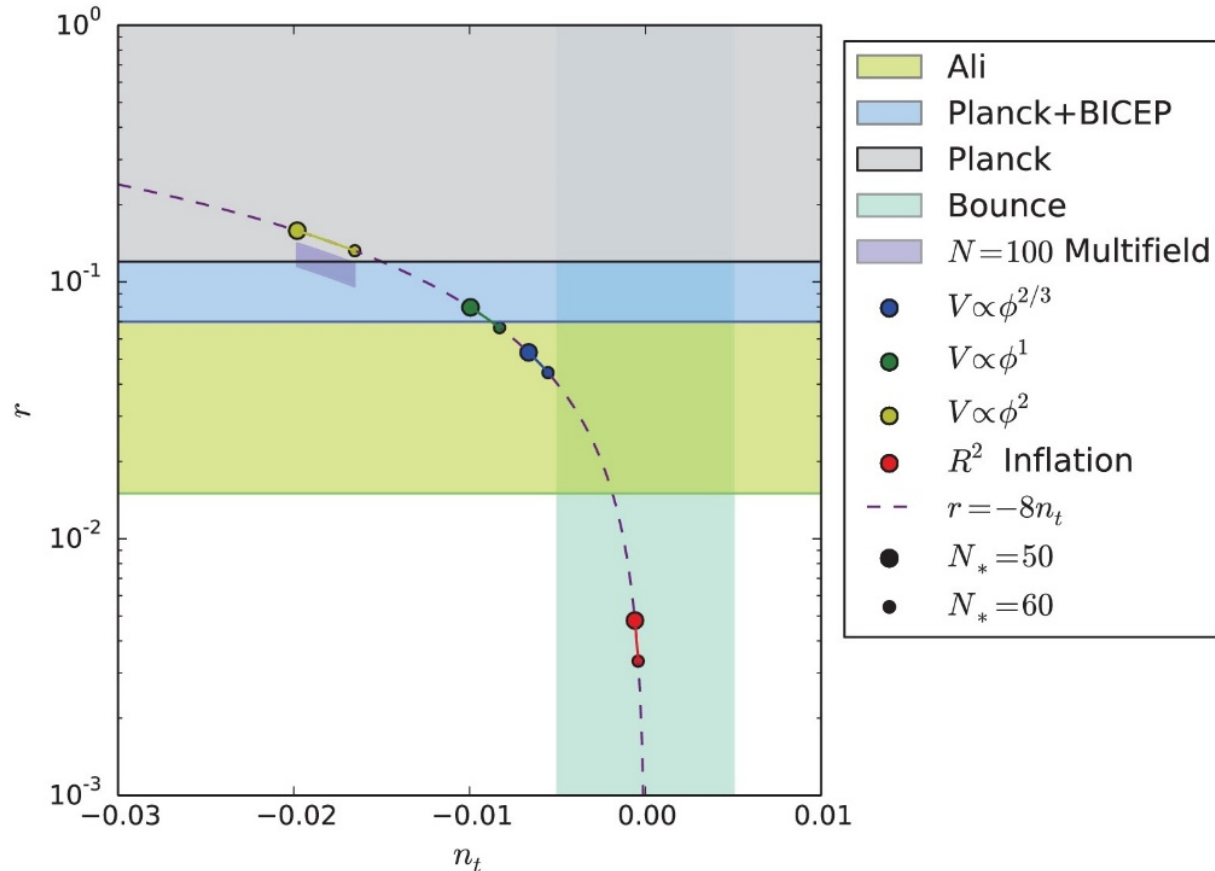
Using CMB data to test CPT

De-rotation analysis of CMB polarizations

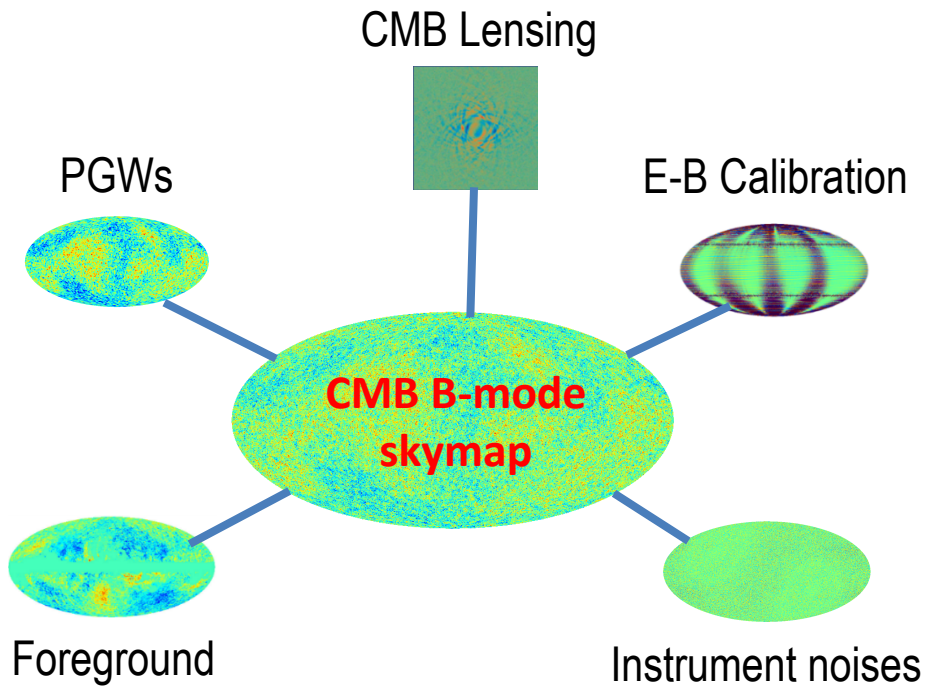
Constraining the very early universe models

Q: there are too many models of the very early universe, namely,

- Inflationary models
- Nonsingular bounce models
- Models of emergent universe



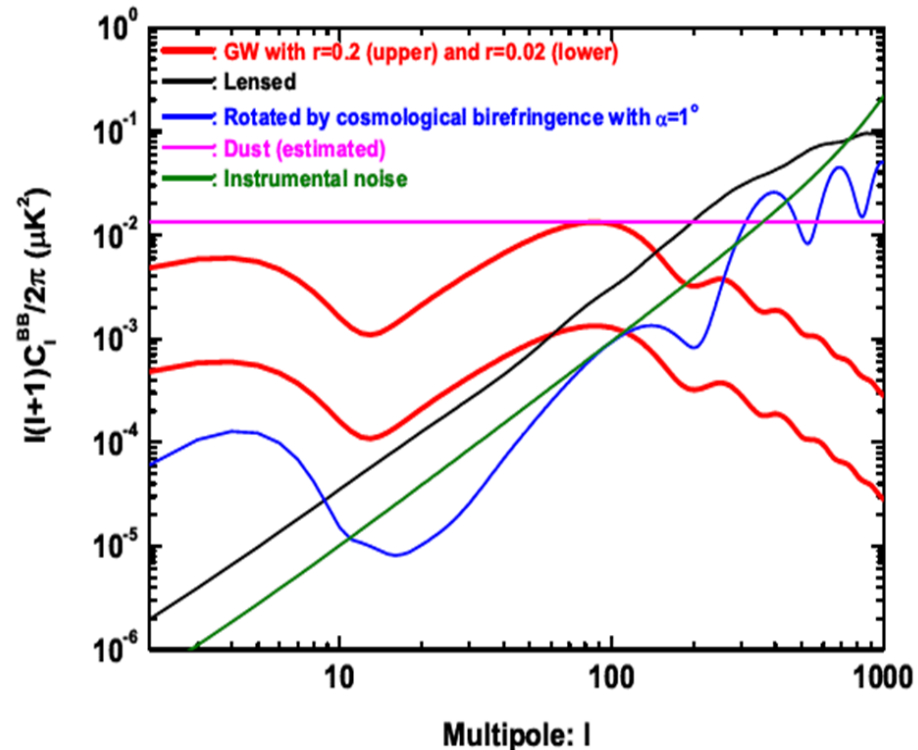
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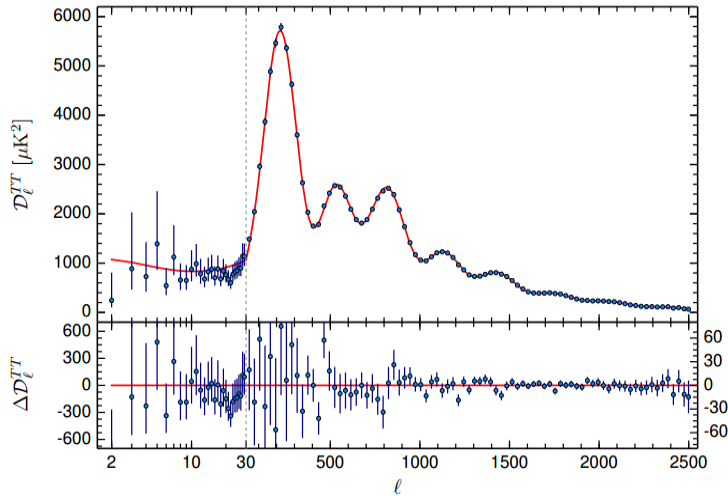
Plan:

Different components exhibit different statistical properties. These can be used to extract the signals from PGWs.

Q: How can we identify all the components that can give rise to CMB B-mode?

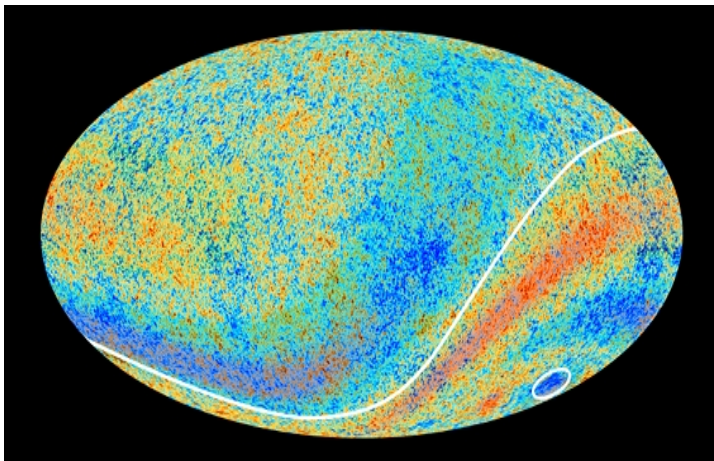


CMB large scale anomalies



- Large scale suppression
- Cold spot
- Hemispherical power asymmetry
- Power deficit near $l=30$

Q: primordial origin, or, observational contamination?



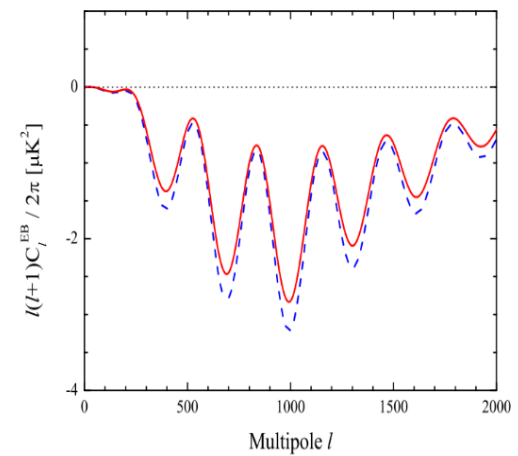
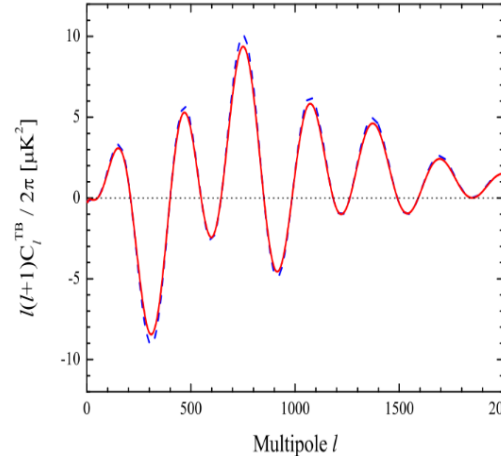
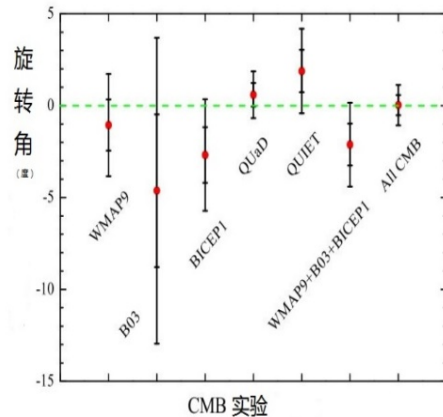
Plan:

- ✓ Combine together AliCPT in North sphere and BICEP, PolarBear in South sphere
- ✓ Build theoretical models to explain associated phenomena

Using CMB data to test CPT

Q: Charge-Parity-Time reverse symmetry is viewed as the fundamental symmetry in particle physics. Is it true throughout the whole cosmological history? If not, how can we probe the associated violation?

➔ Rotation between E and B modes of CMB



Plan:

- Develop the theory of CPT violation
- Mimic the observational signals in AliCPT
- Establish the effective field theory
- Parameterize the rotation angle using cosmological parameters

De-rotation analysis of CMB polarizations

CPT violation can lead to the rotation between E and B modes, and thus, this part of contribution to CMB B-mode can be categorized as foreground contamination.

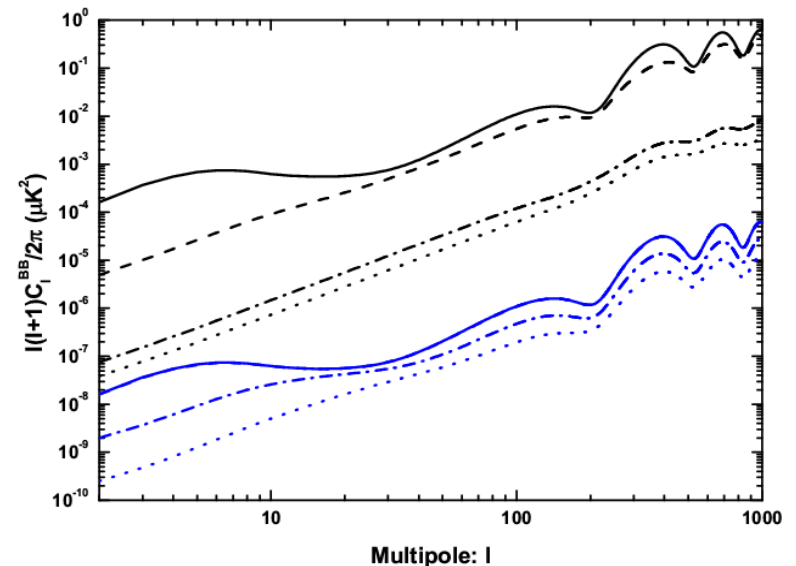
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Plan: In analogue with the CMB lensing technique, we can reconstruct the rotation angle by virtue of the data of T and E modes. Then, we can perform the de-rotation analysis with the AliCPT data in future.

$$\hat{B}_{\ell m} = \sum_{\ell_2 m_2} \sum_{\ell_3 m_3} f(\ell, m, \ell_2, m_2, \ell_3, m_3) \hat{\alpha}_{\ell_2 m_2} \hat{E}_{\ell_3 m_3}$$



$$C_{\ell}^{BB}(\text{residual}) = \frac{1}{\pi} \sum_{\ell_2 \ell_3} (2\ell_2 + 1)(2\ell_3 + 1) C_{\ell_2}^{\alpha\alpha} C_{\ell_3}^{EE} (H_{\ell\ell_3}^{\ell_2})^2 (1 - \Theta_{\ell_2 \ell_3})$$



A composite image of a galaxy, likely a barred spiral galaxy, with a bright yellow text overlay. The galaxy is oriented diagonally, with its central bar and spiral arms visible. The background is a dark field of stars, with some stars appearing as bright white dots and others as faint blue or red points. The text "Summary & Outlook" is centered in the image in a bold, yellow, sans-serif font.

Summary & Outlook

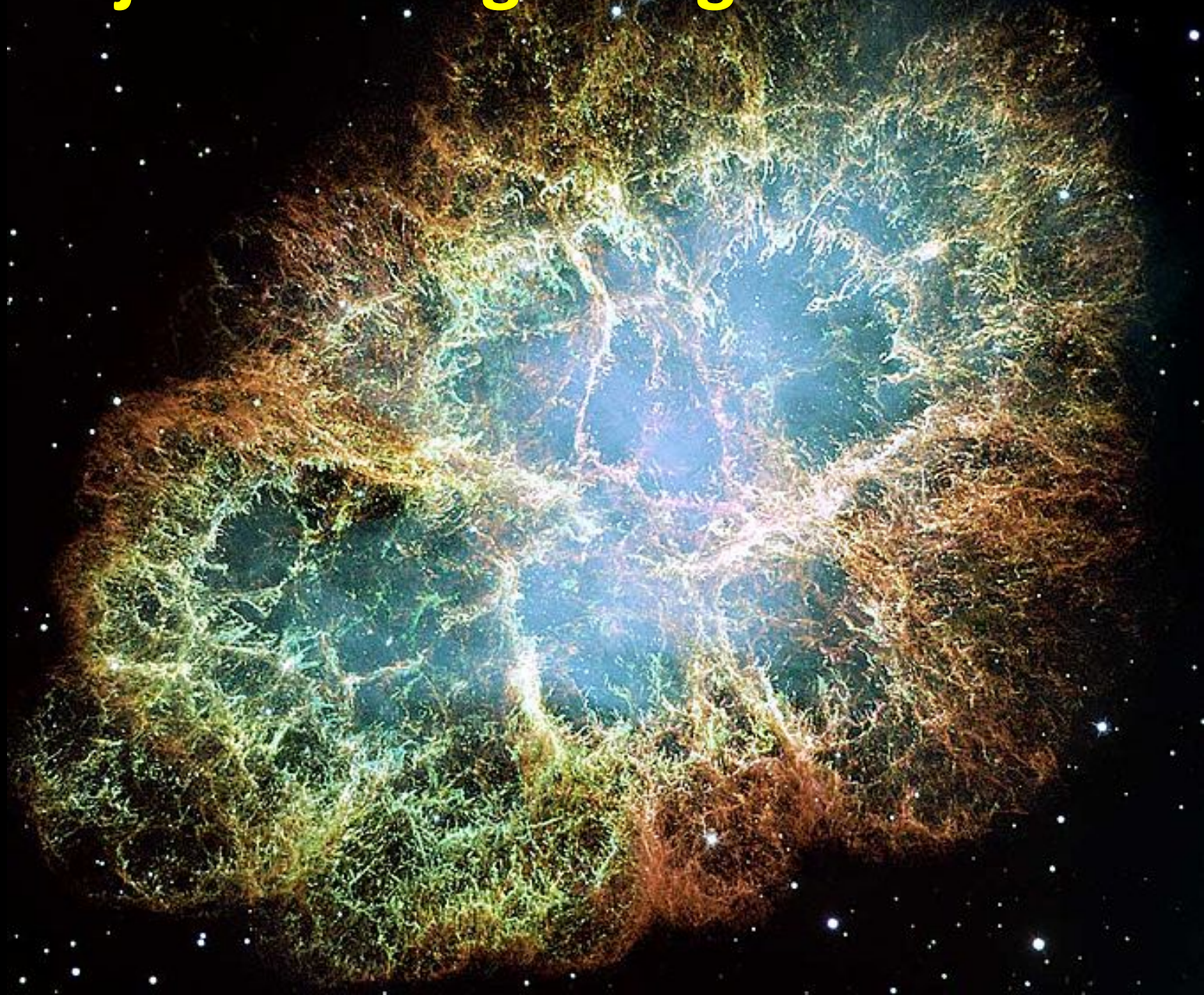
Today

- The past decade has witnessed the era of precision cosmology
- The paradigm of early universe has been greatly developed
- Big Bang cosmology has become the Standard Model
- Inflation obtained a large amount of initial achievements
- Bounce cosmology is ambitious on solving big bang singularity

In Near Future

- Very early universe opens a window to explore fundamental physics
- It becomes possible to observationally probe physics near the Big Bang: CMB experiments
- CMB experiments in the north earth is necessary
- China's Ali CMB project will be the first CMB experiment in the north earth

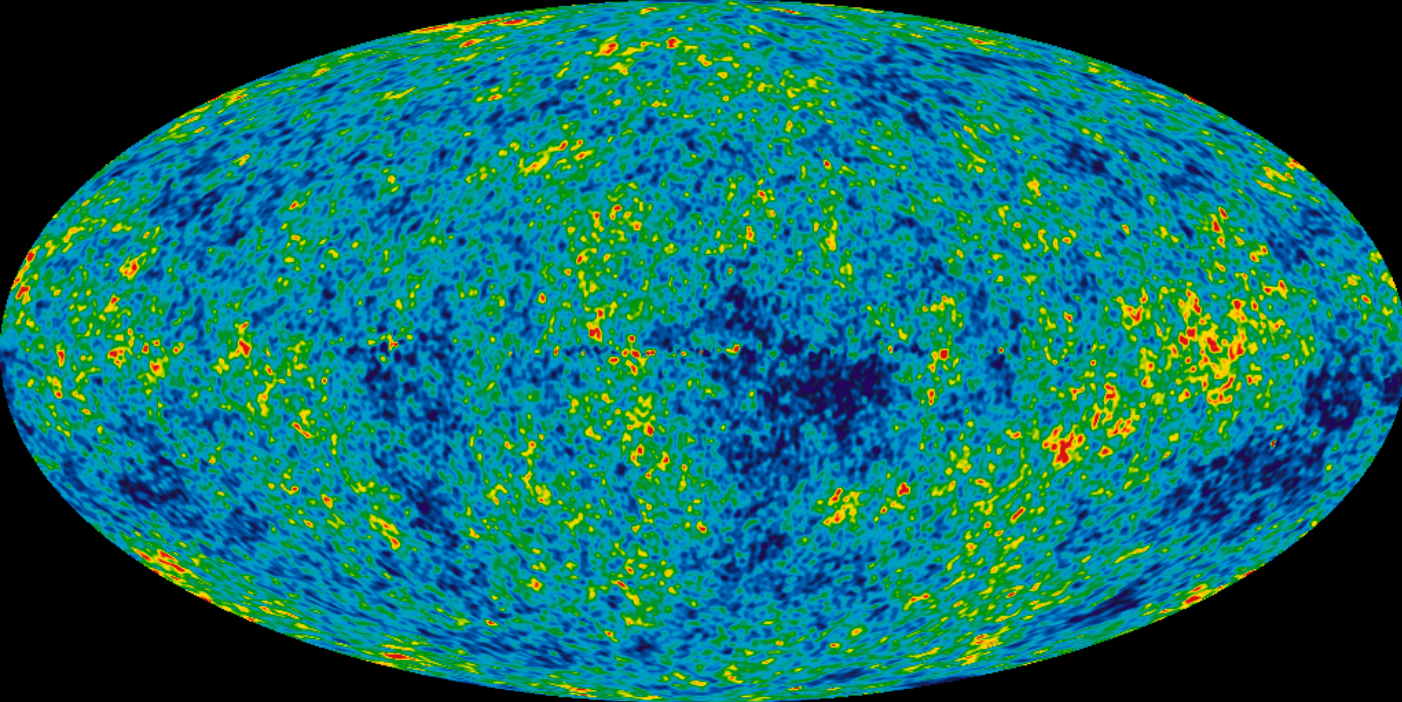
This is just the beginning ...



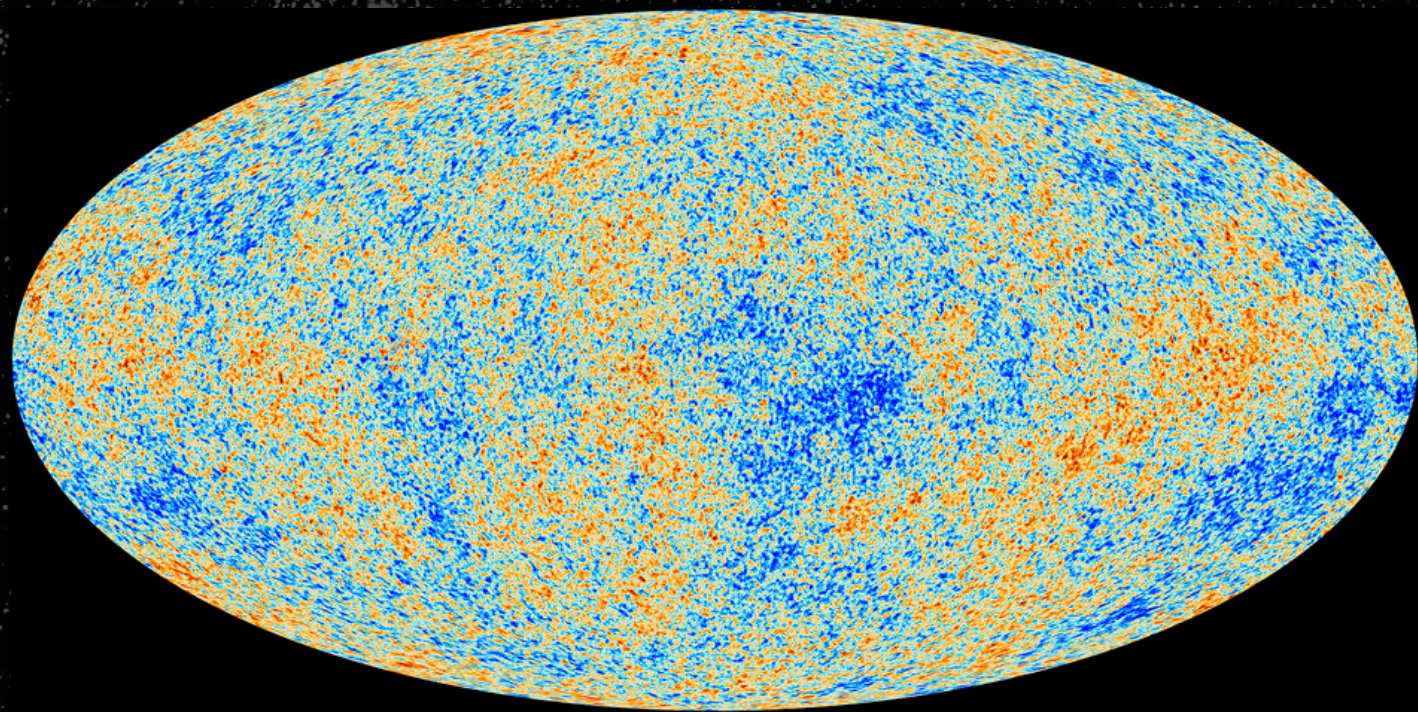


**“ADVENTURE
IS OUT
THERE.”**
ELLIE, UP

Thanks!

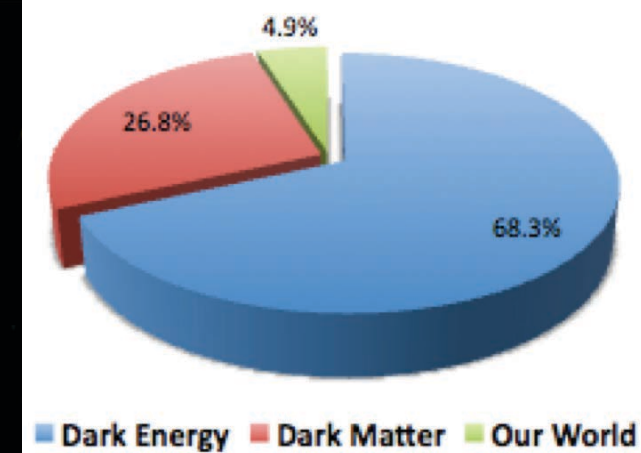


WMAP/ESA



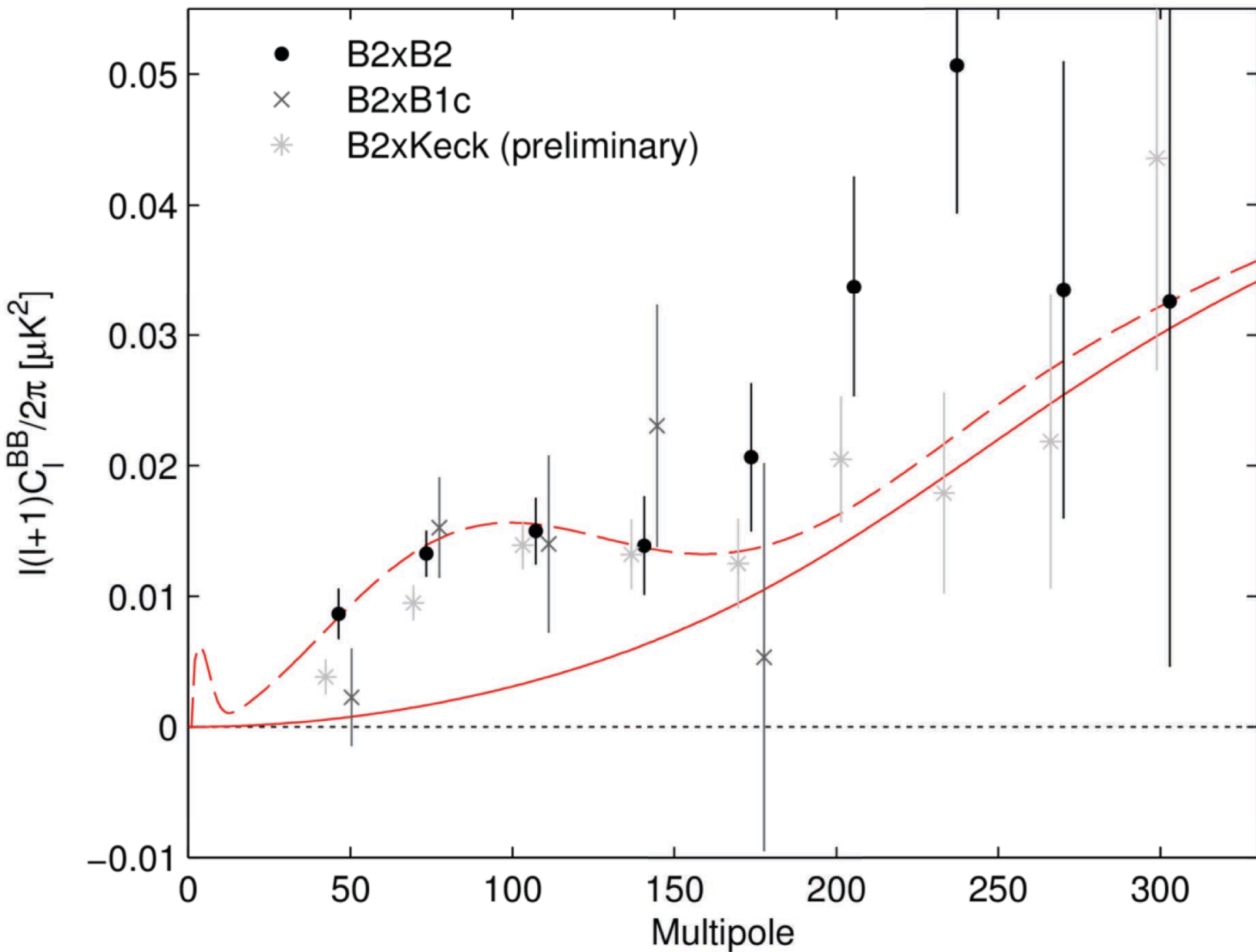
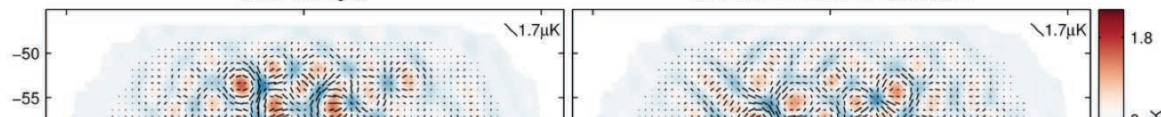
Planck/ESA

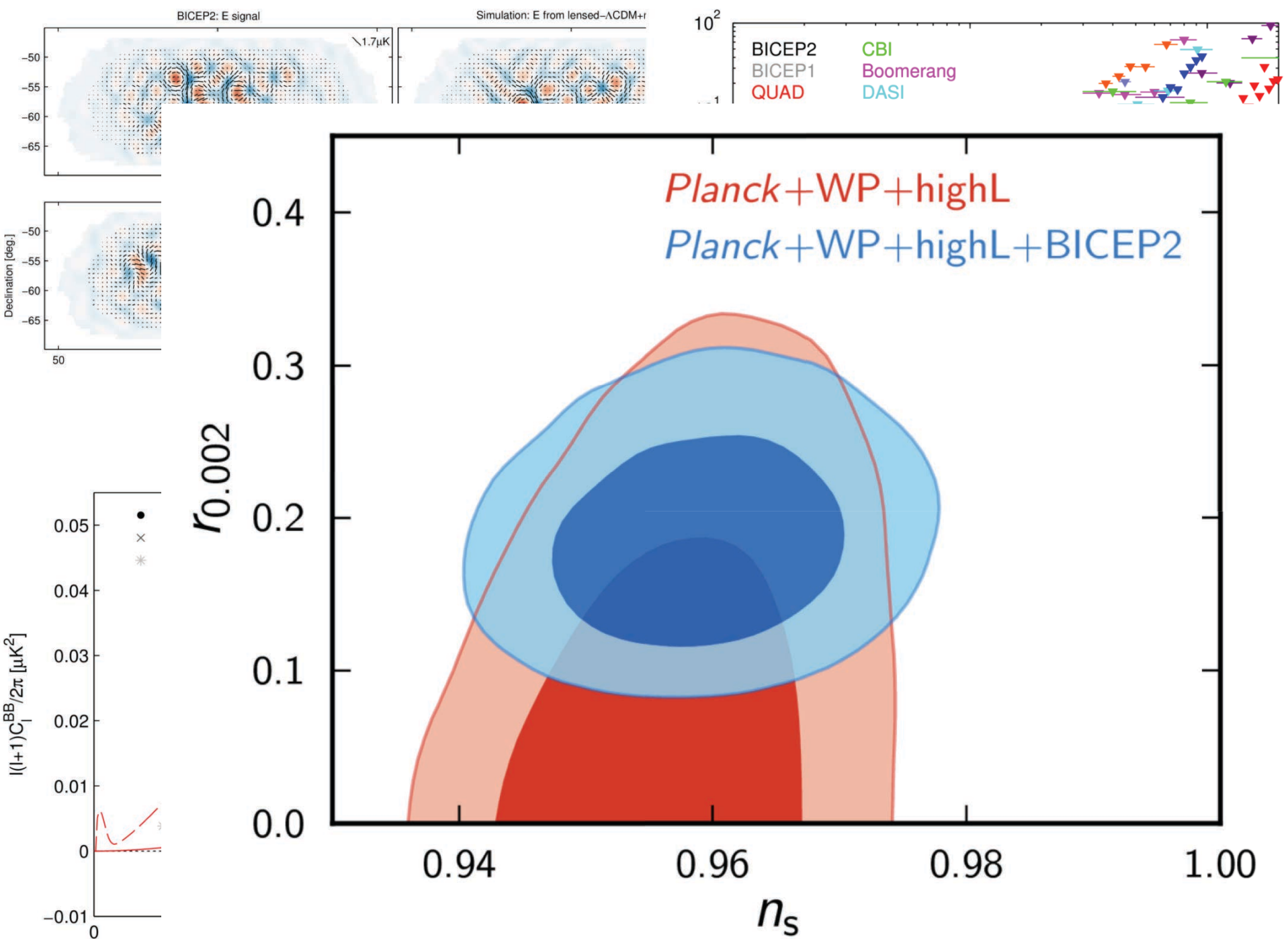
Observational Facts:



- **Our universe has a thermal expanding history with 13.8 billion years**
- **The background looks the same at anywhere on sufficiently large scales**
- **Galaxies and clusters are basic blocks to form the LSS**

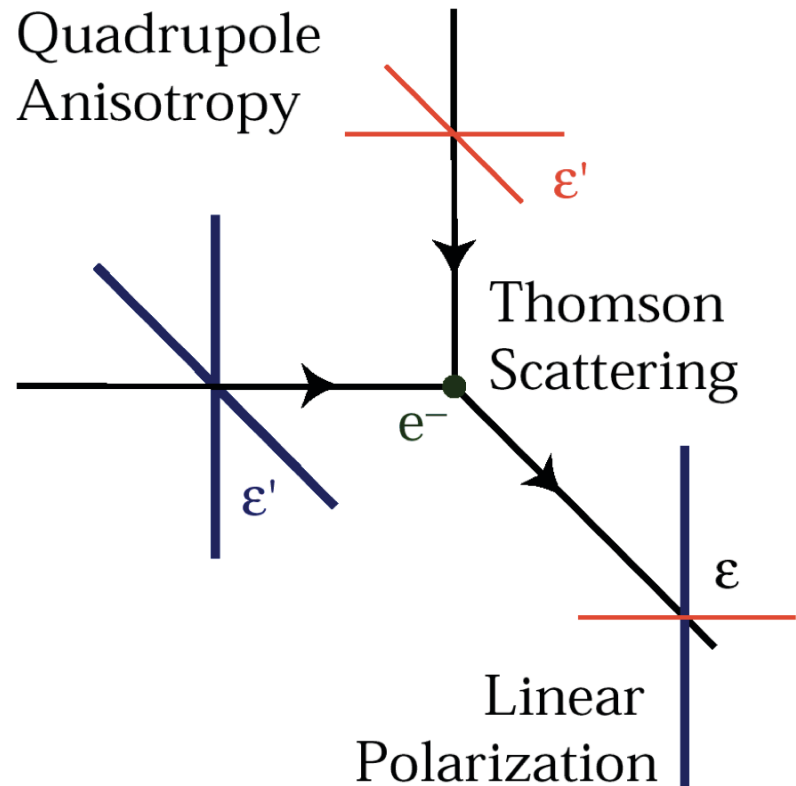
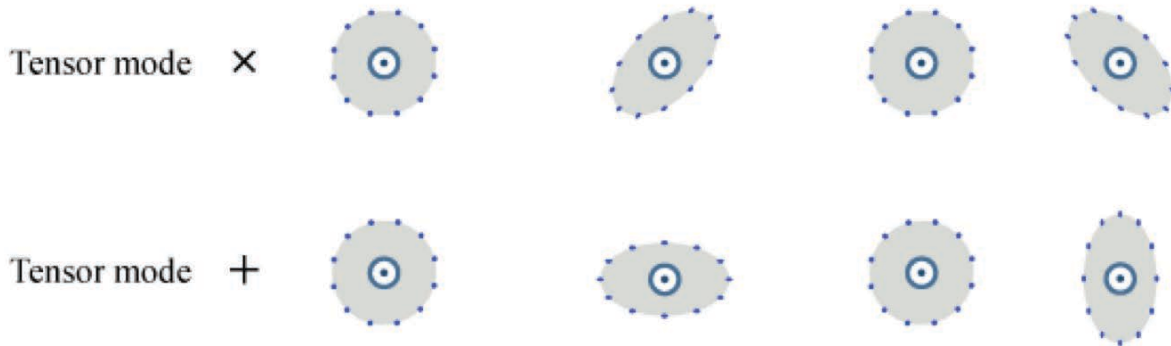
BICEP2: E signal

Simulation: E from lensed- Λ CDM+noise



Comparison between LIGO & Ali

Polarizations present in GR: Fully transverse to the line of propagation



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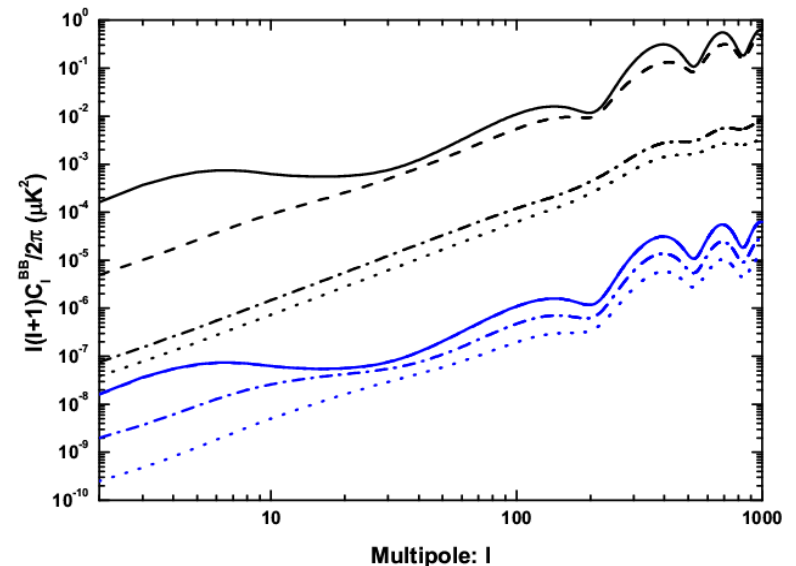
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